18-2323-cv(L), 18-2552-cv(XAP)

United States Court of Appeals

for the

Second Circuit

TIME WARNER CABLE OF NEW YORK CITY LLC,

Petitioner-Cross-Respondent,

- v. -

NATIONAL LABOR RELATIONS BOARD,

Respondent-Cross-Petitioner.

ON REVIEW FROM THE NATIONAL LABOR RELATIONS BOARD

JOINT APPENDIX Volume 2 of 3 (Pages A-268 to A-520)

VALERIE COLLINS NATIONAL RELATIONS BOARD 1015 Half Street, SE Washington, DC 20570 (202) 273-1000

- and -

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©Time Warner Cable Performance Improvement / Corrective Action Form

Employee Name:	Cook-Harrison. Crystal	fîT doL	ie: <u>Tech V</u>	PeopleSoft ID:	1116284
Lita Baka	04/06/2007	Location:	College Point	EID#	E106401
Hire Date:		Supervisor:	Greg Bronson		
Department:	Business Class		Exempt	W Non-Evernot	
Date:	5/23/2014 E	mployee Type:	,	Salle de Sampt	Official Exhibit No
Action Type:	Policy (Including Attendance	e)	Performance	2-64-12680	<u> </u>
	Attendance Violation			Disposition: Relected	Identified Received
				IN THE MATT	
Disciplinary Category:	Safety Violation		Performanca	TU	O
category.	₩ Misconduct			Oarte / With	ess: Reporter:
	Ø Other			4/4/16 Colo	bet upon
Specific Issue:	Violation of Section 31 of th	e Collective Bar	gaining Agreement	t (CBA) & Standard of B	usiness Conduct
	Documented Counseling		· ·	nal Written Warning	
Level of Action:	Other	,,,,,,,		_	
Indicate prior Action	n(s) given and dates:				
Verbal Coac	hing(s) Date(s) issued:		Written Warn	ing / PIP Date issu	ed:
Documented	d Counseling Date(s) issued:		Final Written	Warning Date issu	ed:
Other	Date(s) issued:				
Additional Notes:					
Description of the V	iolation/Conduct/Performance	. (Include: History xpectation. Attach	of prior occurrences additional pages if	s and counseling dates, ti more space is needed.)	nes and details of
Crystal,					
This serves to notify youtside of the Paidge	you that you are being issued a Fir Avenue facility.	aa) Written Warnin	g for your participatio	n in the work stoppage of	April 2, 2014
prevented ingress an violation of the collect	e was a blockade on Paidge Avent d egress to and from Paidge Aven tive bargaining agreement. Secti- part of or the instance of either pa	ue, delaying work f on 31 of the CBA st	or over an hour. This ates "There shall be r	, action was a work stoppa no cessation or stoppage o	ge in clear
in addition, the action	d in a substantial delay to the stain n posed safety risks to our facility ste work aportments were star You TWC's ability to service its custom	by blocking exits. ur participation res	ulted in a substantial	delay to the start of daily of	operations and
Action Steps Neede reinforcement, time	d to Correct the Problem. (Including and follow-up plans, and c	ude: Outline of exponsequences of co	pectations, performa ontinued problems.	ance improvement plans, Not applicable to termin	training, policy ations)
the collective ba	gible Improvement Goals and Exp rgaining agreement ial Direction Provided:	ectations: Going fo	rward refrain from ar	ny cessation or work stopp	age that violates
2. I recognize that	you may have certain ideas to im	prove the situation	at hand. Therefore,	1 encourage you to provid	e your input and/or

GC-13

Page 1 of 2

Have you reviewed the CBA?

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Some extend

I down have

Are you familiar with the section that prohibits cessation or stoppage of work?

Review section: "There shall be no cessation or stoppage of work, service or employment, on the part of, or at the instance of either party, during the term of this Agreement."

You understand that this rally stopped the work of the SNYC Area for over one hour prohibiting us from meeting our service calendar. As a result of this violation of the law and CBA and the inability to maintain our business. Do you understand that this action subjects you to discipline, including possible termination?

FOR THOSE WHO WEREN'T WORKING THAT DAY:

Why did you come to work? Did anyone in management direct you to come to work?

FOR SUSPENDEDS: Why did you come to work that day?

You understand that a suspension means that you are not to come to work?

You understand that you were in violation of your suspension by coming to work on April 2? Who directed you to come to work?

If the employee asks what happens next

We are gathering facts and you should return to work. To be clear, you are prohibited from engaging in any work slowdown or any other action which impacts on workflow. Any attempt to do so will lead to further discipline, including the possibility of immediate termination.

If you have anything else you want to share w/me please call me or send me an email by tomorrow.

History All 1 (ms. 11:32
Investigation April 2, 2014 Local 3 Sponsored Rally
Cue up video or photo of employee prior to meeting.
How long are you working for TWC?
What is your assigned work schedule? mon, Tue-Thu-Thu-Thu-Thom-6 om
Who do you report to? Justin Firmanky
On April 2 there was a gathering of employees outside of our Paidge Ave location Were you part of that group?
Yes, thank you (bring up video/show picture)
No, do you want to think back again? Let me show you some pictures/video
How did you get to work that day? Was it a company vehicle? Where did you park? What time did you arrive? Drawn Server Off Gon into the city. It is away.
it appears that Derek Jordan was present as well.
Who told you about this gathering?
When did you receive notification of the gathering?
How did was this event communicated to you? NO >
What were you told about the reason for the protest?
If the employee states that they were not told about it or asked to be involved, ask them if they remained outside with the protest? Why did you remain outside? Did they attempt to contact a manager to let them know they could not get into work or make any other attempt to get into the facility?
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mean
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Have you reviewed the CBA?

NO

Are you familiar with the section that prohibits cessation or stoppage of work?

Copy , no .

Review section: "There shall be no cessation or stoppinge of work, service or employment, on the part of, or at the instance of either party, during the term of this Agreement."

You understand that this rally stopped the work of the SNYC Area for over one hour prohibiting us from meeting our service calendar. As a result of this violation of the law and CBA and the inability to maintain our business. Do you understand that this action subjects you to discipline, including possible termination?

FOR THOSE WHO WEREN'T WORKING THAT DAY:

Why did you come to work? Did anyone in management direct you to come to work?

I recall!

FOR SUSPENDEDS: Why did you come to work that day?

You understand that a suspension means that you are not to come to work?

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If you have anything else you want to share w/me please call me or send me an email by tomorrow.

Disposition:

IN THE MATTER OF:

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Investigation April 2, 2014 Local 3 Sponsored Rally
Cue up video or photo of employee prior to meeting.
How long are you working for TWC? 9/30/10
What is your assigned work schedule? Sat (MON) Thurs / In Start
How long are you working for TWC? 9/30/10 What is your assigned work schedule? Sat (MON) thurs (Fri Start Who do you report to? Alberto Honso, Mash Frank Turco On April 2 there was a gathering of employees outside of our Paidge Ave location
On April 2 there was a gathering of employees outside of our Paidge Ave location. Were you part of that group? 765. A Safety Meeting.
Yes, thank you (bring up video/show picture) Just leard about the meeting.
No, do you want to think back again? Let me show you some pictures/video
How did you get to work that day? Was it a company vehicle? Where did you park? What time did you arrive? Drove. I gave a lift to Someone.
It appears that Derek Jordon was present as well.
Who told you about this gathering? First fime During rice, Heard for the first fime During rice, When did you receive notification of the gathering? That was my Day off. What were you told about the reason for the protest?
If the employee states that they were not told about it or asked to be involved, ask them if they remained outside with the protest? Why did you remain outside? Did they attempt to contact a manager to let them know they could not get into work or make any other attempt to get into the facility? Utfloial Exhibit No. (att on a meeting I Don't recoll on: Identified Received MATTER OF:
Witness: Reporter: Wery reliestant to respond to grestions. Nery reliestant to respond to grestions. Asket for a break to S/W her Shop Steward. Permission not granted. Then, Cabrera asked if she could call

Have you reviewed the CBA?

Review section: "There shall be no cessation or stoppage of work, service or employment, on the part of, or at the instance of either party, during the erm of this Agreement."

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If you have anything else you want to share w/me please call me or send me an email by tomorrow.

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Cue up video or photo of employee prior to meeting.	Date:/	Witness: Reporter:
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On April 2 there was a gathering of employees outside of our Paldge Ave located. Were you part of that group?	-Ixt.	Clark
Yes, thank you (bring up video/show picture)	γÝ	ingr. Tor
No, do you want to think back again? Let me show you some pictures/video	١	and thin
Use of it was not to work that day? Was it a company vehicle? Where did you park? W	hat time did y	bn"''''''''''''''''''''''''''''''''''''
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It appears that Derek Jordan was present as well.	and al	provost
Who told you about this gathering? I DID not even by your fifthe mention of the gathering? When did you receive notification of the gathering? No prior notification of	, parla	ed Pignol I coulc
How did was this event communicated to you?	+ 5gt	Down f
What were you told about the reason for the protest? Salety Meeting	R. Bloc	<i>د</i> ٠
If the employee states that they were not told about it or asked to be involved,	ask them if ti	hey
remained outside with the protest? Why did you remain outside? Did they att	empt to conto	act a
manager to let them know they could not get into work or make any other atte the facility?	hrs k	1.0 8:18 Ar
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Have you reviewed the CBA? /e.S

Are you familiar with the section that prohibits cessation or stoppage of work? 15th Hime heard.

Review section: ["There shall be no cessation or stoppage of work, service or employment, on the part of, or at the instance of either party, during the term of this Agreement."

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You understand that you were in violation of your suspension by coming to work on April 2? Who directed you to come to work?

I DID engage with employees

if the employee asks what happens next

We are gathering facts and you should return to work. To be clear, you are prohibited from engaging in any work slowdown or any other action which impacts on workflow. Any attempt to do so will lead to further discipline, including the possibility of immediate termination.

If you have anything else you want to share w/me please call me or send me an email by tomorrow.

4/14/14 Frank Tsquaris

Investigation April 2, 2014 Local 3 Sponsored Rally						
Cue up video or photo of employee prior	to meeting.		ŧ			
How long are you working for TWC?	40 yrs	,	1			
What is your assigned work schedule?	suspended to	r 4/2	1			
Who do you report to? Marc	Seyvino	·	ł			

On April 2 there was a gathering of employees outside of our Paidge Ave location Were you part of that group? Yes Just happen to be there in the Yes, thank you (bring up video/show picture) why? Don't want to get into No, do you want to think back again? Let me show you some pictures/video

How did you get to work that day? Was it a company vehicle? Where did you park? What time did yo arrive? There 15/20 minutes. I came late It appears that Derek Jordan was present as well.

Who told you about this gathering?

When did you receive notification of the gathering?

How did was this event communicated to you?

What were you told about the reason for the protest? Dont know

Derek here to have a meeting the involved, ask them if they

If the employee states that they were not told about it or asked to be involved, ask them if they remained outside with the protest? Why did you remain outside? Did they bttempt to contact a manager to let them know they could not get into work or make any other attempt to get into

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Shoved Frank he was a people

away from Derek Jordan. Thentasked:

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Could you not hear what was

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being said some, uticial Exhibit No.

Noise. Disposition: Inc.

Paint Rejected Received 7 THE MATTER OF: Tue Witness: Reported Witness:

TWC004749

BEFORE THE

NATIONAL LABOR RELATIONS BOARD

In the Matter of:

TIME WARNER CABLE NEW YORK CITY, LLC

Case No. 02-CA-126860

Respondent,

And

INTERNATIONAL UNION OF ELECTRICAL WORKERS, AFL-CIO, Local 3

Charging Party

The above-entitled matter came on for hearing pursuant to Notice, before MICHAEL A. ROSAS, Administrative Law Judge,
National Labor Relations Board, Region 2, Javits Building, 26
Federal Plaza, New York, New York, 10278 in Courtroom 3611A on
Tuesday, April 12, 2016, at 9:30 a.m.

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	WITNESS	DIRECT	CROSS	REDIRECT	RECROSS	VOIR DIRE		
	Gregg Cory	211	250			244		
		245						
	Spencer Walker	265						
	Mary Maldonado	274				295		
		296	297	308				

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EXHIBIT NUMBER	IDENTIFIED	RECEIVED
GENERAL COUNSEL'S		
GC-36	264	263
RESPONDENT'S		
R-5	214	214
R-6	215	219
R-7	224	242
R-9	243	244
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R-11	279	280
R-12	285	
R-13	285	
R-14	288	289
R-15	294	296
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2

1	$\begin{array}{cccccccccccccccccccccccccccccccccccc$
2	(Time Noted: 10:42:32 a.m.)
3	JUDGE ROSAS: On the record. This is a resumption in the
4	matter of Time Warner Cable.
5	Counsel for the General Counsel?
6	MR. ROSE: Well, Your Honor, we've looked at we were
7	giving a disk of a little over 1,000 pages of subpoenaed
8	documents. We've made headway through it. We're not ready to put
9	in documents right now. We'd like to continue our search this
10	evening, since we're going to be adjourning a little bit early
11	today that would be beneficial. We also they've given us
12	Respondent has given us an extensive privilege log, which we
13	will review this evening, as well.
14	JUDGE ROSAS: Okay, are we prepared to move forward on
15	Respondent's case in the meantime?
16	MR. ROSE: Yes, Your Honor.
17	JUDGE ROSAS: With the understanding that whatever
18	evidence is coming in as you know back to the past, as far
19	as it going in on your prima facie case.
20	MR. ROSE: Okay.
21	JUDGE ROSAS: We'll see where you are when we conclude
22	today. And then we'll deal with the General Counsel first thing
23	tomorrow, as far as any proof evidence, etc. So, we'll be
24	interrupting your case technically at that point, possibly.
25	Alright?

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- Okay, is Respondent ready to proceed? 1
- 2 MR. MARGOLIS: Yes, Your Honor, we have a couple of
- 3 preliminary matters. First, we'd like to put on our record
- Respondent's Answer to the Second Amended Complaint. And the 4
- Answer is specifically to Paragraphs 5 and 6 of the Second 5
- Amended Complaint. W 6
- With respect to Paragraph 5 of the Second Amended 7
- 8 Complaint Respondent admits that at material times the
- 9 individuals listed held the positions alleged and that they were
- Supervisors within the meaning of Section 211 of the Act. 10
- And Respondent denies Paragraph 6 in the Second Amended 11
- 12 Complaint. And it suspends the Answer to the First Amended
- 13 Complaint according to my records.
- 14 JUDGE ROSAS: Okay.
- MR. MARGOLIS: Secondly, Your Honor, there is a subpoena 15
- 16 issue that we need to bring to your attention. Derek Jordan, a
- 17 Business Agent for Local 3, was served with a subpoena. And
- 18 yesterday at the close of the proceedings, since it appeared
- 19 that we would be getting to our case today, we instructed Mr.
- 20 McGovern to make sure that Mr. Jordan was here today. We were
- 21 told by Mr. McGovern both last night and again this morning that
- 22 he's not going to produce Mr. Jordan. So we have that difficulty
- 23 that we'll have to deal with.
- 24 JUDGE ROSAS: And this was subpoena or subpoena duces
- 25 tecum?

- 1 MR. MARGOLIS: It was a subpoena duces tecum.
- 2 MR. MCGOVERN: Only half the story, Your Honor, and we
- 3 touched on it briefly yesterday when Mr. Margolis gave me a copy
- 4 of the subpoena, which was purportedly served on March 31st,
- 5 2016 with a cover letter signed by Mr. Margolis. That is a
- 6 couple of days after Counsel received your Rules saying that
- 7 courtesy copies of the subpoena should be given to Counsel.
- 8 It also was a day before we had the Pre-Hearing Conference
- 9 Call when Your Honor asked whether subpoenas had been served and
- 10 if memory serves me correctly Mr. Margolis said no. General
- 11 Counsel said yes. And Your Honor, again, stressed that courtesy
- 12 copies should be sent.
- 13 Against that backdrop yesterday when I saw the subpoenas
- 14 for the first time, I spoke to Mr. Jordan. He said that he had
- 15 never gotten them. He asked around. It might be sitting -- I'm
- 16 not saying it wasn't ever brought to the Union, but it was never
- 17 given to him. Nobody has any idea where it is.
- I indicated to Mr. Margolis that it's a subpoena duces
- 19 tecum and that today Mr. Jordan would be looking for the
- 20 documents and I would let him know whether there's any
- 21 responsive documents. I think that under the circumstances
- 22 that's a more than fair way to deal with it. Had I gotten the
- 23 courtesy copy on March 31st or even April 1st or April 2nd would
- 24 have had an opportunity to do all of this.
- 25 JUDGE ROSAS: Do you have an Affidavit of Service?

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- 1 MR. MARGOLIS: We do, Your Honor.
- 2 JUDGE ROSAS: Did you file that in Next Gen?
- 3 MR. MARGOLIS: No, we didn't, Your Honor. We provided a
- copy --4
- 5 JUDGE ROSAS: Can I see it?
- 6 MR. MARGOLIS: Yes, we provided a copy. And, Your Honor,
- 7 we advised Mr. McGovern specifically last night that rather than
- 8 avoiding Mr. Jordan's appearance, because we know from past
- 9 experience that Local 3 will not have any responsive documents,
- that he should appear regardless of his search for the documents 10
- and we could deal with the documents separately. But Mr. 11
- 12 McGovern again reiterated that Mr. Jordan would not appear.
- 13 The Affidavit of Service is at the end of this packet,
- 14 Your Honor.
- 15 JUDGE ROSAS: So we have an Affidavit of Service,
- indicating that it was served upon an adult of suitable age and 16
- 17 discretion and followed up with a mailing on April 4th.
- Let's put it this way. If Mr. Jordan doesn't show up by 18
- tomorrow, I'm going to have to consider potential remedies, 19
- 20 according to the Respondent. As I do in all instances, with
- 21 respect to subpoenas, I don't generally -- the train generally
- leaves the station. I take very expeditious approach to these 22
- 23 proceedings. That's why I think 80 years ago or whenever it was
- 24 they passed up on the notion of Discovery and created this
- forward Discovery mechanism. 25

A-285

1 You looked perplexed, General Counsel. But let me just say

210

- that the train is going to leave the station. And I will 2
- 3 consider, among other things, sanctions under Banion Mills.
- Okay? And any other possible remedies should Mr. Jordan not be 4
- here and be responsive to the subpoena tomorrow. 5
- MR. MCGOVERN: Well, Your Honor, maybe I wasn't clear. I 6
- fully intended to bring him tomorrow. Today he's looking for the 7
- 8 documents of the subpoena duces tecum.
- 9 JUDGE ROSAS: Alright, I appreciate that understanding.
- Alright there's no conflicts here. Everybody has an 10
- understanding of what my expectations are. Everybody is going to 11
- get their due process here. 12
- 13 Is there anything else before you proceed?
- MR. MARGOLIS: No, Your Honor. 14
- JUDGE ROSAS: Are you ready to call your first witness? 15
- MR. MARGOLIS: We are. 16
- 17 JUDGE ROSAS: Let's go off the record for a minute;
- 10:50:56 a.m. 18
- (Discussion off the record.) 19
- 20 JUDGE ROSAS: On the record; 10:53:42 a.m. Respondent
- 21 calls who?
- 22 MR. MARGOLIS: Gregg Cory.
- 23 Whereupon,
- 24 GREGG CORY
- having been previously duly sworn, was recalled as a witness and 25

- 1 testified herein as follows:
- 2 DIRECT EXAMINATION
- 3 BY MR. MARGOLIS:
- 4 Q Good morning, Mr. Cory.
- 5 A Good morning.
- 6 Q You previously testified that you worked for Time Warner
- 7 Cable of New York City.
- 8 A Correct.
- 9 Q And what's your job title?
- 10 A Vice President of Southern Manhattan for Check Ups.
- 11 Q How long have you held that position?
- 12 $4\frac{1}{2}$ years.
- 13 Q And you've been with the Company that same amount of time?
- 14 A Yes.
- 15 Q What does Southern Manhattan encompass?
- 16 A It's most of New York City. It's from the Battery all the
- 17 up to 86th Street, West and East Side.
- 18 Q And where are you based?
- 19 A 59 Paidge Avenue in Brooklyn.
- 20 Q Can you describe for us just the kinds of physical spaces
- 21 that exist in the Paidge Avenue Facility?
- 22 A So it's a Dispatch Center. It's a Communications Center.
- 23 It's a Tech Ops Center, which does installation, service,
- 24 repair, break fixes. It's construction. It's our Business Class
- 25 Customers. It's survey and design. Basically it encompasses most

- 1 of the Engineering job titles of the Company.
- And is there a warehouse next door? 2
- 3 Α Yes.
- And what about a garage? 4 0
- Yes, there's an indoor garage and outdoor parking. 5 Α
- Do you have an office there? 6 0
- 7 Α Yes, I do.
- 8 And are there other offices there?
- 9 Yes, there are Executive Offices, as well as, there's lots
- of offices. There's well over 600 people in the building. 10
- When you say 600 people in the building, are you referring 11
- to people that work there? 12
- 13 I'm referring to -- yes, Employees that work out of that
- 14 building, yes.
- What kinds of services does Time Warner Cable provide in 15
- 16 Southern Manhattan?
- 17 We provide video service, internet service, security
- 18 service, and phone service.
- And does the phone service include 911 service? 19
- 20 Yes.
- 21 Are the Customers in Southern Manhattan Residential
- Customers or Business Customers or both? 22
- 23 Α Both.
- 24 Can you just briefly tell us what your job duties are?
- So first and foremost my job duties are to make sure that 25

- the Customers are served appropriately and all of the emergency 1
- issues are handled timely, and obviously the installation, 2
- 3 repair of all services.
- And with respect to assuring that these services are 4
- provided timely, as you mentioned, do you consider that to be an 5
- important part of your job? 6
- 7 That's number one priority.
- 8 Why is that a number one priority?
- 9 Because as stated our Customers rely on our products for
- their home burglary systems, for the Police to respond, for the 10
- Fire Department to respond, to -- you know -- Medics to respond 11
- in an accident or injury. So -- you know -- there are services 12
- 13 that are detrimental to people that they don't have.
- To your knowledge does Time Warner Cable have any 14
- competitors in the New York City market? 15
- 16 Yes, there are lots of competitors.
- 17 Q Who are they?
- 18 Verizon, RCN are major.
- Does the presence of those competitors effect your 19
- 20 importance of assuring the service is provided timely and
- 21 promptly?
- Yes, absolutely -- if we don't serve them immediately, 22
- 23 they go somewhere else.
- 24 Now who are the Employees who ask to go out on a normal
- 25 day to service the Company products?

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- They're Technicians. 1 Α
- 2 And they're represented by Local 3?
- 3 Yes, they are.
- Can you take the stack of documents in front of you and 4
- just look at the one -- turn it over and look at it, first of 5
- all? 6
- Five? 7 Α
- 8 I'm showing you what's been marked for identification as
- 9 Respondent's Exhibit R-5. And is Respondent's Exhibit R-5 an
- aerial view of the streets in the area of the Paidge Avenue 10
- Facility? 11
- 12 Α Yes.
- (Respondent's R-5 identified.) 13
- And just to orient us on this map, where specifically is 14
- the Time Warner Facility located? 15
- 16 The Time Warner Facility is -- this entire building --
- 17 here's the outdoor parking lot, all the way up to here, past the
- firehouse annex is. 18
- And when you say here you're referring to the upper 19
- portion of the photo all above Paidge Avenue?
- 21 Yes, almost the entire picture.
- 22 MR. MARGOLIS: I move the admission of Respondent's R-5.
- 23 MR. ROSE: No objection.
- JUDGE ROSAS: It's received. 24
- (Respondent's R-5 received.) 25

- 1 BY MR. MARGOLIS:
- 2 Q Can you take a look at the next document that's there? I'm
- 3 showing you Respondent's R-6 for identification. Can you tell us
- 4 what this is?
- 5 A Yes, it's the entrances and exits to the facility, it's
- 6 numbers.
- 7 Q And putting aside, before we get to the numbers --
- 8 A Yes.
- 9 Q Putting the numbers aside for a moment, what does this
- 10 picture show?
- 11 A It's shows, again, a tighter aerial view of the entire
- 12 facility with a piece of their outdoor parking lot, the outdoor
- 13 parking lot is actually very large, and the indoor parking lot
- 14 and the rest of the building.
- 15 (Respondent's R-6 identified.)
- 16 BY MR. MARGOLIS:
- 17 Q So you made reference to the fact that there are certain
- 18 handwritten numbers, which we've inserted on this document.
- 19 A Yes.
- 20 Q And I'd like you to go through those numbers and explain
- 21 to us what the -- what portion of the facility those numbers
- 22 respond to.
- 23 A In order -- One is the pedestrian entrance to the
- 24 building.
- 25 Q Okay -- let me just -- I'm sorry.

- 1 A Okay.
- 2 Q Who makes use of the pedestrian entrance to the building
- 3 on a normal day?
- 4 A Employees, Delivery Men, who deliver food, anyone that
- 5 walks in without a vehicle.
- 6 O Without a vehicle.
- 7 A Yes, Customers.
- 8 Q Okay, let's go onto Number Two. What area of the building
- 9 does Number Two correspond to?
- 10 A That is the entrance to the large indoor lot, the indoor
- 11 parking garage.
- 12 O And who makes use of that entrance?
- 13 A So that's where our fleet of indoor vehicles are parked:
- 14 Technicians, Emergency Vehicles, Emergency Response Vehicles,
- 15 all of our equipment and everything is inside that parking lot.
- 16 Q And do Managers make use of that entrance?
- 17 A Yes, Managers, Foremen, Techs, an array of people, yes.
- 18 Q Now can you move to the left to Number Three? What are of
- 19 the building does Number Three refer to?
- 20 A Three is the warehouse entrance for deliveries, where they
- 21 drop off converters and equipment.
- 22 Q And what is that space basically?
- 23 A That is where 18 wheelers come and drop off reels of
- 24 cable, administrative documents, Fed Ex, UPS -- you know -- and
- 25 converters. And then also they dispatch trucks out of there to

- 1 bring converters to our stores in New York City, as well.
- 2 Q Is there a vehicle entrance from that warehouse delivery
- 3 bay into the garage?
- 4 A No, it's just a bay.
- 5 Q And let's move to Number Four. What does space Number Four
- 6 represent?
- 7 A It's the same thing. It's a dual bay. Three and Four are
- 8 the same exact -- one garage, but two doors.
- 9 Q And from the bay that's referred to as Number Four here is
- 10 there an entrance for vehicles into the garage in that place?
- 11 A No.
- 12 Q Okay, let's move on to Number Five. What area of the
- 13 facility does Number Five represent?
- 14 A That is the -- so there's a Fleet Mechanic Shop. And that
- 15 is where the vehicles go and get repaired. And there's a lift in
- 16 there and the vehicles get worked on.
- 17 Q Is there an entrance for vehicles from that repair area
- 18 into the garage?
- 19 A No.
- 20 Q Okay, let's move not Number Six. What part of the building
- 21 does Number Six represent?
- 22 A Again, the same thing as Five. It's a Vehicle Repair Shop.
- 23 That's the second bay and the second lift.
- 24 Q Is there an entrance into the garage from that bay?
- 25 A No.

- 1 Q Okay, moving onto Number Seven -- what is Number Seven?
- 2 A Seven is the exit to the indoor garage?
- 3 Q Is that a pedestrian exit or a vehicle exit?
- 4 A That is a vehicle exit.
- 5 Q And can you just give us examples of the occasions of
- 6 which vehicles come out that exit?
- 7 A Yes, the vehicles that are parked inside the building come
- 8 out that exit.
- 9 Q Does that include vehicles used by Technicians to make
- 10 their service calls?
- 11 A Yes, it's all the vehicles that enter into and they leave
- 12 and so.
- 13 Q And if you look at the next number, Number Eight, which
- 14 directly faces Provost Street, what is Number Eight?
- 15 A That is the Firehouse Annex, NYFD's annex.
- 16 Q And what is Number Eight specifically?
- 17 A What is Number Eight specifically?
- 18 Q Yes, is it an entrance into that facility?
- 19 A It's an entrance -- sorry -- it's an entrance into the New
- 20 York City Fire Department Annex, where they store large
- 21 emergency vehicles. There is no access from there to our
- 22 facility. It's separate.
- 23 O And what is Number Nine?
- 24 A Nine is the exit of around the back of the building from
- 25 the back parking lot, an exit,

- Now at the right side of R-6 is that the area that you 1
- 2 identified as a portion of the Employee parking lot?
- 3 Yes, to the right side of One, there's a large outdoor
- parking lot, as well, that Employees park in. 4
- Is there a street outlet from that end to Paidge Avenue or 5
- is it dead end? 6
- No, it's dead end to the Sewer Company. 7
- 8 What's behind the facility, by the way, at the top of the
- 9 picture?
- Α Water. 10
- What water is it? 11
- 12 That's the Hudson River.
- And then lastly, if you look at Paidge Avenue it looks 13
- like there's some white lines across the street from the 14
- facility. 15
- 16 Yes
- 17 What are those white lines?
- Those are parking spaces that the Employees also use to 18
- park their vehicles in. 19
- 20 MR. MARGOLIS: I move the admission of Respondent's R-6.
- 21 MR. ROSE: No objection, Your Honor.
- MR. MCGOVERN: No objection. 22
- 23 JUDGE ROSAS: Respondent's R-6 is received.
- 24 (Respondent's R-6 received.)
- BY MR. MARGOLIS: 25

- 1 Q Did you observe any unusual events at the Paidge Avenue
- 2 Facility on April 2nd, 2014?
- 3 A Yes.
- 4 Q What, don't go into a whole description, but what
- 5 generally did you see?
- 6 A A blockade of the road that stopped Employees from going
- 7 to work.
- 8 Q So let's walk through your experience of that day. How did
- 9 you first learn of this blockade?
- 10 A I got a phone call from one of my Managers, Mark
- 11 Savarino(ph), that was in very early stating that there were
- 12 vehicles parking across the road and he didn't know what was
- 13 long on.
- 14 Q And what time was it approximately that you got there?
- 15 A Around 6:30.
- 16 Q What, if anything, did you do after getting that call?
- 17 A So I called the Director of Security.
- 18 Q And did you have a conversation with him?
- 19 A Yes.
- 20 Q And when you spoke to him do you know whether he was
- 21 already aware that these events or something was happening?
- 22 A He had just become aware from the Security Officers at the
- 23 building.
- 24 Q Did you give him any instructions?
- 25 A Yes, I told him to call the Police.

- And what did you do after you had that conversation? 1 Q
- I continued into work. 2 Α
- 3 And approximately what time did you -- approximately what
- time did you get to work? 4
- Around 6:50 a.m. 5 Α
- And when you arrived at Paidge Avenue can you just tell us 6
- 7 generally what you observed?
- 8 I drove down Provost and I saw vehicles, a whole ruckus
- 9 going on in the street, people walking in the middle of the
- street. The entire road was closed. You couldn't get down the 10
- block. 11
- 12 And what street are you referring to?
- 13 Α Paidge Avenue.
- And when you said that Paidge Avenue was blocked, what did 14
- you mean with respect to vehicles? 15
- 16 So there were vehicles in the street, across the road, and
- 17 then other vehicles that were trying to get to work wind up
- 18 getting back to back stuck. And everyone was out of the vehicles
- in the street. And there were people all over the place. The 19
- 20 entire street from sidewalk to sidewalk was totally blocked.
- 21 Nothing moved.
- Now just so that we're clear, when you say cars parked 22
- 23 across the street, do you mean cars parked across the street
- 24 from the facility or are you describing the way that they were
- 25 parked?

- 1 A I'm saying that cars were parked in the middle of street,
- 2 blocking the road.
- 3 Q A normal or typical workday, is the routes that you
- 4 typically take to work up Provost and then turning right onto
- 5 Paidge Avenue?
- 6 A Yes, I take the same way every day.
- 7 Q On a normal workday what do you do when you arrive at the
- 8 corner of Paidge Avenue?
- 9 A I go into the indoor parking garage.
- 10 Q How do you get from Provost to --
- 11 A I make a right on Paidge Avenue, drive down to the indoor
- 12 parking lot, and enter.
- 13 Q Will you take a look again at Respondent's Exhibit R-6,
- 14 which is the map with numbers on it?
- 15 A Okay.
- 16 Q And the indoor parking garage, where you normally park, is
- 17 that what you previously identified as Location 2 on
- 18 Respondent's Exhibit R-6?
- 19 A Yes.
- 20 Q Does the Company have security cameras at the Paidge
- 21 Avenue Facility?
- 22 A Yes.
- 23 Q And do those cameras record activity on Paidge Avenue in
- 24 front of the facility?
- 25 A Yes.

- And have you had occasion to review video footage from 1
- those cameras relating the two events of April 2nd? 2
- 3 Α Yes.
- When did you first see that video footage? 4
- Probably April 3rd, April 4th. 5 Α
- And when you reviewed it was it consistent with what you 6
- recall having seen on that morning? 7
- 8 Yes, exactly the same.
- 9 And when you watched security video do you recall seeing a
- time stamp on the screen? 10
- Correct. 11
- 12 Have you also seen still pictures excerpted from that
- 13 video?
- Yes, I have. 14 Α
- I'd like you to turn over the next exhibit, which is 15
- 16 Respondent's Exhibit R-7.
- 17 Α Okay.
- So, we'll mark the first page of Exhibit R-7 as R-7(a). Do 18
- you recognize this picture as a still excerpted from the 19
- 20 security video?
- 21 Α Yes, I do.
- And just for the record Exhibit R-7(a) has a time stamp of 22
- 23 6:25:22 on the back.
- JUDGE ROSAS: Counsel, can I suggest perhaps an easier 24
- reference? And that's simply that it's all just Exhibit R-7 with 25

- references to the pages that are attached, which are seven in 1
- 2 total and they all have distinct minute markings. The time --
- 3 MR. MARGOLIS: So, we'll just take the whole --
- JUDGE ROSAS: Yes, and just reference the time. 4
- MR. MARGOLIS: Sure. 5
- JUDGE ROSAS: Is that alright? 6
- MR. ROSE: My copy isn't attached. So I just want to make 7
- sure that I've got seven. What's the 7th page? 8
- 9 JUDGE ROSAS: I counted seven.
- MR. ROSE: Yes, but I have nine photographs. 10
- MR. MARGOLIS: There actually are nine. 11
- 12 JUDGE ROSAS: There's nine here. Nine pages you are
- 13 correct.
- 14 (Respondent's Exhibit R-7 identified.)
- BY MR. MARGOLIS: 15
- 16 So, on the first page of Exhibit R-7, which has the time
- 17 stamp of 6:25:22 at the top. There are a numbers of cars along
- the left side of Paidge Avenue. Are those cars parked in the 18
- diagonal parking space that you previously described? 19
- 20 Yes.
- 21 And if you look up toward the top of the photo, the upper
- right, there's a couple of vehicles that have their headlights 22
- 23 on. Do you see that?
- 24 Α Yes, I do.
- Are they taking the normal direction of Paidge Avenue? 25

- 1 A Yes.
- 2 Q And then if you look at the center of the picture towards
- 3 the bottom there's a black vehicle -- and just for the record,
- 4 how is that vehicle parked in relation to the flow of traffic on
- 5 Paidge Avenue?
- 6 A That is when I say that it's blocking the road, it's asked
- 7 in the middle of the road.
- 8 Q So, would you say that it's perpendicular to the flow of
- 9 traffic?
- 10 A Yes.
- 11 Q Do you know whose car that is?
- 12 A Derek Jordan.
- 13 O Who is Derek Jordan?
- 14 A Derek Jordan is a Business Agent for Local 3.
- 15 Q Can you look back at Respondent's Exhibit R-6, which is
- 16 the large aerial view picture? And can you tell us the placement
- 17 of Mr. Jordan's car on Exhibit R-7, where is that car in
- 18 relation to the Employee parking lot that's at the end of Paidge
- 19 Avenue?
- 20 A It's in between Two and One. It's blocking the road to --
- 21 between Two and One.
- 22 Q So is it before you would get to the -- before the parking
- 23 lots?
- 24 A Yes.
- 25 Q And so is it before you would get to Entrance Number One,

- 1 which you described as the main pedestrian entrance?
- 2 A Yes.
- 3 Q Okay, let's turn to the next page of Exhibit R-7, which
- 4 has a time stamp of 6:40. And do you recognize this as a still
- 5 from the security video that you viewed?
- 6 A Yes, I do.
- 7 Q And the vehicle that's sort of in the center of the
- 8 picture towards the bottom, the view of it is cut off back by
- 9 the flagpole, whose vehicles is that?
- 10 A Derek Jordan.
- 11 Q And how is that car parked in relation to the flow of
- 12 traffic on Paidge Avenue?
- 13 A Perpendicular.
- 14 Q Do you see any other vehicles that are perpendicular to
- 15 the flow of traffic on Paidge Avenue?
- 16 A Yes, there's a blue Honda is the main one. There's another
- 17 few parked in strategic positions there.
- 18 Q And I want to just see if we can specify where the blue
- 19 Honda is. So, if you look at the right side of the photo along
- 20 the curb, there's a vehicle with its headlights on.
- 21 A Yes.
- 22 Q And in relation to that vehicle, where is the blue Honda?
- 23 A The blue Honda is perpendicular to the road. And then --
- 24 that's a Honda Pilot, that's parked blocking the rest of the
- 25 road in front of the blue Honda so no one could pass.

- 1 Q Do you know whose car that blue Honda is?
- 2 Α Yes.
- 3 Whose is it?
- It's a Tech, Gates -- Byron. 4 Α
- Are you familiar with a Technician named Byron Yu? 5 0
- Yes, Byron Yu, sorry. 6 Α
- Is that Byron Yu's car? 7
- 8 Α Yes, it is.
- 9 And just kind of proceeding up on the photo, what else do
- you see above Mr. Yu's car? 10
- There are other cars again stranded because they can't get 11
- 12 past.
- Let's turn to the next page of Exhibit R-7, which has a 13
- time stamp of 6:55 a.m. Do you recognize this as an excerpted 14
- from the security video that you viewed? 15
- 16 Yes.
- 17 And is Mr. Jordan's car present in the same location as it
- 18 was before?
- Yes, it is. 19 Α
- 20 And what about Mr. Yu's car?
- 21 Α Yes, it is.
- And what's occurring in this photo beyond Mr. Yu's car? 22
- 23 Α Again, total gridlock -- cars are just piling up because
- they can't get by. Everything is blocked.
- Now this photo has a time stamp of 6:55 on it. What were 25 0

- 1 you doing at 6:55 a.m.?
- 2 A I was just arriving. As I said at 6:50 I arrived. So I was
- 3 coming down around that time.
- 4 Q And you described earlier your normal routine for coming
- 5 up Provost proceeding to the garage --
- 6 A Right.
- 7 Q On April 2^{nd} , 2014 when you arrived -- when you came down
- 8 Provost and arrived at Paidge Avenue were you physically able to
- 9 enter the facility in the normal way by driving into the garage
- 10 at Location Two on Exhibit R-6?
- 11 A No.
- 12 Q Why not?
- 13 A The street was totally blocked. There was no way to get
- 14 down that street.
- 15 Q And when you arrived at the facility at about 6:50 or 6:55
- 16 a.m. on April 2nd was it possible for someone to drive down
- 17 Paidge Avenue into the Employee parking lot at the dead end of
- 18 the street?
- 19 A No, the road was totally blocked. There were people
- 20 standing all over the place. There was no way any vehicle was
- 21 getting was getting down that block.
- 22 Q So when you got to the corner of Paidge Avenue what did
- you do?
- 24 A I tried to figure out a way that I could get to my office
- 25 because I was really concerned that Employees weren't at work

- and we had Customers to serve. 1
- 2 Were you able to find a way to get to your office?
- 3 Α Yes.
- Take a look at Exhibit R-6 again, which is a large aerial 4
- view. And can you tell us where on -- what -- can you tell us 5
- whether Exhibit R-6 reflects the route you used to get into the 6
- building -- the entrance you used to get into the building? 7
- 8 Α Yes.
- And where is that on Exhibit R-6? 9
- It's the exit at Nine. 10
- That's the far left of the picture? 11
- 12 Α Yes.
- Now is that opening at Number Nine on Exhibit R-6 the way 13
- 14 that you would normally enter the facility?
- 15 No. Α
- 16 How long had you been working at the Paidge Avenue
- Facility as of April 2^{nd} , 2014? 17
- 18 Α $4\frac{1}{2}$ years.
- You'd been there 4½ years as of today? 19
- 20 I'm sorry -- two years or so.
- 21 And during that time -- that is between the time that you
- began working there and April 2nd, 2014 on how many occasions 22
- prior to April 2nd had you used that driveway to get into the 23
- 24 facility?
- Never. 25 Α

- And from your experience working at the Paidge Avenue 1
- 2 Facility was that opening normally used as an entrance by other
- 3 people or vehicles seeking to enter the Paidge Avenue Facility?
- Never, it's an exit. 4
- Now on the outside of where Number Nine is indicated on 5
- the aerial view, Exhibit R-6, was there a sign on the street at 6
- 7 that location indicating that it was a Time Warner Cable
- 8 Facility?
- 9 Α No.
- Does Time Warner Cable ever receive deliveries anywhere at 10
- the Paidge Avenue Facility? 11
- 12 Α Yes.
- What kinds of deliveries? 13 0
- Materials, equipment, UPS, food -- you know -- mostly 14
- materials and equipment. 15
- 16 And where do people or vehicles make those various kinds
- 17 of deliveries that you described?
- 18 They go to the loading dock.
- And again with respect to Exhibit R-6 where do you -- the 19
- 20 aerial view, what are you referring to as the loading dock?
- 21 Α Three and Four.
- And when people are making, say, food deliveries, where 22
- 23 would they make food deliveries?
- 24 Α They walk in One.
- That's the main pedestrian entrance? 25

- Α Yes. 1
- And as of 6:50 or 6:55 a.m. on April 2^{nd} would someone 2
- 3 making a delivery be able to drive up to those delivery bays?
- Absolutely not. 4 Α
- And as of 6:50 or 6:55 a.m. would someone making a food 5
- delivery drive up the main entrance at Location One? 6
- Absolutely not. 7
- 8 And to your knowledge do people or vehicles making
- deliveries ever use that rear exit that you used on April 2nd? 9
- No, never. 10
- Now it looks like at Location Nine on Exhibit R-6 is a 11
- garage door opening, once you drive in there are you inside the 12
- 13 building?
- For a few feet, yes, for like 20 feet you are. 14
- And then what happens? 15
- Then you go to the outside, which is depicted in the 16
- 17 picture. It's just an underpass.
- 18 Then you go through an underpass and then you're in like a
- 19 driveway?
- 20 Yes.
- 21 Now when you drove your car into that exit on April 2nd
- were you able to drive your car into the garage? 22
- 23 Α No.
- 24 Why not?
- Because there are no entrances in the back for vehicles. 25

- 1 Q Is there any sort of vehicle opening in the back?
- 2 A Yes, there's an exit.
- 3 O And describe that exit for us.
- 4 A So in the back of the building there's a roll up door that
- 5 would get you onto the path to exit out of Nine.
- 6 Q And that roll up exit door back there, is it normally kept
- 7 locked or unlocked?
- 8 A It's normally -- it's only opened from the inside. It's
- 9 normally open. It goes up with an eye.
- 10 Q And if you drive up to that door from the outside, does
- 11 the door open?
- 12 A No.
- 13 Q And is there any way to open that door from the outside?
- 14 A No.
- 15 Q And just so that we're clear is that door normally down? I
- 16 know that you said that it opens automatically if someone drives
- 17 up from the inside.
- 18 A Yes, it's always down, unless an eye captures motion to
- 19 bring it up.
- 20 Q Okay, unless an eye catches motion on the inside.
- 21 A Correct.
- 22 Q Okay -- and is there any way to open that roll up door,
- 23 for example, with a key from the outside?
- 24 A No.
- 25 Q So what did you do with your vehicle after you drove back

- 1 there?
- 2 A I parked it in the road.
- 3 Q And when you say road you're referring to the alley way?
- 4 A Yes, the alley way that you see behind there.
- 5 Q And after you left your vehicle in the alley what did you
- 6 do?
- 7 A I searched for anyway to get into the building.
- 8 Q And were you able to find a way to get into the building?
- 9 A Yes.
- 10 Q What did you find as a way into the building?
- 11 A I found that there's an iron fire door that was propped
- 12 open with a rock.
- 13 Q And is that fire door normally kept open or closed?
- 14 A Closed.
- 15 Q And is it normally locked or unlocked from the inside?
- 16 A It's normally locked from the inside.
- 17 Q Now if you testified that Technicians go out during the
- 18 day to service Customers in Manhattan do they do that with their
- 19 own vehicles or with Company vehicles?
- 20 A They do that with Company vehicles.
- 21 Q And do they take the Company vehicles home?
- 22 A No, not at that time.
- 23 Q And so where were -- at that time where were the Company
- 24 vehicles kept overnight, in Paidge Avenue?
- 25 A They were kept in the parking lots, in the indoor parking

- 1 lot and the outdoor parking lot.
- 2 Q And by outdoor parking lot you're referring to what I call
- 3 the Employee Parking Lot, the right side of Exhibit R-6?
- 4 A Correct.
- 5 Q And so do -- at that time did Technicians pick up Company
- 6 vehicles from those locations at the beginning of their shifts?
- 7 A No.
- 8 Q I don't mean on April 2nd, I mean in general.
- 9 A I'm sorry. Say that again.
- 10 Q On a normal workday would Technicians pick up their
- 11 vehicles from those locations?
- 12 A Yes, they drive in with their personal cars, most of them,
- 13 some of them take the subway or whatever. They enter the
- 14 building, see their Foreman, and take their vehicles, and go to
- 15 work.
- 16 Q Take their Company vehicle?
- 17 A Take their Company vehicle.
- 18 Q And just so we're clear, what do they do with their
- 19 personal vehicle?
- 20 A They park them in the spots where their Company vehicle
- 21 is.
- 22 Q And back in 2014 on a typical day, do you have an idea
- 23 about how many Employee vehicles would drive into the garage and
- 24 the Employee parking lot from Paidge Avenue say between 6:30 and
- 25 8 in the morning?

- 1 A Probably like 150 vehicles.
- 2 Q And again on a typical day about how many Company vehicles
- 3 would drive out of the garage and the parking lot at the end of
- 4 Paidge Avenue between 6:30 and 8 on a typical day back then?
- 5 A 150 vehicles.
- 6 Q Would it be possible to accommodate that volume of
- 7 vehicles coming into and leaving the facility by using only the
- 8 exit around the back of the building that you used to get in on
- 9 April 2nd?
- 10 A No.
- 11 Q Why would it not be possible?
- 12 A Because that's a one way that's very narrow and there's no
- 13 way entrance to the garage. So you'd be stuck with cars facing
- 14 each other.
- 15 Q Now on April 2^{nd} , 2014 you describe how you got into the
- 16 garage. Once you got into the garage did you observe anything
- 17 about the roll up exit door that you told us about?
- 18 A Yes, there was a white Foreman's vehicle blocking the
- 19 exit.
- 20 Q And what do you mean by a white Foreman's vehicle?
- 21 A So our Foremen drive white Colorado's or white pickup
- 22 trucks. And when I walked into -- through the fire door to the
- 23 right that blue roll up door that we discussed, that opening was
- 24 blocked from the inside by a Foreman's vehicle.
- 25 Q What do you mean by blocked? How was the vehicle stationed

- at the opening? 1
- The vehicle was parked in front of the opening, blocking 2
- the exit.
- Now where in the building is your office located? 4
- On the 4th floor, front. 5
- I'm sorry. Did you say front? 6
- On the front of the 4th floor. 7
- 8 And if you could look once again at Exhibit R-6, the large
- aerial view, and just tell us approximately where in reference 9
- to those numbers where your office is on the 4th floor? 10
- Between One and Two. 11
- 12 Are there windows in your office?
- 13 Α Yes.
- And generally what do view do you have? 14 Q
- The road out front. 15 Α
- 16 Try to just let me finish.
- 17 Α Sorry.
- 18 Now about what time did you get to your office on April
- 2nd, 2014? 19
- 20 A few minutes after 7 a.m.
- 21 And when you got to your office on that day, what did you
- 22 do?
- 23 Α I looked out the window.
- 24 And tell us generally what you saw when you looked out the
- window when you got to your office? 25

- I saw the road being blocked. People standing all over the 1
- place in the middle of the road. Vehicles all over the road, 2
- 3 backed up all the way down past Provost.
- Can you look back now at Exhibit R-7 for identification, 4
- which is a series of photos and turn to the page that has a time 5
- stamp of 7:10 and 10 seconds at the top? 6
- 7 Α Yes.
- 8 Does that photo accurately depict basically what you
- 9 observed when you got into your office on that morning?
- 10 Yes, exactly.
- And what, if anything -- withdrawal that. 11
- 12 When you got to your office that morning and looked out
- 13 the window was it possible for someone to get down Paidge Avenue
- and into the Employee parking lot at the end of Paidge Avenue? 14
- 15 Α No.
- And was it possible for someone to drive down to the main 16
- 17 pedestrian entrance at Location Number One?
- 18 Α No.
- Was it possible for someone to drive down to what you 19
- 20 described as the garage entrance at Location Number Two?
- 21 Α No.
- Was it possible for someone to drive down Paidge Avenue to 22
- 23 the delivery and repair bays at Locations Three through Six?
- 24 Α No.
- And was it possible for someone to get out of the facility 25

- 1 from the exit that you identified as Location Number Seven on R-
- 2 6?
- 3 Α No.
- Now when you looked out the window from your office did 4
- you recognize any of the people out in the street? 5
- Α Yes. 6
- And who did you recognize out in the street? 7
- 8 I recognized Derek Jordan, Phil Papale, Ralph Anderson,
- Frank Tsavaris -- that was about it. 9
- Can you turn to the next page of Exhibit R-7, which is the 10
- photo with the time stamp of 7:26 at the top? 11
- 12 Α Okay.
- 13 Do you recognize this as a still from the security video
- 14 that you viewed?
- 15 Yes. Α
- 16 And what does this photo depict?
- 17 Again, total blockage of the road.
- And what area is it? Can you tell exactly what area? 18
- Yes, this is the end of Paidge -- the part that Paidge 19
- 20 meets Provost.
- 21 So is that the corner where you would normally turn right
- from Provost onto Paidge Avenue? 22
- 23 Α Yes.
- 24 Now while you were in your office at any point did you
- observe a change in what was going on in front of the building 25

- 1 on Paidge Avenue?
- 2 A Yes, at one point I saw Derek Jordan motioning and then a
- 3 big crowd, all of the people that were milling around all over
- 4 the street, walked into the middle of the street by that blue
- 5 Honda.
- 6 Q And approximately what time would you say that happened?
- 7 A It was around probably 7:30.
- 8 Q And can you turn to the next picture in Exhibit R-7, which
- 9 has a time stamp of 7:39:59 at the top? Do you recognize that as
- 10 a still from the security video that you viewed?
- 11 A Yes.
- 12 Q And what does this photo depict?
- 13 A This depicts the gathering that I just stated around the
- 14 blue Honda, where everyone was gathered in the middle of the
- 15 street, blocking the road, and conversing.
- 16 Q And the blue Honda is the vehicle right in the center of
- 17 the picture? There's a vehicle in the center of the picture with
- 18 about four people standing in front of it.
- 19 A Yes, that's the blue Honda.
- 20 Q And to the right of that blue Honda was another vehicle.
- 21 And what is that?
- 22 A That's a black Honda Pilot.
- 23 Q Is that the Honda Pilot that you referred to in the
- 24 earlier testimony?
- 25 A Yes.

- 1 And is there any space on the road on Paidge Avenue where
- 2 a vehicle could pass this crowd and drive down to the Employee
- 3 parking lot?
- No. 4 Α
- And could someone drive down Paidge Avenue and get to the 5
- entrance to the garage at this point? 6
- 7 Α No.
- 8 Do you recall approximately how long this gathering around
- the blue Honda lasted? 9
- About a half hour. 10
- Can you turn to the next page of Exhibit R-7, which has a 11
- time stamp of 6:55 at the top? Do you recall -- do you recognize 12
- this as a still from the security video? 13
- 14 Α Yes.
- What does this one depict? 15 Q
- 16 This is the center of that gathering.
- 17 Q Do you recognize anyone in this picture?
- 18 Α Yes.
- Who do you recognize? 19
- 20 Α Phil Papale.
- 21 Who is Phil Papale? Q
- Phil Papale was a Shop Stewart at the time. 22 Α
- 23 0 And where is he?
- 24 He is to the left with the gray shirt and the blue jacket,
- balding. 25

- 1 Q And do you recognize the person immediately to his right?
- 2 Α Yes.
- 3 Who is that?
- It's Derek Jordan. 4 Α
- When did you the blockade on Paidge Avenue come to an end? 5
- A few minutes after 8 a.m. 6 Α
- Can you turn to the next page in Exhibit R-7? This is the 7
- 8 page that has the time stamp at the top of 8:09:59. What's
- 9 depicted in this picture?
- It's the crowd starting to disperse. 10
- By the way do you recognize this as a still from the 11
- 12 security video?
- 13 Α Yes.
- If you could turn to the next page of Exhibit R-7, which 14
- has a time stamp of 8:25:01? Do you recognize that as a still 15
- 16 from the security video?
- 17 Α Yes.
- 18 What does this one depict?
- That's the road beginning to get back to normal. 19
- 20 And between the time that you arrived in your office and
- 21 began looking outside and when the blockade ultimately boke up
- did you see any Employees entering the garage? 22
- 23 Α I'm sorry. Ask that again.
- 24 Between the time that you got to your office and when the
- blockade finally broke up, did you see any Employees entering 25

- 1 the garage?
- 2 A No, I did not.
- 3 Q Was it possible for anyone to drive into the garage during
- 4 that time?
- 5 A No, the entire road is blocked.
- 6 MR. MARGOLIS: I'd like to move the admission of
- 7 Respondent's Exhibit R-7.
- 8 MR. ROSE: No objection.
- 9 MR. MCGOVERN: No objection.
- 10 JUDGE ROSAS: Respondent's Exhibit R-7 is received.
- 11 (Respondent's R-7 received.)
- 12 BY MR. MARGOLIS:
- 13 Q You can skip over Exhibit R-8, which has already been
- 14 placed in evidence by the General Counsel. And can you now turn
- 15 to -- well, before you turn to another exhibit -- did you take
- 16 any photos that day?
- 17 A Yes.
- 18 Q And can you now turn to the next exhibit, which is
- 19 Respondent's Exhibit R-9 for identification. Do you have Exhibit
- 20 R-9 for identification in front of you?
- 21 A Yes, I do.
- 22 Q And did you take this photo?
- 23 A Yes, I did.
- 24 Q And what is it a photo of?
- 25 A Of the vehicle that was perpendicular in the street, the

- 1 blue Honda, Byron Yu's vehicle with a sign in the window that
- 2 says 'work safe'.
- 3 And do you know when you took this photo?
- Around noon. 4
- 5 (Respondent's Exhibit R-9 identified.)
- BY MR. MARGOLIS: 6
- Why did you take the photo? 7
- 8 Because I noticed that day that this vehicle was blocking
- 9 the entire road and stopped our business, impacting our
- Customers. I knew that it was an Employee's vehicle and I wanted 10
- to know whose it was. 11
- 12 Now other than -- by the way did you yourself take any
- 13 other photos that day?
- 14 Α No.
- And other than that photo that you took, do you know if 15
- 16 anyone else took photos of the events of that morning?
- 17 Α Yes.
- Can you now turn to the next exhibit that's been marked 18
- for identification as Respondent's Exhibit R-10? Since this one 19
- 20 doesn't have time stamps, let's mark the first page as
- 21 Respondent's Exhibit R-10(a) for identification. And the second
- page will be Respondent's R-10(b) for identification. And the 22
- 23 third page will be Respondent's R-10(c) for identification. Can
- 24 you take a look at these photos and tell us what they are?
- So the first one, R-10 is --25

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- Actually, before you tell us, have you seen these photos 1
- before? 2
- 3 Α Yes, I have.
- And when did you first see them? 4
- That day, that morning. 5 Α
- 6 How did you come to see them? Did someone show them to
- 7 vou?
- 8 Yes, one of the Supervisors, Jerry Lombay, took these
- 9 pictures and showed them to me on his phone.
- 10 (Respondent's R-10 identified.)
- MR. MARGOLIS: I'll move the admissions of R-10(a) through 11
- 12 R-10(c).
- JUDGE ROSAS: How about R-9? 13
- MR. MARGOLIS: R-9, I can move the admission of that, as 14
- well. 15
- 16 MR. ROSE: No objection to R-9.
- 17 JUDGE ROSAS: No objection to R-9.
- (Respondent's R-9 received.) 18
- MR. MARGOLIS: And we're skipping R-8. 19
- 20 JUDGE ROSAS: So you're not offering R-8?
- MR. MARGOLIS: No, I'm not. 21
- JUDGE ROSAS: Do you want to voir dire? 22
- 23 MR. ROSE: Yes, Your Honor.
- 24 VOIR DIRE
- BY MR. ROSE: 25

- 1 Q Mr. Cory, you said that Mr. Lombay showed you these
- 2 photographs on the day of?
- 3 A Yes.
- 4 Q Did he show you them in this form printed out?
- 5 A No.
- 6 Q What did he show you?
- 7 A On his phone.
- 8 Q Do you recall about what time he showed R-10(a) to you?
- 9 Did she show you all around the same time?
- 10 A Yes, he showed me them all at the same time.
- 11 Q About what time?
- 12 A It was probably around 8:30, 9 a.m.
- MR. ROSE: No objection, Your Honor.
- 14 JUDGE ROSAS: Okay, Respondent's R-10(a), R-10(b), and R-
- 15 10(c) are received.
- 16 (Respondent's R-10 received.)
- 17 DIRECT EXAMINATION
- 18 BY MR. MARGOLIS:
- 19 Q Can you take a look at R-10(a) for us, Mr. Cory? First, at
- 20 the top of the photo there's some kind of structure of beams,
- 21 some sort of structure in the photo in the upper right.
- 22 A Yes.
- 23 Q Do you know what that is?
- 24 A That's the overhang over the front door, Pedestrian
- 25 Entrance.

- 1 So is this the -- is this photo taken from the approximate
- location of the main entrance? 2
- 3 Α Yes.
- What's depicted in R-10(a)? 4
- Derek Jordan's car and then the group in the huddle and 5
- then -- you know -- the road being blocked from there on out. 6
- And when you say the group in the huddle, you're referring 7
- 8 to the group of people who came together with Mr. Jordan, as you
- testified about earlier? 9
- Yes. 10
- Now can you take a look at R-10(b) and tell us what that 11
- 12 depicts?
- That is what I'm stating as all of the people that came 13
- together around Derek and Phil Papale and across the entire road 14
- 15 having conversations.
- 16 And the car at the left of this photo, R-10(b), whose car
- 17 is that?
- The Charger? 18 Α
- 19 0 Yes.
- 20 Derek Jordan.
- 21 And Exhibit R-10(c), what does that depict?
- That picture is Derek Jordan taking pictures of Jerry 22
- 23 Lombay.
- 24 And does that depict the same gathering that you just
- described a moment ago? 25

- Α 1 Yes.
- 2 Now you mentioned earlier that when you were on your way
- 3 to work you spoke to the Director of Security and asked him to
- call the Police. Did you ever see the Police show up at Paidge 4
- Avenue that day? 5
- Α Yes. 6
- Did you yourself have any conversations with the Police 7
- 8 Officers?
- 9 No.
- Now as of April 2^{nd} , 2014 did the Technicians work in 10
- various shifts? 11
- 12 Yes.
- And as of that time what time of the morning did most 13
- Technicians start their shift? 14
- 15 7 a.m. Α
- 16 Were there some started at somewhat later times, as well?
- 17 Yes, there were shifts past 8:30 and a late shift.
- Do you recall if there was a shift at 7:30 start? 18
- 19 Yes. Α
- 20 Approximately how many Technicians were scheduled to start
- 21 work at 7 or 7:30 as of April of 2014?
- Again, around 150. 22 Α
- 23 And on a normal workday what would the Technicians do when
- 24 they arrived?
- They would drive into the garage or drive into the parking 25

- 1 lot. They'd see their Foreman. There's a window where the
- 2 Foreman take attendance. They punch in. They see their Foreman.
- 3 They get their assignments for the day. They go to their
- 4 vehicles and exit the building.
- 5 Q And when they exit the building where do they go?
- 6 A They go to their first job, which is the largest requested
- 7 appointment by all Customers.
- 8 Q Can you explain what you mean by the largest requested
- 9 appointment?
- 10 A The earlier appointments are the most sought after
- 11 Customers -- you know -- appointments. So, no matter if there's
- 12 any days with open windows, the mornings get filled up first
- 13 because they want to get their issue resolved before they go to
- 14 work.
- 15 Q And on a typical work day say a Technician, whose shift
- 16 starts at 7 a.m. when he does the process that you described and
- 17 then leaves to go to the first job what, in general, what kind
- 18 of time would he arrive at the first job?
- 19 A It depends. It depends in the city, a half hours average,
- 20 20 minutes.
- 21 Q And does it matter to the business if there's an hour long
- 22 delay when Techs leave the garage?
- 23 A Yes.
- 24 Q Why is that?
- 25 A Because you miss appointments. Then you're late for a

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- Customer's appointment. Customers leave. They call complaints. 1
- They leave us. Then it backs up the entire rest of the day 2
- 3 because we try to catch up.
- Now when you told us earlier that after you left your car 4
- outside and came into the garage, did you see any Technicians in 5
- the building? 6
- 7 Α Yes.
- 8 And how many Technicians did you see and where?
- 9 I saw -- so when I walked through that iron door into the
- garage I saw four Technicians sitting on an IT desk by the 10
- Uniform Room. 11
- 12 What were they doing?
- 13 Α Just sitting there.
- And you mentioned earlier that the process is when a 14
- Technician arrives to speak to the Foreman and get their 15
- 16 assignments for the day.
- 17 Α Yes.
- 18 Was that going on when you came in?
- No, the lights were off and the Foreman weren't there. 19
- 20 MR. MARGOLIS: Can we have a moment off the record, Your
- 21 Honor?
- 22 JUDGE ROSAS: Off the record; 11:51:28 a.m.
- (Discussion off the record.) 23
- JUDGE ROSAS: On the record; 11:51:57 a.m. 24
- BY MR. MARGOLIS: 25

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- Mr. Cory, you gave some testimony about security cameras 1
- at the facility. And in particular, the cameras trained on 2
- 3 Paidge Avenue. Were those cameras in place prior to April 2nd,
- 2014? 4
- Α Yes. 5
- Were they in place as long as you've worked at the 6
- facility? 7
- 8 Α Yes.
- 9 Have they remained in place?
- 10 Α Yes.
- Do you know why the Company has security cameras trained 11
- on the Paidge Avenue Facility? 12
- 13 To secure their assets and Employees.
- MR. MARGOLIS: No further questions. 14
- JUDGE ROSAS: Are you ready to proceed with cross or do 15
- 16 you need a minute?
- 17 MR. ROSE: We need about 15 minutes, Your Honor.
- JUDGE ROSAS: Off the record. 18
- (Whereupon, at 11:52:51 a.m., a break was taken.) 19
- 20 JUDGE ROSAS: On the record; 12:08:12 a.m.
- 21 MR. ROSE: If the Court Reporter could place in front of
- the witness General Counsel's Exhibit GC-23(b). 22
- 23 CROSS EXAMINATION
- BY MR. ROSE: 24
- If you could just set that aside for a second, I just 25

- 1 wanted you to get it out so that you could easily get to it when
- 2 I asked you about it.
- 3 A Okay.
- 4 Q But if you could look now at Respondent's Exhibit R-5,
- 5 please. And look at the aerial view portion, if you would,
- 6 please. What is across Paidge Avenue from the Time Warner
- 7 Facility on Paidge Avenue? Do you know what's located there?
- 8 A A sewer plant.
- 9 Q Do you know who owns the sewer plant?
- 10 A No.
- 11 O It's not Time Warner. Is it?
- 12 A No.
- 13 Q I believe in your testimony you said that some Technicians
- 14 take the subway to work to your knowledge. Is that correct?
- 15 A Yes.
- 16 Q About how many would you estimate, if you can?
- 17 A I would probably say 20%.
- 18 Q And where is, if you know, the nearest subway to Paidge
- 19 Avenue?
- 20 A It's over the Pulaski Bridge.
- 21 Q Is that within walking distance from Paidge Avenue?
- 22 A It depends on who you are. It's over a brief. There is a
- 23 bus that runs through there, as well. People do walk it, though.
- 24 Q To your knowledge people do walk it to work?
- 25 A Yes.

- 1 Q Where does the bus drop them off? Do you know?
- 2 A I'm not sure.
- 3 Q Is -- if you look at GC-5, the color portion, to your
- 4 knowledge, if you know, would the bus stop be anywhere in the
- 5 vicinity that's pictured here?
- 6 A No.
- 7 Q Paidge Avenue, is that a private street owned by Time
- 8 Warner to your knowledge?
- 9 A I don't believe so.
- 10 Q If you could please look at General Counsel's Exhibit --
- 11 well, I don't think that I have to ask you to look at it -- you
- 12 recall identifying the Firehouse Annex?
- 13 A Yes.
- 14 Q If you could just say what is your basis of knowledge for
- 15 knowing that is a Firehouse Annex and what it's used for?
- 16 A They're our neighbors. So I know that large emergency
- 17 equipment is stored there. And it's also kind of their fleet
- 18 headquarters, where if a vehicle has to go out of service they
- 19 take an older engine that's in there and bring it to the
- 20 Firehouse and take the damaged engine to the Annex.
- 21 Q Would it be fair to say that these are based on
- 22 conversations that you've had with the Fire Department Employees
- 23 over time?
- 24 A Yes.
- 25 Q Employees of -- if you could please look at Respondent's

- R-10(a)? And if you could look at Respondent's R-6, as well, the 1
- 2 big one? So that overhang that you see in R-10(a), is that more
- 3 or less where the Number One is written in on Respondent's R-6?
- Yes, a little to the left of it, to that white column. 4
- Is your office one of the windows on the 4th floor near the 5
- white column? 6
- 7 Α Yes.
- 8 0 Left or right?
- 9 To my left.
- If you were looking out the window, the column would be --10
- I'm sorry -- can you see your window on R-6? 11
- 12 Yes.
- If you could describe where it was? 13
- Again, to the left of the white column, to my left here to 14
- the white column. 15
- 16 So Technicians who take the subway, would they enter to go
- 17 to work underneath the overhang in R-10(a)?
- 18 Α Yes.
- And I imagine what's -- for example, a Salesperson, who 19
- 20 wants to sell something to Time Warner would enter in that --
- 21 underneath the overhang, as well?
- Α Yes. 22
- 23 You mentioned, and correct me if I'm wrong, there was a 7
- a.m. shift for Technicians and a 7:30 a.m. shift for
- Technicians? 25

- Yes, there's -- remember so there's all different groups: 1
- Commercial, Business, Construction, and there are various shifts 2
- 3 starting at say, 7 a.m., going into the afternoon.
- Do all Technicians go out in the field, as far as their 4
- iobs? 5
- So, it depends on what you call a Technician. Right? So, 6
- they're -- in the Bargaining Unit they call Warehouse Employees 7
- 8 Technicians and Dispatchers Technicians, but they're not Field
- Technicians. 9
- I see. So, alright, I understand. 10
- The Field Technicians all go out in the field. 11
- So the Warehouse people stay put on Paidge Avenue. Is that 12
- 13 correct?
- Correct. 14 Α
- So the Warehouse Technicians stay put. Do they have shifts 15
- that start at 7 or 7:30 back then on April 2nd? Do you know?
- 17 Their shifts would have to mirror the Support Systems,
- 18 which is the Field Techs, so yes.
- Is that the same is true for Dispatch Technicians? 19
- 20 Correct.
- 21 About how many Dispatch Technicians are there, just
- roughly? We'll take 2014 because we're talking about that time. 22
- 23 Α Probably about 75.
- 24 And Warehouse Technicians, how many?
- 30. 25 Α

- 1 Q And what other -- give me examples of other Employees at
- 2 the Paidge Avenue Facility who would -- whose job in the
- 3 Bargaining Unit or not, whose job it is just to stay at the
- 4 facility and not go out, for example, the Clerical Staff?
- 5 A The Administrative folks, Warehouse, Dispatch -- there is
- 6 a Commercial TOC and that's about it.
- 7 Q TOC is an acronym, T-O-C?
- 8 A Yes.
- 9 Q Can you just explain for the record what that is?
- 10 A It's Technical Operations Center.
- 11 Q So now -- your prior testimony when yesterday and I
- 12 believe that you said that you were involved in the suspensions
- 13 and disciplines. Correct?
- 14 A Correct.
- 15 Q And you're fully aware of the evidence collected in the
- 16 investigation for the discipline?
- 17 A Yes.
- 18 MR. MARGOLIS: Your Honor, I'm going to object at this
- 19 point.
- MR. ROSE: This is foundation for my next question.
- JUDGE ROSAS: Okay, let's give it another question to see.
- MR. ROSE: Okay.
- 23 BY MR. ROSE:
- 24 Q Is it true that some Field Technicians did punch in on
- 25 time either at the 7 a.m. or the 7:30 a.m. shift? Is that

- 1 correct?
- 2 A I don't know the answer to that.
- 3 Q Alright -- you were shown still shots from the security
- 4 video. Was there anyone operating the video camera that day to
- 5 your knowledge?
- 6 A I don't know.
- 7 Q If you look at, please, GC -- I'm sorry, Respondent's R-6
- 8 again.
- 9 A Okay.
- 10 Q I believe that Mr. Margolis asked you about white lines
- 11 there.
- 12 A Yes.
- 13 Q And it's correct that those white lines on Paidge Avenue
- 14 represent parking spaces?
- 15 A Yes.
- 16 Q Are those parking spaces reserved solely for Time Warner
- 17 Employees?
- 18 A No.
- 19 Q So Members of the Public with no business with Time Warner
- 20 can park there?
- 21 A Yes.
- 22 Q I want to ask you about -- well, if you could please go to
- 23 my exhibit now. I'm sorry, General Counsel's Exhibit GC-23(b).
- 24 I'd like to ask you some questions about that. And if you could
- 25 please -- you spoke about the blue Honda?

- 1 A Correct.
- 2 Q Looking at the numbers here can you identify the number
- 3 that corresponds to the blue Honda car?
- 4 A Two.
- 5 Q If you could look at Respondent's Exhibit R-10(b), please.
- 6 A Yes.
- 7 Q Do you see the blue Honda in this photograph?
- 8 A Yes.
- 9 Q Where is it?
- 10 A It's behind the three men. The one with the blue hood, the
- 11 gray hood, and then the other gentlemen -- they're standing
- 12 right between us and the vehicle.
- 13 Q And if you could look at R-10(c), Respondent's R-10(c), is
- 14 that a closer up shot of the blue Honda?
- 15 A Yes.
- 16 Q If you can go back to Respondent's R-10(b), please? Do you
- 17 see the car -- and I believe that it's a -- I see that it's a
- 18 Honda Insignia, it's the car that's with the lights on that's in
- 19 the middle of margin on the far right of R-10(c).
- 20 A Yes, the Honda Pilot.
- 21 Q The Honda Pilot -- that's the Honda Pilot. And if you look
- 22 at General Counsel's Exhibit GC-23(b), can you identify the
- 23 number of the car that is the Honda Pilot?
- 24 A Seven.
- 25 Q Could you look at Respondent's Exhibit R-7, Page 6? It's

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- the time stamp 7:39 and 59 seconds. 1
- 2 0 What time stamp?
- 3 7:39 and 59 seconds.
- And do you still have Exhibit GC-23(b) in front of you, 4
- sir? 5
- Is that the big one? Α 6
- It's General Counsel's GC-23(b). 7
- 8 Α Yes.
- 9 Okay, thank you -- so the -- so you see in Respondent's
- Exhibit R-7, Page 6, you see the Honda Pilot. Correct? 10
- Correct. 11
- 12 That's the big car parked next to the sidewalk on the
- 13 right. Correct?
- 14 Correct. Α
- And you see, am I correct, the blue Honda? 15
- 16 Yes.
- 17 And that's, as you noted before, three people are standing
- in front of it in this photo on Page 6 of R-7 and the middle one 18
- 19 sort of has a gray hood. Is that correct?
- 20 Yes.
- 21 Now if you move your gaze up from the blue Honda, up about
- 22 an inch and a half --
- 23 Α In which picture?
- 24 Oh, I'm sorry, on Page 6 of R-7.
- R-6 of R-7. 25 Α

- 1 Q If you move your gaze up about an inch and a half, you see
- 2 the windshield of a car that's facing towards the camera.
- 3 A Yes.
- 4 0 Would that be Car Number 6 on GC-23(b)?
- 5 A Yes, it looks to be.
- 6 Q And do you see on -- I'm sorry -- on Exhibit R-7, Page 6,
- 7 do you see at the time stamp 2014?
- 8 A I'm sorry. Which one?
- 9 Q The same one that you're looking at, Respondent's R-7,
- 10 Page 6.
- 11 A What's the time stamp?
- 12 0 It's 7:39:59.
- 13 A Okay.
- 14 Q So you see at the time stamp it says '2014'?
- 15 A Yes.
- 16 Q And do you see right below 2014 is a white car?
- 17 A Yes.
- 18 Q Or a light colored car?
- 19 A Yes.
- 20 Q And just next to that car between the -- would you agree
- 21 that between that white car and the crowd sits a car that's
- 22 halfway out in the street?
- 23 A Yes.
- 24 Q And if you look at GC-23(b), would you agree that's Car
- 25 Number 3 in GC-23(b)?

- 1 A It looks to be.
- 2 Q Now you mentioned in your testimony that the Police were
- 3 called. Is that correct?
- 4 A Correct.
- 5 Q Remind me, did you direct someone to call the Police?
- 6 A Yes.
- 7 Q Who did you direct to call the Police?
- 8 A Our Director of Security.
- 9 Q And his name is or her name?
- 10 A His name is Brian Reich.
- 11 Q Brian Reich -- is he an Employee of Time Warner or Allied
- 12 Barton, perhaps?
- 13 A No, he was an Employee of Time Warner.
- 14 Q And the security for Time Warner at the time was
- 15 contracted to Allied Barton. Correct?
- 16 A Yes.
- 17 Q So do you know if Brian Reich did, in fact, follow your
- 18 directive and call the Police?
- 19 A Yes.
- 20 Q How do you know that?
- 21 A Because we had communication after that and the Police
- 22 came.
- 23 Q How many police cars came?
- 24 A Two.
- 25 Q Two police cars came. To your knowledge did any of the

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- 1 Police talk to anybody?
- So, I've been told that they walked through the center of 2
- 3 the crowd and spoke with Derek Jordan.
- Am I correct to say that this is information that you 4
- discovered in the investigation of the incident? 5
- Yes. Α 6
- And did the investigation uncover what the response was of 7
- 8 the Police after talking to Derek Jordan?
- 9 Again, what I was told was that the Police told him to
- move and disperse and Derek said that they would. 10
- Do you recall the names of the Allied Barton Security 11
- 12 People at that time, who were there on April 2nd?
- 13 Α No.
- Oh, if you could please look at Respondent's Exhibit R-7, 14
- 15 Page 6.
- 16 MR. MARGOLIS: Is that the same one we were looking at?
- 17 MR. ROSE: Yes, it's time stamped 7:39:59.
- THE WITNESS: Got it. 18
- BY MR. ROSE: 19
- 20 If you look at the photograph there's a gentleman on the
- 21 sidewalk on the bottom right hand corner of the photograph. Do
- you see that gentleman? 22
- 23 Α Yes.
- 24 It appears that he's holding something in his hand?
- Blurry eyes -- it looks like it. 25

- 1 Q You said that you were looking out that window that day.
- 2 Do you recall seeing that gentleman?
- 3 A No.
- 4 Q From looking at this do you know who that gentleman is?
- 5 A No.
- 6 Q Based on your knowledge of the facility do you know where
- 7 he's walking to?
- 8 MR. MARGOLIS: Objection.
- 9 JUDGE ROSAS: Sustained.
- 10 MR. ROSE: Okay, I'll withdrawal the question. Your Honor,
- 11 if I could have five minutes I may not have any questions.
- 12 JUDGE ROSAS: Okay, off the record; 12:31:39 p.m.
- MR. ROSE: Thank you.
- 14 (Discussion off the record.)
- 15 JUDGE ROSAS: On the record; 12:38:53 p.m. Do you have
- 16 anything further?
- MR. ROSE: Yes, I do, Your Honor.
- JUDGE ROSAS: Okay.
- 19 MR. ROSE: I'm marking for identification General
- 20 Counsel's document -- two page document, General Counsel's
- 21 Exhibit GC-36. The last General Counsel's Exhibit was GC-34. But
- 22 this is because we had pre-marked another exhibit that we
- 23 intended to put in, but it hasn't been offered yet. So, I'll
- 24 just write the number here.
- 25 BY MR. ROSE:

- 1 Q Mr. Cory, to your knowledge Time Warner had the Security
- 2 Agents that were contracted through Allied Barton, had the
- 3 Security Guards on that date submit Incident Reports. Correct?
- 4 A If they were, I'm not aware.
- 5 Q Do you know who would be aware?
- 6 A I would assume that the Director of Security.
- 7 Q Anybody else at the Company?
- 8 A Maybe HR.
- 9 Q That would -- okay.
- 10 MR. ROSE: Well, Your Honor, this is production of a
- 11 business record. I would like to stipulate into evidence, even
- 12 though the witness can't identify it, but it is a business
- 13 record.
- 14 JUDGE ROSAS: From the Respondent?
- MR. ROSE: Yes.
- JUDGE ROSAS: Do you want to show it to Counsel?
- MR. ROSE: Yes.
- 18 JUDGE ROSAS: Counsel?
- MR. MARGOLIS: No objection.
- 20 JUDGE ROSAS: General Counsel's GC-36 is received in
- 21 evidence.
- 22 (General Counsel's GC-36 received.)
- MR. ROSE: Yes, Your Honor.
- 24 JUDGE ROSAS: And what are they for the record?
- 25 MR. ROSE: An Incident Report, a two page document.

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1 (General Counsel's GC-36 identified.) JUDGE ROSAS: Okay. 2 3 MR. ROSE: Would you like a copy for yourself, Your Honor? JUDGE ROSAS: We'll need it for the record, two sets, when 4 we're finished. 5 MR. ROSE: I have no more questions for the witness. 6 JUDGE ROSAS: Okay, Charging Party? 7 8 MR. MCGOVERN: No questions. 9 JUDGE ROSAS: Any redirect? 10 MR. MARGOLIS: No redirect. JUDGE ROSAS: Okay, thank you sir, please don't discuss 11 12 your testimony with anyone until you're advised otherwise by Counsel. 13 14 THE WITNESS: Thank you. (Witness excused.) 15 16 JUDGE ROSAS: Are you ready with your next witness? Let me 17 just add -- off the record. (Whereupon, at 12:42:47 p.m., a lunch break was taken.) 18 19

1	AFTERNOON SESSION
2	(1:25:38 p.m.)
3	JUDGE ROSAS: On the record.
4	MR. MARGOLIS: The Employer calls Spencer Walker.
5	Whereupon,
6	SPENCER WALKER
7	having been first duly sworn, was called as a witness and
8	testified herein as follows:
9	JUDGE ROSAS: Please have a seat and state and spell your
10	name and provide us with an address.
11	THE WITNESS: My name is Spencer Walker: S-P-E-N-C-E-R; W-
12	A-L-K-E-R. Address is , Bethpage, New York.
13	DIRECT EXAMINATION
14	BY MR. MARGOLIS:
15	Q Good afternoon, Mr. Walker.
16	A Good afternoon.
17	Q Do you work for Time Warner Cable?
18	A Yes, I do.
19	Q How long have you worked for the Company?
20	A For the Company, four years, two months.
21	Q And what position do you currently hold?
22	A I'm a Tech Operation Manager with Business Services.
23	Q And what position did you hold in April of 2014?
24	A The same position, Tech Operation Manager Business
25	Services.

- 1 Q Do you work at a particular office or location?
- 2 A Yes, I work at 59 Paidge Avenue in Brooklyn.
- 3 Q How do you normally get to work?
- 4 A I drive my personal vehicle to work.
- 5 Q And thinking back to 2014 in general what time would you
- 6 typically get to work?
- 7 A I generally get to work about -- anywhere between 6:20 or
- 8 6:30, depending on traffic, within that window.
- 9 Q I'd like to show you a document that's in evidence as
- 10 Respondent's Exhibit R-6. it's been identified as an aerial view
- 11 of the Paidge Avenue Facility. Can you just with reference to
- 12 that aerial view, can you just tell us the route that you would
- 13 normally take when driving your vehicle?
- JUDGE ROSAS: Is this Respondent's R-6?
- MR. MARGOLIS: Yes.
- 16 THE WITNESS: Normally, Provost is on the left, in the
- 17 left bottom corner, I usually head up Provost, make a right on
- 18 Paidge Avenue, and head down towards 59 Paidge. And my usual
- 19 entrance is in Location Number 2, which is the last garage
- 20 entrance going into the garage before the parking lot.
- 21 BY MR. MARGOLIS:
- 22 Q And when you say Entrance Number 2 you're referring to the
- 23 Number 2 that's on the aerial view in front of you?
- 24 A That's correct.
- 25 Q Respondent's Exhibit R-6 -- and again, thinking back to

- 2014 on a typical morning when you drove up Provost to Paidge 1
- Avenue would there be vehicles parked along Provost? 2
- 3 No -- well, the only time that there would be vehicles
- there if there was a movie shoot, if a movie was being filmed, 4
- 5 but mostly no.
- 6 Now if you look on Paidge Avenue on the right hand side
- 7 there's what's been identified as some parking spaces, and when
- 8 you on a typical day in 2014 when you would drive to work how
- 9 many cars would be parked in those parking spaces, if any?
- There would be around 8 to 10 maybe, not more than 10 10
- vehicles. 11
- Now on -- I want to draw your attention to April 2^{nd} , 2014. 12
- 13 on that date did you come up Provost, the way that you normally
- 14 would?
- Yes, I did. 15
- And as you drove up Provost and came to Paidge Avenue did 16
- 17 you notice anything that was unusual?
- 18 Yes, I did.
- And before you tell me what was unusual, can you tell me 19
- what time it was that you arrived there?
- 21 It was around the same time. It had to be at the bottom of
- the hour, maybe around 6:30. 22
- 23 So what was it that you saw that was unusual when you got
- to Provost and Paidge?
- 25 Well, as I approached the stop sign on Provost leading to

- 1 Paidge I noticed about four or five vehicles parked on the
- 2 right, right before Paidge Avenue. Normally there's no vehicles
- 3 parked there, again, unless there's a movie, a filming truck or
- 4 some filming machinery or vehicles.
- 5 O And that was on Provost?
- 6 A That was on Provost, correct.
- 7 Q And with respect to Paidge Avenue did you notice anything
- 8 unusual there?
- 9 A Yes, on Paidge Avenue I did. I usually make a right and go
- 10 down Paidge Avenue. But as I pulled up seeing the vehicles on
- 11 Provost I looked down Paidge and I saw some activity. I did see
- 12 maybe one or two vehicles that were blocking the Paidge Avenue,
- 13 along with some Pedestrians, some activity going. So, I didn't
- 14 think that it was safe for me to drive down in that area. So I
- 15 averted and went another direction.
- 16 Q Tell us where you went.
- 17 A I know that the Company has a parking lot on the left side
- 18 of Provost. So instead of making my normal right hand turn, I
- 19 made a left hand turn to park in the sort of auxiliary parking
- 20 lot across the street.
- 21 Q And again looking at Respondent's Exhibit R-6, is that
- 22 auxiliary parking lot what appears at the very lower left of the
- 23 picture?
- 24 A Correct.
- 25 Q Now can you take a look at that aerial view and do you see

- a place that's marked Number Nine? 1
- 2 Α Yes.
- 3 And do you know what Number Nine is?
- Yes, I do. 4 Α
- What is it? 5 0
- That's the exiting area from the parking lot in the rear. Α 6
- And does it show the alley way or roadway along the side 7
- 8 of the building there?
- 9 Yes, it does.
- And you refer to that as an exit from the back of the 10
- 11 building. Is it a two way passageway or one way passageway?
- 12 No, it's a one way passageway.
- 13 How long had you been working at the Paidge Facility as of
- April of 2014? 14
- About 2½ years. 15
- And during that $2\frac{1}{2}$ years up until April 2^{nd} , 2014 had you 16
- 17 ever used that rear exit to enter the premises?
- No, I've never. 18
- And when you arrived on April 2nd, 2014 at Provost and 19
- 20 Paidge that you told us about did you consider taking that rear
- 21 exit into the facility?
- 22 Α No.
- 23 0 Why not?
- 24 I've never seen a vehicle exit from there. and just from
- driving, having exit at the rear before I know that it's kind of 25

- a narrow path and if another vehicle is coming out while I was 1
- 2 trying to enter through the exit, I'll have to probably back up
- 3 a long way to get out of -- to be clear of that vehicle that
- 4 exiting the correct way.
- You said that you've never seen a vehicle exit the rear. 5
- Do you mean that you never seen --6
- 7 I've never seen the vehicle enter -- enter through the
- 8 exit. I'm sorry.
- 9 So, after you parked your car in the auxiliary parking
- lot, what did you do next? 10
- After I parked my vehicle -- actually I sat for a minute 11
- and I kind of looked out my window and I stood up and I grabbed 12
- 13 my gear and I walked across Paidge Avenue and down the sidewalk
- -- that would be the sidewalk where the building is located. 14
- What, if anything, did you observe as you walked down that 15
- 16 sidewalk?
- 17 Again, I observed a few cars, maybe four or five vehicles
- 18 that were in the roadway, meaning not in the parking spaces. And
- about anywhere from about 15 or so people congregating towards 19
- 20 the center of Paidge Avenue.
- 21 And where did you go when you walked down the sidewalk?
- I walked directly straight down the sidewalk and I entered 22
- 23 through the 59 Paidge Avenue main entrance way.
- 24 Is that the entrance way that's marked as Number One on
- that aerial view? 25

- Α That's correct. 1
- And when I say aerial view I'm referring to Respondent's 2
- Exhibit R-6, which you have in front of you? 3
- Correct. 4 Α
- So, what's your estimate of what time that it was when you 5
- went into the main entrance? 6
- I went into the main entrance probably maybe about a 7
- 8 quarter to seven, fifteen minutes later.
- 9 And at some point did you -- that morning did you come
- back out to Paidge Avenue? 10
- Yes, I did. 11
- About what time did you think that it was that you came 12
- 13 back out?
- 14 I came back out about five minutes after seven. It was
- after 7:00. 15
- 16 What, if anything, did you observe get on Paidge Avenue
- 17 when you came out at that time?
- When I came out at that time I think about 20 or so 18
- minutes had passed and I noticed the crowd had gotten a little 19
- 20 larger and there was more vehicles parked in the roadway of
- 21 Paidge Avenue and just a group gathering that had increased in
- size so maybe around this time maybe 30 or 40 people. 22
- 23 And if you came out at around 5 after 7 how long do you
- think that you stayed out there?
- I stayed there for about 10 or 15 minutes. 25

- 1 Q And what did you do then?
- 2 A After then I left to go back and communicate with my VP as
- 3 for the activity that I had been watching. So I went back to go
- 4 and check my emails and see if I had missed any phone calls at
- 5 my desk.
- 6 Q So if you came out at 7:05 and I think that you said that
- 7 you stayed out for maybe about 15 minutes, so about what time
- 8 did you go back in?
- 9 A Probably around 7:20 or 7:25 I went back in.
- 10 Q And did you ever come out again to Paidge Avenue?
- 11 A Yes, I did.
- 12 Q About what time do you think that it was that you came
- 13 back out?
- 14 A That was around 7:35 or somewhere in there.
- 15 Q What, if anything, did you observe when you came about at
- 16 about 7:30 or 7:35?
- 17 A At that time I did notice again an increases in the number
- 18 of people that were in the center of Paidge Avenue and there
- 19 appeared to be some type of gathering, some type of meeting
- 20 going on. It was at a distance, so I really couldn't hear, but I
- 21 did notice it.
- 22 Q And just to paint a picture for us, you said some kind of
- 23 gathering.
- 24 A Yes.
- 25 Q What you saw then when you came out at around 7:35, how is

- it different, if it was, from what you had seen in your pervious 1
- 2 trips outside?
- 3 My pervious trips outside it was kind of more looser
- gathering. But at the time that I came out at 7:35 it seemed to 4
- be a more organized circle with the center point -- a center 5
- point of someone speaking and having a conversation with the 6
- entire crowd. So, it was more of a sort of a meeting in process 7
- 8 at that point.
- 9 And at that point from your observation would it have been
- possible for someone to drive a vehicle down Paidge Avenue to 10
- get to the Employee parking lot or the garage entrance? 11
- No, absolutely not. 12
- 13 And did you become aware at any point of this gathering
- 14 breaking up?
- Yes, I did. 15 Α
- 16 Approximately when did you see that?
- 17 I started to notice -- I left my office at -- maybe about
- 18 8, ten minutes before 8:00 to go back in and run another check
- and see what was going on to report to my VP. And as I was 19
- 20 approaching the garage door that was open I noticed some people
- 21 from the gathering was walking into the garage door and into the
- front of the building, headed into the garage areas. So I was 22
- 23 going directly in the path as the crowd was kind of breaking up.
- 24 Maybe that time maybe it was like a quarter to eight -- I'm
- sorry -- it was like five minutes to eight or so. 25

- 1 MR. MARGOLIS: No further questions.
- 2 MR. ROSE: No questions, Your Honor.
- 3 JUDGE ROSAS: Thank you, sir, you're excused. Please do
- not discuss your testimony with anyone until you're advised 4
- 5 otherwise by Counsel. Have a good day.
- (Witness excused.) 6
- MR. MARGOLIS: If I could just have a moment? 7
- 8 JUDGE ROSAS: Off the record; 1:39:36 p.m.
- (Discussion off the record.) 9
- JUDGE ROSAS: On the record; 1:40:48 p.m. Your next 10
- witness? 11
- 12 MR. MARGOLIS: Respondent calls Mary Maldonado.
- 13 Whereupon,
- MARY MALDONADO 14
- having been first duly sworn, was called as a witness and 15
- 16 testified herein as follows:
- 17 JUDGE ROSAS: Please be seated. State and spell your name
- 18 and provide us with an address.
- 19 THE WITNESS: Sure -- Mary: M-A-R-Y; last name is
- 20 Maldonado. I'll spell it: M-A-L-D, as in David, O-N-A-D, as in
- 21 David, O. and the address is 59 Paidge Avenue, Brooklyn, New
- 22 York.
- 23 DIRECT EXAMINATION
- 24 BY MR. MARGOLIS:
- Ms. Maldonado, do you work for Time Warner Cable? 25

- 1 A Yes.
- 2 Q What position do you hold?
- 3 A Director of Human Resources.
- 4 Q How long have you worked for the Company?
- 5 A Since September of 2012.
- 6 Q At what location are you based?
- 7 A 59 Paidge Avenue.
- 8 Q By the way, were you working at Paidge Avenue on April 2nd,
- 9 2014?
- 10 A Yes.
- 11 Q Were you there that day?
- 12 A Yes.
- 13 Q Did you become familiar with some unusual events that
- 14 occurred at Paidge Avenue that occurred that day?
- 15 A Yes.
- 16 Q And just in general what happened to your knowledge?
- 17 A In general there were over 100 Employees in the middle of
- 18 the street outside of the entrance to the Paidge Facility that I
- 19 normally drive into.
- 20 Q And did you participate in an investigation of these
- 21 events?
- 22 A Yes.
- 23 Q And in terms of investigating the events, what tasks did
- 24 you perform?
- 25 A I worked with Security to obtain the video footage. And

- 1 then I had a couple of sessions, where Managers were invited to
- 2 view the footage in order to identify Employees that they
- 3 recognized. And after those Employees were recognized I
- 4 coordinated the interviews along with other HR Staff.
- 5 Q When you say the interviews, the interviews of who?
- 6 A Of the Employees that were identified as being outside
- 7 congregating to the entrance to the building.
- 8 Q Did you conduct any of those interviews yourself?
- 9 A I did.
- 10 Q Who is Bryon Yu?
- 11 A Byron Yu is a Technician, Time Warner Cable Employee, that
- 12 is dispatched out of the Paidge location.
- 13 Q And you mentioned that there were meetings to review the
- 14 security video to identify the participants. Was Mr. Yu
- 15 identified as a participant in those events?
- 16 A Yes.
- 17 Q Did you interview Mr. Yu?
- 18 A I did.
- 19 Q And who, if anyone, besides you and Mr. Yu were present
- 20 when you interviewed him?
- 21 A The Manager, his Manager at the time, as well as, his Shop
- 22 Steward.
- 23 Q Do you recall anything that Mr. Yu told you?
- 24 A Yes, he told me that he was instructed to move his vehicle
- 25 at a parking spot in front of the building into the middle of

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- the street. And I asked him who gave him that instruction. And 1
- 2 he told me that he was told to move the vehicle by Derek Jordan.
- 3 Did he tell you whether he complied with that instruction
- from Mr. Jordan? 4
- Α He did. 5
- 6 He told you. And what did he say? I mean, did he did say
- whether he complied? 7
- 8 He did say whether or not. I asked him initially what did
- 9 you do. And he said, "I just initially listened."
- And I asked him if he moved the vehicle. And she said, 10
- "Yes." 11
- 12 And in subsequent conversations he continued to say yes,
- 13 that he was instructed by Derek.
- 14 MR. ROSE: Your Honor, I'd like to object to this
- testimony on the grounds of hearsay. 15
- 16 JUDGE ROSAS: Can I ask you to just step outside one
- 17 moment?
- 18 THE WITNESS: Sure.
- JUDGE ROSAS: While we discuss the ramifications. 19
- 20 (Witness leaves.)
- 21 JUDGE ROSAS: So you know the drill. If you can represent
- that you're going to be calling an Out of Court Declarant in 22
- 23 this case, Mr. Yu, that's one approached. There might be some
- 24 other approaches that might corroborate this interview or
- conversation as in interview notes that are in evidence, I 25

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- believe. Is that right? 1
- 2 MR. ROSE: Not for Byron Yu.
- 3 MR. MARGOLIS: However, they did on exactly what's about
- to happen. Which is we intend to put in evidence the interview 4
- notes, as well as, to show a snippet from the security video 5
- 6 that corroborates this testimony.
- JUDGE ROSAS: Counsel, do you want to have a -- either a 7
- 8 ruling, voir dire or otherwise preceding that involving the
- 9 investigatory notes as a foundation before I rule on that. Or do
- you want to stipulate to the receipt of the interview notes. Or 10
- if you don't stipulate would you agree to the authentication of 11
- 12 them or do you want the witness to lay that foundation?
- 13 MR. ROSE: I'll have the witness lay the foundation.
- JUDGE ROSAS: So, let's backtrack. Can we deal with that? 14
- MR. MARGOLIS: Sure. 15
- 16 JUDGE ROSAS: I think that would be the collaboration in
- 17 my hearing room.
- 18 (Witness re-enters.)
- 19 BY MR. MARGOLIS:
- 20 So you were telling us that you interviewed Byron Yu. Did
- 21 you make any notes of your interview of Mr. Hugh?
- 22 Α Yes.
- 23 When did you make those notes?
- 24 Α During the actual discussion with him.
- And when did you make those notes? 25

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- During the actual discussion with him. 1 Α
- 2 And by the way, did you right down every single word that
- Mr. Yu said?
- No, the interview ranged five to over ten minutes. So, I 4
- did not. 5
- Even though you didn't write down every word was the 6
- information that you wrote down an accurate reflection of what 7
- Mr. Yu said at the time?
- 9 Yes.
- I'm just going to leave these face down at the moment. 10
- 11
- But I want you to turn it over and look at the first one, 12
- 13 which is Respondent's Exhibit R-11 for identification.
- MR. ROSE: And may we have a packet too? 14
- MR. MARGOLIS: I think that you do. 15
- MR. ROSE: Oh, we do. You just laid this -- oh, here it is 16
- 17 -- okay, thank you.
- BY MR. MARGOLIS: 18
- So, Ms. Maldonado, you have before you a document that's 19
- 20 been marked for identification as Respondent's Exhibit R-11. Can
- 21 you tell us what that is?
- These are the actual notes of that discussion in my 22
- 23 handwriting with Byron Yu.
- 24 (Respondent's R-11 identified.)
- BY MR. MARGOLIS: 25

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And in your notes did you record anything that Mr. Yu told

- 2 you about instructions from Derek Jordan?
- 3 A Yes.

1

Q

- 4 Q And where is that?
- 5 A The bottom of Page 1 -- 'Derek Jordan asked me to move my
- 6 vehicle'. It says 'diagonal', but in the video you'll see that
- 7 it's across traffic on the street.
- 8 MR. MARGOLIS: I'd like to move the admission of
- 9 Respondent's Exhibit R-11.
- MR. ROSE: No objection.
- 11 JUDGE ROSAS: Respondent's R-11 is received.
- 12 (Respondent's R-11 received.)
- 13 BY MR. MARGOLIS:
- 14 Q Now when you -- did you have occasion to review the
- 15 security video?
- 16 A Yes.
- 17 Q And when you reviewed the security video did you observe
- 18 anything that appeared to relate to what Mr. Yu had told you
- 19 about moving his car?
- 20 A Yes.
- 21 MR. MARGOLIS: Your Honor, if I could have a moment? I
- 22 need to tee up with a second of the video.
- JUDGE ROSAS: Off the record; 1:49:59 p.m.
- 24 (Discussion off the record.)
- JUDGE ROSAS: On the record; 1:50:45 p.m.

- 1 BY MR. MARGOLIS:
- 2 Q Ms. Maldonado, I'm about to show you a segment of the
- 3 security video, starting at 6:25:03. It will run for a couple of
- 4 minutes.
- 5 (Video plays.)
- 6 BY MR. MARGOLIS:
- 7 Q Ms. Maldonado, the segment of the video that you just
- 8 reviewed, did that relate -- when you viewed that did you
- 9 believe that related to what Mr. Yu had told you?
- 10 A Yes.
- 11 Q And can you describe that it was that you saw in that
- 12 segment of the video that you think related to what Mr. Yu had
- 13 told you?
- 14 A Sure, the first car in the middle of the street identified
- 15 as Derek Jordan being the Driver came out of the vehicle and an
- 16 Employee was approached and identified as Byron Yu. And he
- 17 directed him to move the vehicle to the street. And you see a
- 18 hand motion twice.
- 19 And that person then turns around and goes into the
- 20 vehicle as identified as the vehicle identified as Bryon Yu
- 21 going directly into the middle of street. And that's the second
- 22 part.
- 23 Q You say that you saw a hand motion twice. Whose hand
- 24 motion is that?
- 25 A By Derek Jordan.

- 1 Q What I'd like to do is go back and pinpoint the place
- 2 where you see the hand motions.
- 3 A Can you stop and maybe go back two or three seconds?
- 4 Q Okay.
- 5 A Right there.
- 6 Q 6:25:21 and 22?
- 7 A I wasn't looking at the time but that sounds about right.
- 8 Q Okay, the record will reflect that Ms. Maldonado is
- 9 highlighting 6:25:21 and 22.
- Just so we're clear you were just noting what was
- 11 occurring in the video at the point that you just noted.
- 12 A Yes.
- 13 Q What was that you saw?
- 14 A I was seeing Derek hand motion from where vehicles were
- 15 parked into the center of the street twice.
- 16 Q And what happened immediately after that?
- 17 A There was the reversal of Byron Yu back to the vehicle. He
- 18 owns the Honda. And then pulled into the back enter, parking
- 19 into the middle of the street.
- MR. MARGOLIS: Your Honor, are you prepared to make a
- 21 Ruling on the prior objection regarding hearsay at this point?
- JUDGE ROSAS: You're going to renew that question now?
- MR. MARGOLIS: Yes.
- 24 JUDGE ROSAS: So the pervious objection in connection with
- 25 that hearsay statement is overruled, based on the foundation

- provided by Counsel. 1
- BY MR. MARGOLIS: 2
- 3 Ms. Maldonado, did Byron Yu receive any disciplinary
- action as the result of the events of April 2nd? 4
- Yes. 5 Α
- And do you recall what kind of discipline that he 6
- received? 7
- 8 He received a final written warning, as well as, a
- 9 suspension.
- Can you take a look at the next document in the stack and 10
- turn it over? 11
- 12 MR. MARGOLIS: Your Honor, I believe that this document is
- 13 actually in evidence as a General Counsel Exhibit.
- MR. ROSE: It is, Your Honor. It is GC-9. 14
- BY MR. MARGOLIS: 15
- 16 So you have before you a document that's in evidence as
- 17 General Counsel's Exhibit GC-9. Do you recognize that?
- I do. 18 Α
- What is it? 19
- 20 It is the corrective action issued to Byron Yu, as well
- 21 as, the cover letter to that. And it is in his personal folder,
- 22 this document.
- 23 And in the cover letter, does it address the subject of
- Mr. Yu moving his vehicle under the instruction of Mr. Jordan?
- 25 Α Yes.

- 1 Q And were you -- did you participate in issuing these
- 2 documents to Mr. Yu?
- 3 A It was issued by Gregg Cory and I was in the meeting, as
- 4 well, and signed off on the document.
- 5 Q And did the subject of Mr. Yu moving his vehicle at the
- 6 instruction of Mr. Jordan come up in that meeting?
- 7 A It did because it was addressed in this cover letter.
- 8 Q And what, if anything, did Mr. Yu say about it?
- 9 A He actually demonstrated some gratitude to the
- 10 organization in that it was not a termination of him. And he
- 11 said that he was following the directive, yet again he told me,
- 12 of Derek Jordan to move the vehicle.
- 13 Q Ms. Maldonado, were -- Mr. Anderson, Mr. Ali, Mr.
- 14 Tsavaris, and Ms. Cabrera identified on the security video as
- 15 participants in the blockade?
- 16 A Yes.
- 17 Q Did you interview any of those four people?
- 18 A I did.
- 19 Q Which of them did you interview?
- 20 A I interviewed Frank, Ms. Cabrera, and Ralf.
- 21 Q Just so the record is clear, Ralf is Ralf Andersen?
- 22 A Yes, Ralf Andersen.
- 23 O And Frank is Frank Tsavaris?
- 24 A Yes, Frank Tsavaris.
- 25 Q And when you met with Mr. Andersen, who was present by the

- 1 way besides the two of you, if anyone?
- 2 A It was a Manager, as well as, a Steward.
- 3 Q Did you ask Mr. Andersen if he had been present on Paidge
- 4 Avenue on April the 2^{nd} ?
- 5 A Yes.
- 6 Q And what -- how did you he respond to that?
- 7 A He said that he was there to speak to his Steward.
- 8 Q Do you remember if he said how long he had been there?
- 9 A I think 7 a.m.
- 10 Q And did you make notes of your interview with Mr.
- 11 Andersen?
- 12 A Yes.
- 13 Q And when did you make those notes?
- 14 A During the interview.
- 15 Q Can you take a look at the next document, which is
- 16 Respondent's Exhibit R-13 for identification?
- MR. ROSE: So, Your Honor, just to be clear with regard to
- 18 the exhibits -- what's marked as Respondent's R-13 is actually
- 19 GC-16. And I'll stipulate that it's the same document.
- 20 (Respondent's R-13 identified.)
- MR. ROSE: So, Respondent's R-12 was the same document as
- 22 -- I think that it was GC-9. And I said that it was the same
- 23 document.
- 24 (Respondent's R-12 identified.)
- MR. ROSE: So, I'm going -- these aren't going to go into

- 1 the record. Correct?
- 2 MR. MARGOLIS: I'm happy to withdrawal.
- 3 JUDGE ROSAS: She can use it. We'll admit that General
- 4 Counsel's GC-16 is what's before her.
- 5 MR. ROSE: Okay -- did Mr. Margolis mark it as GC-16?
- 6 MR. MARGOLIS: No, I just crossed it out.
- 7 MR. ROSE: Okay, that's fine. I just want to know what's
- 8 going on.
- 9 BY MR. MARGOLIS:
- 10 Q So you're looking at a document that's in evidence as
- 11 General Counsel's Exhibit GC-16. Can you tell us -- is this your
- 12 notes of the interview of Mr. Andersen?
- 13 A Yes.
- 14 Q And in the interview did Mr. Andersen tell you how long he
- 15 remained outside on Paidge Avenue? Maybe you could answer
- 16 without looking at the notes first, and then we'll take it one
- 17 step at a time.
- So, do you remember how long he stayed there, until what
- 19 time?
- 20 A He said that he remained outside until the end, but I
- 21 don't recall what time that was.
- 22 Q And do you remember if Mr. Andersen had said anything in
- 23 your interview about his ability to drive down the street?
- 24 A Yes.
- 25 Q And what did he say?

- He said that he arrived about 7:00 and that he parked 1
- toward the end of the street on Provost, which is the cross 2
- 3 street to Paidge because he couldn't get down the block, down
- the street. 4
- Did you record in your notes a mention by Mr. Andersen his 5
- inability to get down the block? 6
- Can I take a look? 7
- 8 0 Yes.
- 9 Α Yes.
- And just where is that on the document? 10
- The middle of the page, towards the right -- 'end of 11
- Provost, parked diagonal, and then I could not get down the 12
- 13 block'.
- Did you record in your notes how long Mr. Andersen 14
- remained outside? 15
- 16 Yes, towards the bottom third of the page, I guess.
- 'Remained outside until it broke up, 8:10 a.m.' 17
- MR. ROSE: Your Honor, I have to object. This happened two 18
- years ago. The first question is "Do you recall what the answer 19
- was?" 20
- 21 And if she doesn't recall, she could refresh -- Mr.
- Margolis could refresh her recollection. But it seems to be 22
- 23 getting a little messy.
- 24 JUDGE ROSAS: The documents speak for themselves.
- MR. MARGOLIS: Just since these are handwritten documents, 25

- 1 I thought that it would be --
- JUDGE ROSAS: You're trying to -- let me see the document.
- 3 It's in pretty good penmanship to me. They speak for themselves.
- 4 Let's try to stick with whatever else you need, subject to her
- 5 present recollection, without looking at the documents.
- 6 BY MR. MARGOLIS:
- 7 Q Ms. Maldonado, you said Mr. Andersen told you that he
- 8 arrived at around 7:00?
- 9 A Yes.
- 10 Q Do you recall whether there was anything in security video
- 11 that gave you any information about when he arrived?
- 12 A He arrived very early and that the large group of
- 13 Employees had yet to congregate. It was swarming. So there was a
- 14 small cluster of three or four Employees when he was observed in
- 15 the video for the first time.
- 16 Q I'd like to have you look at the next document, which is
- 17 Respondent's -- we're going to make it R-14 for identification,
- 18 which is a series of still photos.
- 19 (Respondent's R-14 identified.)
- 20 BY MR. MARGOLIS:
- 21 Q The first one has a time stamp of 6:36. Do you recognize
- 22 that first page as a still taken from the security video?
- 23 A Yes.
- 24 Q Who is the person with the yellow circle around him?
- 25 A That is Ralf Andersen.

1 Q And now if you could turn to the next page, which has a

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- 2 time stamp of 6:35:55? Can you see if Mr. Andersen appears in
- 3 that picture anywhere?
- 4 A He is there, as well, yes.
- 5 Q Can you tell us where he is?
- 6 A He is to the left of Derek Jordan on the top portion of
- 7 the photo with the white sneakers.
- 8 Q If you could turn to the next page, which has the time
- 9 stamp of 6:36:13, does Mr. Andersen appear there?
- 10 A Yes, in the center of the photo.
- 11 Q On the next one, which has a time stamp of 6:36:14, can
- 12 you see Mr. Andersen in that picture anywhere?
- 13 A This is getting grainier. I can't identify.
- 14 MR. MARGOLIS: I move the admission of Respondent's R-14.
- MR. ROSE: May I have a minute, Your Honor?
- 16 JUDGE ROSAS: Sure.
- MR. ROSE: No objection.
- 18 JUDGE ROSAS: Respondent's R-14 is received.
- 19 (Respondent's R-14 received.)
- 20 BY MR. MARGOLIS:
- 21 Q I'm now going to show you, Ms. Maldonado -- actually, let
- 22 me ask you first. Did you interview Ms. Cabrera?
- 23 A Which one?
- 24 Q Ms. Cabrera?
- 25 A Yes.

- 1 Q And when you interviewed her to you remember if she said
- 2 that she was present at the events of April 2^{nd} , 2014?
- 3 A Yes.
- 4 Q Do you remember if she gave you any explanation as to why
- 5 she was there?
- 6 A Yes, she was dropping someone off.
- 7 Q Did she tell you who she dropped off?
- 8 A She did not give me a name.
- 9 Q Did you make notes of the interview of Ms. Cabrera?
- 10 A Yes.
- 11 Q I'd like to show you a document that's in evidence as
- 12 General Counsel's GC-15. Are those the notes of the interview
- 13 with Ms. Cabrera?
- 14 A Yes, that's my handwriting.
- 15 Q And -- okay, and did the -- did the security video shed
- 16 any light on whether Ms. Cabrera was present?
- 17 A Yes, she was on the video.
- 18 Q I'd like to show you a document that's in evidence as --
- MR. MARGOLIS: I'm looking for Respondent's R-2(a).
- MR. MCGOVERN: It's in the right hand pile.
- JUDGE ROSAS: R-2?
- MR. MARGOLIS: Yes.
- 23 BY MR. MARGOLIS:
- 24 Q So, I'm showing you a document that's in evidence as
- 25 Respondent's R-2. Do you recognize that as an excerpt from the

- 1 security video?
- 2 A Yes.
- 3 Q And who is the person with the orange circle around her?
- 4 MR. ROSE: That's R-3(a), Your Honor. So, it's R-3.
- 5 MR. MARGOLIS: I'm sorry.
- 6 MR. ROSE: I'll stipulate that what Mr. Margolis is
- 7 showing is actually Respondent's R-3.
- 8 MR. MARGOLIS: Correct, thank you.
- 9 BY MR. MARGOLIS:
- 10 Q So, on Respondent's R-3(a), who is the person with the
- 11 orange circle around her?
- 12 A That is Ms. Cabrera.
- 13 Q And that is on the first page, which is at 7:52:27 of the
- 14 time stamp?
- 15 A Yes.
- 16 Q If you take a look at the second page of Respondent's
- 17 Exhibit R-3, which has a time stamp of 7:46 and 26 seconds, do
- 18 you see Ms. Cabrera in that picture?
- 19 A Yes.
- 20 Q And just tell us where she is?
- 21 A Towards the bottom on the left hand side, side profile
- 22 with the gray hoodie.
- 23 Q Did you interview Frank Tsavaris?
- 24 A Yes.
- 25 Q Did Mr. Tsavaris tell you whether he was present at the

- 1 events of April 2nd?
- 2 A Yes.
- 3 Q Did he offer any type of explanation as to why he was
- 4 there?
- 5 A He did not disclose. It said that it was for personal
- 6 reasons that he was in the area.
- 7 O He said that he was in the area?
- 8 A Yes.
- 9 Q Did you take notes of your interview with Mr. Tsavaris?
- 10 A Yes.
- 11 O I'd like to show the witness the next document, which
- 12 we'll mark for identification as Respondent's Exhibit --
- 13 MR. ROSE: GC-17.
- MR. MARGOLIS: Oh, it's already in?
- 15 MR. ROSE: Yes, GC-17.
- 16 BY MR. MARGOLIS:
- 17 Q I'm sorry. It's General Counsel's Exhibit GC-17. Do you
- 18 recognize that document?
- 19 A Yes, that's my handwriting.
- 20 Q And are these the notes of your interview with Mr.
- 21 Tsavaris?
- 22 A Yes.
- 23 O Who is Frank Cammarata?
- 24 A Frank Cammarata is a Time Warner Cable Employee,
- 25 Technician, that dispatches out of the Paidge Facility.

- 1 Q Did you interview Mr. Cammarata as part of the
- 2 investigation?
- 3 A Yes.
- 4 Q Do you recall anything that was discussed with Mr.
- 5 Cammarata?
- 6 A Yes.
- 7 Q What do you recall?
- 8 A Postings that he put on social media in relation to the
- 9 events on April 2^{nd} and the day prior.
- 10 Q And did you ask Mr. Cammarata about Facebook postings?
- 11 A Yes.
- 12 Q Why -- or what prompted you to ask him about Facebook
- 13 postings?
- 14 A He was referencing action being taken at the Paidge
- 15 Facility on the evening prior to it occurring on the morning of
- 16 April 2^{nd} , and then the morning of April 2^{nd} , as well.
- 17 Q And when you say that he was referencing, he was
- 18 referencing where?
- 19 A The Paidge location.
- 20 Q Where did he make a reference to that?
- 21 A On Facebook.
- 22 Q I'd like to have you look at the next document, which
- 23 we'll mark as Respondent's R-15.
- 24 A Thank you.
- 25 Q I'm showing you for identification a document that's been

- 1 marked for identification as Respondent's R-15. Do you recognize
- 2 that?
- 3 A Yes.
- 4 Q What is it?
- 5 A It is Facebook postings by Frank Cammarata.
- 6 (Respondent's R-15 identified.)
- 7 BY MR. MARGOLIS:
- 8 Q When did you first see this?
- 9 A I would say a couple of days after April 1st.
- 10 Q And is this the Facebook postings that you mentioned a few
- 11 minutes ago in which Mr. Cammarata referenced the events at
- 12 Paidge Avenue?
- 13 A Yes.
- 14 Q And I believe that you said that he referenced them both
- 15 the day before and the day of the events?
- 16 A Yes.
- 17 Q Where is there a reference the day before to those events?
- 18 A April 1^{st} at 5:37 p.m. he posted a statement saying, 'So my
- 19 fellow Local 3 friends tomorrow at 6:30 in front of Paidge
- 20 Avenue there will be a Union rally. I'm not sure what they are
- 21 planning to do, but I'll be there to stand up for what's left of
- 22 the Union.
- 23 MR. ROSE: Your Honor, is this being offered into
- 24 evidence?
- MR. MARGOLIS: I'm sorry. I neglected to do that. I move

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- 1 for the admission.
- 2 MR. ROSE: I'd like to voir dire, Your Honor?
- 3 VOIR DIRE
- 4 BY MR. ROSE:
- 5 Q Good afternoon, Ms. Maldonado.
- 6 A Good afternoon.
- 7 Q This document, do you know how it was collected -- how it
- 8 was put in the form that it is now?
- 9 A Collected by who? I'm unclear.
- 10 Q Well, that's I'm asking you. Well, if you look at it, it
- 11 says on the very top it says 'AT&T LTE 10:20 p.m., 23%'. Does
- 12 that appear to you to be a Smartphone notification or top
- 13 banner?
- 14 A AT&T is a phone service. So, I'll say yes.
- 15 Q So, when did you first see this document?
- 16 A After April 2nd.
- 17 Q Who gave it to you?
- 18 A It was provided to us by Chris Lembo.
- 19 Q Chris?
- 20 A Lembo: L-E-M.
- 21 Q And when you saw it did he provide it to you in this -- in
- 22 the form that you see it now, as it looks to you now?
- 23 A Yes.
- 24 Q Did Mr. -- is it Lombay?
- 25 A Lembo.

- 1 Q Lembo -- who is Mr. Lembo?
- 2 A L-E-M-B-O.
- 3 Q L-E-M-B-O -- and who is that gentleman?
- 4 A He is currently the Senior Director of Dispatch and him
- 5 and his team just sit at the Paidge location.
- 6 Q And in 2014 did he have the same title?
- 7 A He did not.
- **8** Q What was it in 2014?
- 9 A I don't recall. I think that it was Manager.
- 10 Q And Mr. Lembo handed this to you. Is that what you're
- 11 saying?
- 12 A I don't recall.
- MR. ROSE: No objection, Your Honor.
- 14 MR. MCGOVERN: No objection.
- 15 JUDGE ROSAS: Respondent's R-15 is received.
- 16 (Respondent's R-15 received.)
- 17 DIRECT EXAMINATION
- 18 BY MR. MARGOLIS:
- 19 Q So you told us a little while ago, Ms. Maldonado, that you
- 20 brought up the subject of Facebook postings with Mr. Cammarata
- 21 in his interview. Do you recall anything that Mr. Cammarata said
- 22 about the Facebook posting?
- 23 A Yes, he was taken aback and said that information should
- 24 be his -- his page should be private. So he acknowledged the
- 25 postings, that he did the postings.

- 1 Q And did you make notes of the interview with Mr.
- 2 Cammarata?
- 3 A I did.
- 4 Q I'd like you to take a look at the next document, which is
- 5 marked for identification as Respondent's Exhibit R-16. What's
- 6 that document?
- 7 A This is my handwriting of the notes of the interview.
- 8 Q Did you take those notes during the interview?
- 9 A Yes.
- 10 (Respondent's R-16 identified.)
- 11 MR. MARGOLIS: I move for the admission of Respondent's R-
- 12 16.
- MR. ROSE: No objection.
- 14 MR. MCGOVERN: No objection.
- 15 JUDGE ROSAS: Respondent's R-16 is received.
- 16 (Respondent's R-16 received.)
- MR. MARGOLIS: I have no further questions, Your Honor.
- 18 JUDGE ROSAS: Cross?
- 19 MR. ROSE: Just a few minutes, Your Honor?
- JUDGE ROSAS: Sure, off the record.
- 21 (Whereupon, at 2:19:55 p.m., a break was taken.)
- JUDGE ROSAS: On the record; 2:24:47 p.m.
- 23 CROSS EXAMINATION
- 24 BY MR. ROSE:
- 25 Q Ms. Maldonado, if you could please put in front of you

- 1 General Counsel's Exhibits GC-15, GC-16, and GC-17?
- JUDGE ROSAS: General Counsel's?
- 3 MR. ROSE: Yes.
- 4 MR. MARGOLIS: Mr. Rose, can you just refer to them by
- 5 name?
- 6 MR. ROSE: Sure, it would be the interview notes for Frank
- 7 Tsavaris, Diane Cabrera, and Ralf Andersen.
- 8 THE WITNESS: GC-15, GC-16, and GC-17?
- 9 MR. ROSE: Yes.
- 10 THE WITNESS: Okay, I have them.
- MR. ROSE: Thank you.
- 12 BY MR. ROSE:
- 13 Q Ms. Maldonado, you said in your direct examination that,
- 14 and correct me if I'm wrong, that you saw over 100 Employees in
- 15 the middle of the street. Was that your testimony?
- 16 A Yes.
- 17 Q And this was -- you saw them personally? This wasn't in
- 18 the security video. This is -- you saw them live?
- 19 A On the video, on the video
- 20 Q Oh, so you saw them on the video. So that -- based on what
- 21 you saw on the video you counted over 100 Employees?
- 22 A Correct -- I had the option to pause and do a count.
- 23 Q Oh, okay -- did you include the sidewalk in that count,
- 24 people on the sidewalk?
- 25 A I cannot recall the exact portion of the video that I

- 1 paused to say yes or no to that.
- 2 Q Do you know Steven Ramgeries?
- 3 A Yes, he's a Manager.
- 4 Q Did you see him on the video? Do you recall?
- 5 A I did not see him on the video.
- 6 Q But when you were counting over 100 people on the video
- 7 were these all Techs? Did you make sure that you were counting
- 8 only Techs, or were there other people other than Techs?
- 9 A I cannot answer that for sure. It might possibly happen.
- 10 Q If you could look at -- let's look at Diane Cabrera's, if
- 11 you wouldn't mind?
- 12 A Sure.
- 13 Q Now these questions -- well, actually you would agree the
- 14 questions that were asked of Diane Cabrera, Ralf Andersen, and
- 15 Frank Tsavaris, as reflected on these documents, are the same?
- 16 A Yes.
- 17 Q And, in fact, this was a list of questions devised by the
- 18 Human Resources Department.
- 19 A Yes.
- 20 Q Were you part of the team that devised these questions?
- 21 A Yes.
- 22 Q So this was the script of questions, would you agree, that
- 23 you were after that you would ask -- that all of the Managers
- 24 would ask all the interviewees? I can repeat the question if you
- 25 didn't understand.

- 1 A Just to clarify, Human Resources did the interviews, not
- 2 Managers.
- 3 Q I understand, Human Resource Managers. Now -- so this was
- 4 the script that all of the Human Resources Managers were
- 5 supposed to ask all the Employees. Correct?
- 6 A Yes.
- 7 Q And you personally, you stuck to the script. Correct?
- 8 A Not in each instance, no -- it was an open dialogue, an
- 9 opportunity for the Employees to give their rational as to them
- 10 being the center of the street on April 2nd.
- 11 Q So you're saying that, for example, when you interviewed
- 12 Diane Cabrera, are you saying that you might not have asked all
- 13 of these questions?
- 14 A Correct.
- 15 Q Would you agree that -- now this is your handwriting, for
- 16 example, on Diane Cabrera's? Correct?
- 17 A Yes.
- 18 Q Would you agree that if you wrote something down next to a
- 19 question that indicates that you asked that question?
- 20 A Yes.
- 21 Q For example, if you could look at Diane Cabrera's. You
- 22 asked the question, 'How long are you working for WTC?' You
- 23 asked that question -- TWC, sorry?
- 24 A The date next to it would support that, yes.
- 25 Q Okay, so because you wrote the date next to it as the

- 1 answer that means that you asked that question. Correct?
- 2 A Yes.
- 3 Q And that's true for Diane Cabrera, Ralf Andersen, and
- 4 Frank Tsavaris. Correct?
- 5 A Yes.
- 6 Q Do you recall asking either Diane Cabrera, Frank Tsavaris,
- 7 or Ralf Andersen whether Derek Jordan was present at the event?
- 8 I see that you're looking down. Are you looking at the
- 9 documents?
- 10 A I'm sorry.
- 11 Q That's okay.
- 12 A The question was 'How did you know of the meeting and who
- instructed you to be there?'
- 14 Q Oh, okay -- so you asked the question -- and I'm looking
- 15 at Diane Cabrera's and if you would look at it with me.
- 16 A Sure.
- 17 Q You asked, 'Who told you about this gathering?' Is that
- 18 the question that you asked?
- 19 A Point me to the section.
- 20 Q It's sort of in the middle of the page.
- 21 A When did you receive notification -- are you pointing to a
- 22 question that --
- 23 Q Yes, I'm pointing to a question that's printed 'Who told
- 24 you about this gathering?'
- 25 A Okay, I'm there.

- 1 Q So you asked that question of Diane Cabrera. Correct?
- 2 A Yes.
- 3 Q And did you also ask her 'When did you receive
- 4 notification of the gathering?' Correct?
- 5 A That is there, yes.
- 6 Q Did you also ask how did or was -- how did -- was this
- 7 event communicated to you?
- 8 A Yes.
- 9 Q You asked that question?
- 10 A Yes.
- 11 Q And you also asked the question, 'What were you told about
- 12 the reason for the protest?' Did you ask that question?
- 13 A I might have. I would need to look at each page. At some
- 14 instances the answer came up later in the conversation and I
- 15 would have annotated possibly on the second page or a margin, as
- 16 you can see.
- 17 Q I'll give you a chance to look at it, if you would,
- 18 because I would like to know if you asked that question and if
- 19 can by looking at this, answer yes or no.
- 20 A So to clarify, the reason -- right? Is that the question?
- 21 I see it further up -- a safety meeting.
- 22 Q Okay.
- 23 A Ouestion Number 5.
- 24 Q I see. So, in answer to my question -- in answer to my
- 25 query -- did you ask the question what were you told about the

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- 1 reason for the protest?
- 2 A A safety meeting.
- 3 Q But did you ask that question?
- 4 A Yes.
- 5 Q If you could please look at Frank Tsavaris'?
- 6 A Okay.
- 7 Q And the same question, 'What were you told about the
- 8 reason for the protest?' Did you ask that question of Frank
- 9 Tsavaris?
- 10 A Yes, my notes say here, 'Derek here to have a meeting'.
- 11 Q Now going back to Diane Cabrera's, you have some notes
- 12 here at the bottom. Could you explain what they are at the very
- 13 bottom of the first page?
- 14 MR. MARGOLIS: Your Honor, I'm going to object to the
- 15 question about what they are.
- JUDGE ROSAS: Well, can you make out -- it's cut off --
- 17 right -- this copy?
- 18 THE WITNESS: Yes.
- 19 JUDGE ROSAS: Trying to read that last line, can you
- 20 gather from the context of what is written there, what the
- 21 remainder of the document stated, if you recall?
- THE WITNESS: Reading here, I cannot.
- 23 BY MR. ROSE:
- 24 Q Actually, my question was -- you had stated that much of
- 25 the handwriting near the questions are answers to the questions.

- 1 I'm asking you what is the nature of what is written at the
- 2 bottom.
- 3 A So, with 'very reluctant'?
- 4 Q Yes.
- 5 A Okay, Ms. Cabrera in prior instances when performance was
- 6 brought to her attention --
- 7 Q I'm sorry. Are you reading from something?
- 8 A You're asking me why I put this. Was that not the
- 9 question?
- 10 Q I'm sorry that I interrupted you. Please continue. It
- 11 looked like you were reading something.
- 12 A I'm sorry. No, I'm giving you context as to why I wrote
- 13 the notes.
- 14 Q That's the question, thank you.
- 15 A Was not very participatory in the process -- would be
- 16 unresponsive, would have unreasonable delays, attempt to
- 17 disengage. She demonstrated those behaviors again during this
- 18 interview. So I made note of that.
- 19 Q Do you recall if she told you why she was very reluctant
- 20 to respond?
- 21 A I don't recall.
- 22 Q If you want to look at the pages to refresh your
- 23 recollection, please do.
- 24 A I don't see that I wrote anything of her rational.
- 25 Q If you could turn to the next page.

- 1 A Okay.
- 2 Q So, you -- does this indicate that you, in fact, asked the
- 3 question of Diane Cabrera 'Have you reviewed the CBA?'
- 4 A Yes.
- 5 Q And you also asked her the question 'Are you familiar with
- 6 the section that prohibits cessation or stoppage of work?'
- 7 A Yes.
- 8 Q By the way, do you see the question, it's underneath the
- 9 words that say 'for those that weren't working that day'? Do you
- 10 see?
- 11 A Yes, I do.
- 12 Q And is it true that Diane Cabrera was not -- was one of
- 13 those who was not working that day?
- 14 A That's correct. It was her day off.
- 15 Q So the question here is 'Why did you come to work?' Do you
- 16 see that question?
- 17 A I see it, yes.
- 18 Q She -- your investigation uncovered in any of the evidence
- 19 that she never entered the Time Warner Facility. Did she?
- 20 A Correct.
- 21 Q So she never came to do work. Correct?
- 22 A Correct.
- 23 Q And when it says 'Why did you come to work?' Work really
- 24 means why did you come to the area of the Paidge Avenue
- 25 location. Correct?

- 1 A Correct.
- 2 Q Now if you could please look at Frank Tsavaris' notes on
- 3 the second page?
- 4 A Okay.
- 5 Q I just want to confirm. This indicates that you asked Mr.
- 6 Tsavaris the question, 'Have you reviewed the CBA?' Correct?
- 7 A Yes.
- 8 Q And this -- can you confirm -- is it also true that you
- 9 asked him the question, 'Are you familiar with the section that
- 10 prohibits cessation or stoppage of work?'
- 11 A Yes.
- 12 Q And if you could please finally go to Ralf Andersen's?
- 13 A Sure, the second page?
- 14 Q No, the first page, please -- by the way, by looking at
- 15 this can you confirm whether you asked him the question whether
- 16 or not Derek Jordan was present?
- 17 A No, his name is not there at all, as I can see, in my
- 18 handwriting.
- 19 Q Well, if I could ask you look at the first page and look
- 20 at the line 'It appears that Derek Jordan was present, as well.'
- 21 Do you see where it's written --
- 22 A In my handwriting?
- 23 Q Not in your handwriting -- the printed text -- sorry about
- 24 that.
- 25 A Oh, okay.

- 1 Q Maybe I didn't ask the question clearly enough.
- 2 A Okay.
- 3 Q Do you see where it's written 'It appears that Derek
- 4 Jordan was present, as well'?
- 5 A Yes, I see that.
- 6 Q And there's a handwritten yes next to it?
- 7 A Yes, I see that.
- 8 Q Does that indicate that you asked, in fact, the question
- 9 'Was Derek Jordan present?'
- 10 A Yes.
- 11 Q So you did ask that question?
- 12 A Yes.
- 13 Q And did you ask the question of Ralf Andersen, who told
- 14 you about this gathering?
- 15 A His response was no prior notification.
- 16 Q But you asked that question. Correct?
- 17 A Yes.
- 18 Q And you also asked the question -- please confirm that you
- 19 asked the question 'When did you receive notification of the
- 20 gathering?'
- 21 A I did ask that question.
- 22 Q And did you also ask the question 'How was this event
- 23 communicated to you?'
- 24 A Can you direct me to the question?
- 25 Q It's right underneath where we were before.

- 1 A I don't see a response directly next to it.
- 2 Q How about the one directly underneath. Did you ask that
- 3 question, 'What were you told about the reason for the protest?'
- 4 A My notes say that he responded safety meeting.
- 5 Q So you did ask that question?
- 6 A Yes.
- 7 Q And just a final few confirmations, if you would, please.
- 8 On the next page, did you ask the question, 'Have you reviewed
- 9 the CBA?'
- 10 A Yes, I did.
- 11 Q Did you also ask him the question 'Are you familiar with
- 12 the section that prohibits cessation or stoppage of work?'
- 13 A Yes.
- 14 MR. ROSE: May I have one minute, Your Honor? No further
- 15 questions.
- JUDGE ROSAS: Charging Party?
- 17 MR. MCGOVERN: No questions.
- JUDGE ROSAS: Any redirect?
- MR. MARGOLIS: Just a moment?
- JUDGE ROSAS: Sure.
- MR. MARGOLIS: A few questions, Your Honor, on redirect.
- JUDGE ROSAS: Okay, go ahead.
- 23 REDIRECT EXAMINATION
- 24 BY MR. MARGOLIS:
- 25 Q Ms. Maldonado, you mentioned with respect to Diane Cabrera

- 1 that in the past she had expressed a reluctant to answer
- 2 questions.
- 3 A Yes.
- 4 Q Did she do the same thing during the course of your
- 5 interview concerning the events of April 2nd?
- 6 A Yes.
- 7 Q Did you tell Diane Cabrera that it would be
- 8 insubordination if she didn't answer your questions?
- 9 A I don't recall telling her that.
- 10 Q And if you look at your notes, which is General Counsel's
- 11 Exhibit GC-15, is there any reference to telling her that it
- 12 would be insubordination if she didn't answer your questions?
- 13 A No.
- 14 JUDGE ROSAS: Witness reviewing the document.
- 15 THE WITNESS: No.
- 16 BY MR. MARGOLIS:
- 17 Q Ms. Maldonado, now that you've reviewed your notes, what's
- 18 your best recollection as to what you said to her? It's
- 19 insubordination if you don't answer my questions.
- 20 A I don't recall saying that. So I can't answer that.
- MR. MARGOLIS: Nothing further, Your Honor.
- JUDGE ROSAS: Okay, anything further on that?
- MR. ROSE: No, Your Honor.
- JUDGE ROSAS: Thank you, Madam, you're excused. Don't
- 25 discuss your testimony with anyone until you are advised by

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Counsel that the record in this case is closed.
2
          THE WITNESS: Okay, thank you.
 3
                            (Witness excused.)
          JUDGE ROSAS: Off the record; 2:45:37 p.m.
 4
                       (Discussion off the record.)
 5
 6
          JUDGE ROSAS: On the record; 2:47:31 p.m. We are going to
7
    conclude at this point. And we'll resume at 9:30 or 10, which do
 8
    you guys refer?
9
          MR. ROSE: 10:00 is good, Your Honor.
          JUDGE ROSAS: 10 a.m. -- okay, see you tomorrow. Off the
10
11
    record.
12
    (Whereupon, at 2:47:47 p.m., the hearing in the above-entitled
13
    matter adjourned, to reconvene on Wednesday, April 13, 2016, at
14
    10:00 a.m.)
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This is to certify that the attached proceedings done before the NATIONAL LABOR RELATIONS BOARD REGION TWO

In the Matter of:

TIME WARNER CABLE NEW YORK CITY, LLC

Respondent,

And

INTERNATIONAL UNION OF ELECTRICAL WORKERS, AFL-CIO, Local 3

Charging Party

Case No. 02-CA-126860

Date: April 12, 2016

Place: New York, NY

Were held as therein appears, and that this is the original transcript thereof for the files of the Board

Official Reporter

BEFORE THE

NATIONAL LABOR RELATIONS BOARD

In the Matter of:

TIME WARNER CABLE NEW YORK CITY, LLC,

Case No. 02-CA-126860

Respondent,

And

LOCAL UNION NO. 3 INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS,

Charging Party.

The above-entitled matter came on for continued hearing pursuant to Order, before THE HONORABLE MICHAEL A. ROSAS, Administrative Law Judge, at the National Labor Relations Board, Region 2, 26 Federal Plaza, 36th Floor, New York, New York, 10278, on Wednesday, April 13, 2016 at 10:00 a.m.

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$\underline{I} \underline{N} \underline{D} \underline{E} \underline{X}$

WITNESS	DIRECT	CROSS	REDIRECT	RECROSS	VOIR DIRE
Gregg Cory	318				
Derek Jordan	357	394 396		399	
Connie Ciliberti	401	431			

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EXHIBIT NUMBER	<u>IDENTIFIED</u>	RECEIVED
Respondent's:	(Continued)	
R-26	417	420
R-27	420	421
R-28	422	423
R-29	423	425
R-30	425	425
R-31	425	426
R-32	432	432
R-33	432	432
R-34	433	433
R-35	433	433

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2	(Time Noted: 10:05 a.m.)
3	JUDGE ROSAS: All right. On the record.
4	This is a resumption in the matter of Time Warner Cable.
5	Respondent well, before we go to Respondent, we have some
6	left-over matter from the General Counsel.
7	MR. ROSE: Yes, Your Honor. So a few matters before
8	General Counsel rests its case in chief. I would like to put
9	in two documents from the production from Respondent and I need
10	Mr. Cory and it will take maybe five minutes to put in.
11	JUDGE ROSAS: Okay. Have you shown them to respondent?
12	MR. ROSE: No, I will do that.
13	JUDGE ROSAS: Let him know what they are so we can
14	address and he can have an idea about what you're going to ask
15	him as far as authentication is concerned, which if they came
16	from him shouldn't be a problem but you never know.
17	MR. ROSE: It's not authentication. I just need to ask
18	questions about the people named.
19	JUDGE ROSAS: I mean if he can authenticate it as
20	confirm authentication as a document produced by his client,
21	then we move on to step number two, relevance.
22	MR. ROSE: Okay. Understood.
23	JUDGE ROSAS: Mr. Cory, you want to come up and make

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Sir, I'll remind you, you are still under oath.

yourself comfortable.

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- 1 MR. MARGOLIS: Are these my copies?
- 2 MR. ROSE: Yes.
- JUDGE ROSAS: Are you ready to proceed? You have 3
- recalled Mr. Cory? 4
- 5 MR. ROSE: Yes, I have, Your Honor. And can I have a
- representation as to authenticity from Mr. Margolis? 6
- 7 MR. MARGOLIS: Yes.
- JUDGE ROSAS: Can you identify it? What are you 8
- 9 designating?
- 10 MR. ROSE: I'm designating a two-page document. It's an
- email and attachment, GC-37 and email one page, GC-38. 11
- 12 May I approach to give the documents to the witness and
- 13 the court reporter and Your Honor.
- (General Counsel's GC-37 and GC-38 identified) 14
- 15 (Whereupon,
- 16 GREGG CORY,
- 17 having been re-called as a witness by and on behalf of General
- Counsel and having been first duly sworn, was examined and 18
- testified on his oath, as follows:) 19
- 20 DIRECT EXAMINATION
- 21 BY MR. ROSE:
- Mr. Cory, could you please look at GC-37? 22 Q.
- 23 Α. Yes.
- We met Spencer Walker yesterday. He gave testimony,
- correct? 25

- 1 A. Correct.
- 2 Q. Could you please identify, if you know, if you look at the
- 3 "To" line of the email, Bill Lissemore.
- 4 A. Yes.
- 5 Q. What's his job title?
- 6 A. He's the RVP of business class.
- 7 Q. What does RVP stand for?
- 8 A. A regional vice president.
- 9 Q. And who is Keith Vivona?
- 10 A. He is the area vice president of business class.
- 11 Q. And is there any line of authority of Keith Vivona with
- 12 respect to -- well, no, I withdraw that question.
- 13 MR. ROSE: Your Honor, I offer GC-37 into evidence.
- MR. MCGOVERN: No objection.
- MR. MARGOLIS: No objection.
- 16 JUDGE ROSAS: General Counsel's 37 is received.
- 17 (General Counsel's GC-37 received)
- 18 BY MR. ROSE:
- 19 Q. And if you go to GC-38, please, and if you look at the
- 20 "From" line of this email, who is Justin Finnerty?
- 21 A. He is a manager in business class.
- 22 Q. What is, if any, the line of authority or the relationship
- 23 between Azeam Ali and Justin Finnerty?
- 24 A. I don't know.
- 25 Q. In 2014, if you know?

- 1 A. I don't know.
- 2 Q. Mr. Finnerty wasn't Azeam Ali's supervisor at the time?
- 3 A. I don't know.
- 4 Q. You don't know. How about Bill Lissemore?
- 5 A. I don't know.
- 6 Q. Do you know where Azeam Ali worked, what dividend, was it
- 7 business class --
- 8 A. Yes.
- 9 Q. -- back in 2014? Okay. So Justin Finnerty, Bill
- 10 Lissemore and Keith Vivona all are in the business class
- 11 division?
- 12 A. Yes.
- 13 MR. ROSE: All right. Your Honor, I offer this into
- 14 evidence as GC-38.
- MR. MCGOVERN: No objection.
- MR. MARGOLIS: Your Honor, I'm going to object on
- 17 relevance grounds; a random comment by someone who has not been
- 18 identified as having any role in the relevant facts.
- 19 In other words, there's been no foundation as to what
- 20 these people have to do with Azeam Ali or have to do with the
- 21 personnel actions in question. It doesn't seem to be relevant
- 22 in nature.
- 23 MR. ROSE: Well, Your Honor, I could point to the record
- 24 of Keith Vivona having signed and Justin Finnerty having signed
- 25 the relevant discipline documents for Mr. Ali.

- 1 JUDGE ROSAS: All right. I'm going to overrule the
- 2 objection. We determined reviewing the record as a whole as to
- 3 what relationship if any they had to the events at issue in
- 4 this case. I'm going to overrule the objection and receive the
- 5 document. General Counsel's 38.
- 6 (General Counsel's GC-38 received)
- 7 BY MR. ROSE:
- 8 Q. Okay. Actually, I forgot. I did have a question for you,
- 9 Mr. Cory about GC-37. Is that before you?
- 10 A. Yes.
- 11 Q. Okay. Could you look at page 2?
- 12 A. Sure.
- 13 MR. ROSE: And may I ask the court reporter to please
- 14 provide the witness with GC-18.
- 15 COURT REPORTER: They're in front of him.
- MR. ROSE: Okay. It's in a pile in front of him?
- 17 COURT REPORTER: Yes.
- JUDGE ROSAS: It's on your left.
- 19 THE WITNESS: It's on my left. I got it.
- MR. ROSE: Thank you.
- 21 BY MR. ROSE:
- 22 Q. Okay. If you could please look at page 2 of General
- 23 Counsel's 37, you notice to the left, I think, would you agree
- 24 that it's a series of garages?
- 25 A. Yup.

- 1 Q. Garage entrances or exits. And would you agree that one
- 2 of them has -- does it look like a rolling garage door?
- 3 A. Yes.
- 4 Q. With the number on GC-18 could you identify that rolling
- 5 door?
- 6 A. There's no number in GC-18.
- 7 Q. Oh, I'm sorry, 18B, sorry.
- 8 A. It's all right. Yeah, it looks like it's -- they're doors
- 9 4 and 5.
- 10 Q. Okay. So 4 is the one that's closest -- well, let's just
- 11 try to figure out which you're referring to. Four is --
- 12 A. They're both the same doors, so remember in my testimony I
- 13 stated that these are fleet mechanic lifts where there's no
- 14 entrance into the building. Those are not vehicle entrances or
- 15 exits. It's a mechanic shop.
- 16 Q. Okay. If you could describe -- I'm only asking because,
- 17 you know, it's kind of hard to --
- 18 A. I get you.
- 19 Q. I just want to make sure. If you could describe in words
- 20 by looking that black and white photograph --
- 21 A. Okay.
- 22 Q. -- which are you -- which door are you saying is 5?
- 23 A. Five is the one closer towards the -- down the block.
- 24 Q. Okay. Down the block towards the --
- 25 A. Four is the first one. Five is the second one.

1 Q. Okay. So the -- you see -- I think there's -- if you look

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- 2 at the top right corner there's a little circle of light.
- 3 A. Yeah.
- 4 Q. That looks like a lamp?
- 5 JUDGE ROSAS: You're referring to the top left corner of
- 6 the photograph?
- 7 MR. ROSE: I'm sorry, top left -- top left corner.
- 8 THE WITNESS: I see the light, but I don't --
- 9 BY MR. ROSE:
- 10 Q. Yeah, it's a white circle.
- 11 A. Yeah, no, I see it.
- 12 Q. Okay. And it is -- does it look like it's between two
- 13 garage doors?
- 14 A. Yeah.
- 15 Q. Okay. Which is the garage door if you're facing the
- 16 garage door on the left of that, that white circle?
- 17 A. Four.
- 18 Q. Four. And on the farther side of the white circle is 5?
- 19 A. Correct.
- MR. ROSE: Okay. That's all. Thank you very much,
- 21 Mr. Cory. I have no further questions for the witness, Your
- 22 Honor.
- 23 JUDGE ROSAS: No cross from the Charging Party?
- MR. MCGOVERN: No.
- JUDGE ROSAS: Any follow-up?

1 MR. MARGOLIS: No, Your Honor.

- JUDGE ROSAS: Thank you, sir. You're excused.
- 3 THE WITNESS: Thank you.
- 4 (Witness is excused.)
- 5 MR. ROSE: One last matter, Your Honor, before General
- 6 Counsel rests its case in chief. General Counsel put in --
- 7 offered into evidence GC-3, which is Respondent's motion for
- 8 summary judgment and I offered it into evidence without the
- 9 exhibits and I said on the record that I understood there were
- 10 exhibits and that I would provide the exhibits in order to make
- 11 a complete document.
- 12 On further reflection, Your Honor, the exhibits which
- 13 number nearly 300 pages, the General Counsel takes the position
- 14 that they have no place in the record. They're not relevant.
- 15 I offered the motion for the narrow purpose of a party opponent
- 16 admission of facts and the exhibits have nothing to do with
- 17 that narrow purpose. And I would like a ruling, Your Honor,
- 18 that the exhibit can stay in the record as received in the
- 19 record as-is without the exhibits.
- JUDGE ROSAS: Well, it can certainly stay in in the
- 21 manner that you put it in but now the question is whether
- 22 anybody else wants to supplement that to provide proper context
- 23 is up to anybody else.
- MR. ROSE: Okay. Thank you, Your Honor.
- MR. MARGOLIS: As long as we're on that subject, I

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- intended today to do that very thing. 1
- 2 JUDGE ROSAS: Not the whole thing, right?
- 3 MR. MARGOLIS: Well, the exhibits, copies of the
- exhibits. 4
- 5 JUDGE ROSAS: The entirety of it?
- MR. MARGOLIS: Yeah, it's not too voluminous. And so 6
- 7 what I intended to do today is to supplement that with the
- 8 exhibits so that it's a complete document and to put in the
- 9 other motion papers put in -- filed by Respondent in support of
- its motion because there were two subsequent filings in support 10
- of the motion. 11
- 12 JUDGE ROSAS: It's probably cleaner that way, although I
- think that the fact that all of this has been -- and I skimmed 13
- some of this stuff over the weekend. You know, I have other 14
- pleasure reading to do on weekends than read all of that motion 15
- practice, but I kind of got a flavor for what was to be 16
- 17 expected and the Board could arguably take administrative
- 18 notice, as could I, of what's been filed with respect to the
- motion. But I think for purposes of facilitating the arguments 19
- 20 on the briefs, we ought to put forward what we intend to rely
- 21 on and argue. All right.
- I'm not a big proponent, again, I'm speaking in the 22
- 23 abstract, of having parties dig into something in the record
- 24 that might be pertinent that wasn't explored during the record,
- subject to cross examination, you know. It's always perilous 25

- to ignore that stuff because you all can say anything you want 1
- on exception. You know, it's a free world, but I will just 2
- 3 tell you that, you know, in practice I think it's important to
- get it out on the record, okay, as to what you're going to rely 4
- upon so the other side can address, so I have all of the 5
- arguments and I can make a meaningful and informed decision. 6
- 7 Okav.
- 8 MR. ROSE: Your Honor, I would like to place an
- 9 objection on the record. An extended objection and if I could
- place an extended objection --10
- JUDGE ROSAS: Go ahead. 11
- 12 MR. ROSE: -- I could refer to it later on and say see
- 13 my objection earlier rather than keep repeating it.
- It's the General Counsel's position that the universe of 14
- the arbitration award awarding damages from the Union to the 15
- 16 Employer, the universe of the Boys Market Injunction 301 suit,
- 17 the universe of the confirmation of the arbitration award, not
- only is much of a hearsay, but it is irrelevant. 18
- 19 JUDGE ROSAS: Hearsay?
- 20 MR. ROSE: Well, yeah, the transcript pages, et cetera
- 21 but -- or a judge's decision could be hearsay or an
- 22 arbitrator's award could be hearsay, but that's not the
- 23 important point here.
- 24 The important point is that none of that universe has
- any legally binding affect on what's before Your Honor. None 25

- 1 of it has any preclusive affect.
- 2 As a matter of fact, in Judge Weinstein's decision
- 3 affirming the arbitration award, he had his own opinion on why
- his own decision in the arbitration have absolutely no 4
- preclusive affect on Your Honor's -- what's before Your Honor. 5
- 6 Now -- and if absolutely necessary, I could put that in.
- The Board had its own opinion different from Judge Weinstein 7
- 8 when it intervened on why the arbitration had no affect on
- what's before Your Honor. 9
- What does have affect is the Board's decision in 363 10
- NLRB 30. In essence, that is why we're here today. If it 11
- wasn't for that decision, we wouldn't be here and that decision 12
- 13 is not a narrow one as Mr. Margolis referred to it as in his
- opening. It's a very straight forward decision on a Hindes 14
- 15 violations.
- 16 Board law provides that if there is no meeting of the
- minds on all material -- on substantive issues and material 17
- terms, there is no contract. It doesn't matter what that 18
- 19 substantive issue or material term is as long as it's
- 20 substantive and material.
- 21 In this case, it was the riders. Board Law does not
- permit to pick and choose, well, that there was no meeting of 22
- 23 the minds on this substantive issue, but all other substantive
- 24 issues, yeah, there was a meeting of the minds. That's not
- Board Law. 25

- 1 There was no contract period. And because there was no
- 2 contract, as of March 2013, as clearly said by the judge,
- 3 there's no "No-strike clause" in effect.
- 4 Now, the Board speaks to this case through Footnote 1
- 5 and General Counsel would object to any kind of re-litigation,
- 6 any kind of evidence that could have been put into that case
- 7 being put in here to prove the existence of a contract of a
- 8 no-strike clause.
- 9 General Counsel also objects as to anything post-hearing
- 10 based on -- both based on the reasoning of Footnote 1 and if
- 11 the -- if the respondent intends to put that kind of evidence
- 12 on, we would object to it.
- 13 So that's my objection and it's on the record and I
- 14 guess we can proceed and General Counsel can rest its case in
- 15 chief.
- JUDGE ROSAS: Well, it seems to me the converse of the
- 17 Board's ruling that the Respondent wasn't entitled to summary
- 18 judgment because as the Board may tersely frequently responds
- 19 to these motions before hearings, failed to demonstrate the
- 20 entire absence of any material issues of fact. Of course, tell
- 21 us what issues there are or what is absent.
- 22 The converse of that is that the General Counsel didn't
- 23 get summary judgment either and didn't seek summary judgment as
- 24 it does in other instances where say there's a default but
- 25 that's not the only times it does it.

- 1 So there were issues of fact here. The Respondent, I
- understand what your position is. The Respondent -- there's 2
- been no motion in limine at the outset of this proceeding in 3
- order for me to make an informed decision on whether or not to 4
- prune the record, limit any evidence or proceed down the path 5
- 6 as you suggest or some other path.
- So I'm going to receive the record and I'm going to 7
- 8 consider it. Counsel for the Respondent -- Counsel for General
- Counsel has essentially made -- provided your arguments in 9
- response to the anticipated motion to dismiss based on failure 10
- to make out a prima facie case, which I would respond as 11
- 12 decision reserved, so we're moving on to Respondent's case at
- 13 this time.
- 14 MR. ROSE: One note, Your Honor, just in terms of
- process. I don't know what documents Respondent is going to 15
- 16 put in, but as a rebuttal case, we can put in documents.
- 17 JUDGE ROSAS: I think you're shortly going to find out.
- 18 MR. ROSE: Okay. But I'm saying --
- JUDGE ROSAS: Well, I think he -- I thought he alluded 19
- 20 to a lot of them.
- 21 MR. ROSE: No, I understand. I understand. But as in
- our rebuttal case we would have to put in documents. 22
- 23 JUDGE ROSAS: You're entitled to rebuttal. Absolutely.
- 24 MR. ROSE: All right. Okay.
- MR. MARGOLIS: So Your Honor --25

- 1 JUDGE ROSAS: So they rest. With that you rest?
- 2 MR. ROSE: Yes, Your Honor.
- 3 MR. MARGOLIS: So in the interest of orderly procedure I
- 4 think we should address also these issues now in terms of
- 5 exhibits and documents.
- 6 With respect to the filings on the motion for summary
- 7 judgment, may we mark those and introduce those now?
- 8 JUDGE ROSAS: You can try. I'm not going to tell you
- 9 what to do.
- 10 MR. MARGOLIS: Okay. So I'm going to ask the reporter
- 11 to mark as Respondent's Exhibit 17 the document that is
- 12 essentially the exhibits to the motion for summary judgment and
- 13 they are exhibits that are attached to a declaration of Kevin
- 14 M. Smith dated February 4, 2016.
- 15 (Respondent's R-17 identified)
- JUDGE ROSAS: And you want to put your proffer on the
- 17 record?
- 18 MR. MARGOLIS: Yes, Your Honor. The proceedings on the
- 19 motion for summary judgment, which I emphasize don't relate to
- 20 the issue of there being a contract or no contract. They
- 21 relate to a different issue. They relate to the issue of
- 22 whether the conduct engaged in on April 2, 2014 was conducted
- 23 protected by the Act. That was the basis for the motion for
- 24 summary judgment and the motion for summary judgment sets
- 25 forth, among other things, the prior proceedings before an

- arbitrator and before the U.S. District Court Judge Weinstein 1
- relating to what happened on April 2, 2014 and so on that 2
- 3 basis, since the issues before you involve primarily what
- happened on April 2, 2014, the findings and proceedings before 4
- these other tribunals relating to the events on that same date 5
- are clearly relevant to the issues before you. 6
- They're part of the official record before the Board in 7
- 8 any event and so we think they should be admitted as exhibits
- 9 before you.
- JUDGE ROSAS: Counsel for General Counsel, as you've 10
- indicated previously and Charging Party, I assume you all 11
- object for the reasons you previously stated? 12
- MR. ROSE: Yes, Your Honor. 13
- 14 JUDGE ROSAS: What I would ask is: Have you had an
- opportunity to review the documents to essentially authenticate 15
- 16 them as the exhibits?
- 17 MR. ROSE: I have not. This is what I propose,
- 18 actually, since the documents might be voluminous. He could
- 19 offer me the documents. I will assume that they're correct for
- 20 now, but we would like to, you know, after the case is over,
- 21 after Respondent's case has rested, we could look at the
- documents, have a little time to review, do some comparisons 22
- 23 and then decide which documents we want to put in in response.
- 24 JUDGE ROSAS: Well, these are documents that unless you
- can tell me you think it might be pertinent to further witness 25

- testimony, it doesn't sound like it, this all falls within the 1
- purview of providing entire context supplementing an exhibit 2
- 3 that you offered, as well as providing, as General Counsel is
- very familiar with, procedural background that is relevant to 4
- at least one of the parties in the litigation. 5
- So what my practice is in that case is to close the 6
- record and within a reasonable period of time after we close 7
- 8 the record, you've had an opportunity to look at it, if there
- is some kind of mistake, because obviously, there's the stuff 9
- that was filed with the Board. 10
- MR. ROSE: Understood. Understood. 11
- 12 JUDGE ROSAS: So you could do the --
- MR. ROSE: Understood. 13
- JUDGE ROSAS: You could do the comparisons. You won't 14
- get shortchanged. 15
- 16 MR. ROSE: Understood.
- 17 MR. MARGOLIS: And Your Honor, I'll represent that the
- copies of this exhibit, Respondent's Exhibit 17, was printed 18
- off the Board's website this morning by myself. 19
- JUDGE ROSAS: Okay. So you haven't Bates stamped them, 20
- 21 right?
- 22 MR. MARGOLIS: I haven't.
- 23 JUDGE ROSAS: But they are the entirety of the documents
- that are on the Board's website in an identical fashion? 24
- 25 MR. MARGOLIS: Exactly.

- 1 JUDGE ROSAS: Okay. So I'm going to receive Respondent's Exhibit 17 over objection. 2 3 (Respondent's R-17 received) MR. MARGOLIS: Along the same lines, Your Honor, we'd 4 like to mark as Respondent's Exhibit 18 for identification an 5 amended -- the amended motion for summary judgment, which was 6 filed on March 7, 2016 and again, a declaration of Kevin M. 7 8 Smith which is dated March 7, 2016. And for context what the amendment to the motion did was to address some amendments to 9 10 the complaint. In other words, as ordinarily filed, the complaint named 11 12 only one alleged discriminatee, Diana Cabrera. The complaint was subsequently amended to add three more discriminatees so 13 the amended summary judgment motion was directed to the amended 14 complaint, so I'm going to ask that this be marked as 15 16 Respondent's Exhibit 18 for identification. 17 (Respondent's R-18 identified) JUDGE ROSAS: And this is in the same category as the 18 previous exhibit, same objection? 19 MR. ROSE: Yes, Your Honor. 20
- 24 (Respondent's R-18 received)

MR. MARGOLIS: Yes.

Respondent's Exhibit 18.

21

22

23

MR. MARGOLIS: Do you need a set, Your Honor?

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JUDGE ROSAS: The objection is overruled. I'll receive

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- 1 JUDGE ROSAS: I need to have a set to give to -- my set
- 2 goes to the reporter.
- 3 COURT REPORTER: I have two.
- MR. ROSE: Can I ask, Mr. Margolis. Is this R-19? 4
- 5 MR. MARGOLIS: No, this is R-18. I was making them one
- exhibit. One is just the motion and the declaration. 6
- MR. ROSE: Okay. I get it. So this is the second half 7
- 8 of, more or less, R-18. I understand.
- 9 MR. MARGOLIS: And then lastly on this point, Your
- Honor, Respondent's Exhibit 19 for identification is another 10
- document that was filed in support of a motion for summary 11
- 12 judgment. It's captioned "Supplement to Motion for Summary
- judgment by Time Warner Cable of New York City, LLC." 13
- (Respondent's R-19 identified) 14
- MR. MCGOVERN: Do you have any copies for me? 15
- 16 MR. MARGOLIS: Yes.
- 17 MR. MCGOVERN: Well, these are two. You have three
- 18 exhibits.
- 19 MR. MARGOLIS: I did give you.
- 20 MR. ROSE: I believe he did give you.
- 21 MR. MCGOVERN: Maybe it's that pile there.
- 22 MR. ROSE: No, that's not it.
- 23 MR. MARGOLIS: Your Honor, okay, so those three exhibits
- 24 complete our submissions with respect to the --
- 25 MR. MCGOVERN: Excuse me. I don't have a copy of 17, I

- 1 believe.
- 2 MR. MARGOLIS: You know, I've exhausted my copies of
- 3 that, actually. As I said, I printed it off --
- 4 JUDGE ROSAS: Do you want to give him one of yours in
- 5 the meantime?
- 6 COURT REPORTER: Sure.
- 7 MR. MARGOLIS: So those three exhibits complete our
- 8 filing with respect to the motion for summary judgment.
- 9 (Respondent's R-19 received)
- 10 MR. MARGOLIS: Mr. Rose raised another issue which is
- 11 the issue relating to the defense which we alluded to in our
- 12 opening, which was that the conduct of April 2, 2014 was not
- 13 protected by the Act both because it constituted a mass picket
- 14 and blockade and because it was conduct that violated a
- 15 no-strike agreement that was enforced between the parties at
- 16 that time.
- In order to make the record on that issue -- well,
- 18 before I talk about how we would make the record on that issue,
- 19 we obviously take issue with the position espoused by Mr. Rose
- 20 with respect to the scope and affect of the Board's decision in
- 21 the Heinz case. There was only one issue presented to the
- 22 Board in that case and the issue was: Did the document that
- 23 was tendered by Time Warner Cable to the Charging Party here
- 24 constitute an accurate statement of the parties' oral
- 25 collective bargaining agreement?

That was the sole issue and the complaint was dismissed 1 on the grounds that there was not a meeting of the minds as to 2 3 whether the riders were to continue in effect. And once there was a determination, which we disagree with, of course, but 4 once there was a determination that there was not a meeting of 5 the minds on whether the riders continue in effect, that 6 7 disposed of the case. Because if there was not a meeting of 8 the minds on the riders, then the document that Time Warner 9 Cable tendered was not something that the Charging Party here, Local 3, was obligated to sign. End of the case. 10 The Board was not concerned with and it was not 11 12 presented with a broader issue of whether there was a 13 collective bargaining agreement of any kind in place between the parties. That was not the issue before the Board. 14 And in fact, Footnote 1 does not address that issue 15 because that wasn't the issue before the Board. Footnote 1 16 addresses whether the document as tendered by Time Warner Cable 17 18 accurately reflected the agreement of the parties and the evidence that Time Warner Cable sought to introduce by way of 19 20 its motion to reopen the record, the Board concluded, did not 21 bear on that issue. That is, it did not bear on the issue of whether the 22 23 riders were to continue in effect or not continue in effect, 24 which, again, was the sole issue before the Board.

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So we disagree in the strongest possible terms that the

25

- Board has somehow decided this issue or that we are precluded 1
- 2 from litigating the issue here.
- 3 Now, with respect to how we go about doing that, it's a
- rather simple matter because the position that we take which is 4
- that there was a binding agreement between the parties flowing 5
- from March 28, 2013 memorandum of agreement and what transpired 6
- thereafter is overwhelmingly and readily established by 7
- 8 documents. And they are the documents, again, that I referred
- 9 to in our opening.
- They are documents that are completely indisputable in 10
- terms of their authenticity. Most of them are documents that 11
- emanate from the Union and others are testimony given by the 12
- 13 Union, positions taken by the Union before a Federal judge.
- It's not like there's any dispute about these documents, so 14
- because of that, yesterday we prepared and gave to Counsel for 15
- the Charging Party and Counsel for the General Counsel 16
- 17 essentially a declaration that attaches all of those documents
- and our notion was that there's no need to burden the record on 18
- this. 19
- 20 That our suggestion is that we introduce the affidavit
- 21 which, again, essentially all it does is authenticate these
- documents. And that would be sufficient from our perspective 22
- 23 in terms of litigating this issue in support of our contention
- 24 that there was in fact a binding agreement in place. So that
- was our suggestion how to expeditiously reserve the record on 25

- that point and, you know, we gave the documents in the 1
- 2 declaration to Mr. Rose and to Mr. McGovern yesterday and
- 3 that's where the matter stands. You know, we await their
- position on whether we can dispose of this issue by simply 4
- admitting that document. 5
- JUDGE ROSAS: So this document you gave to them and 6
- 7 you're going to offer that?
- 8 MR. MARGOLIS: Yeah, I gave it to them as a proposed
- exhibit. 9
- JUDGE ROSAS: Let me see it. Let's mark it for 10
- identification and let's deal with it. 11
- 12 MR. MARGOLIS: Your Honor, shall we have the reporter
- 13 mark copies for identification.
- JUDGE ROSAS: Yes. 14
- MR. MARGOLIS: Okay. I'm going to ask the reporter to 15
- mark as Respondent's Exhibit 20 for identification a 16
- 17 declaration of Kevin M. Smith dated April 12, 2016 with a
- number of exhibits attached to it. 18
- 19 (Respondent's R-20 identified)
- 20 JUDGE ROSAS: General Counsel and Charging Party have
- 21 had an opportunity to review the document?
- 22 MR. ROSE: Yes, Your Honor.
- 23 MR. MCGOVERN: Yes, Your Honor.
- 24 JUDGE ROSAS: It's approximately two inches thick and
- 25 what say you?

- 1 MR. ROSE: Well, the first thing, Your Honor is there's
- no -- there's nothing more clear than Judge Fish stating I 2
- 3 conclude that General Counsel has fallen short of meeting its
- burden of proof that the parties reached a meeting of the minds 4
- on all terms of a successor agreement in March 2013, the date 5
- of the MOA, not the date, as Mr. Margolis would have it, of 6
- when the, what, incorporating document was proffered if I 7
- 8 understand it correctly. Nothing can be more clear than that.
- 9 Secondly, look at page 2 of Mr. Smith's declaration. If
- you look at number six -- well, this whole -- this whole 10
- section here is entitled "2013 Collective Bargaining 11
- Negotiations." Mr. McGovern can probably back me up on this. 12
- I think that was the subject of the hearing. Was it not 2013 13
- collective bargaining negotiations? 14
- MR. MCGOVERN: Most definitely it was the complete 15
- subject of the hearing before Administrative Law Judge Fish, 16
- 17 which was held in October 2014.
- MR. ROSE: And I see that in number six Mr. Smith 18
- declares: "On various dates since May 2013 I visited Local 3's 19
- 20 publically accessible website, which states ratification."
- 21 And May 2013 Judge Fish -- let's see. The hearing was
- held October 6, 2014. That's when the hearing closed. 22
- 23 Certainly this seems to be evidence that perhaps it wasn't
- 24 actually, Mr. McGovern, in evidence or at least could have
- been. I think they made an argument on ratification, if I'm 25

- 1 not mistaken.
- 2 MR. MCGOVERN: Correct.
- 3 MR. ROSE: Okay. So this is a re-litigation of a
- 4 decided issue. I could go on, but General Counsel for the
- 5 reasons stated above and for reasons I stated earlier and the
- 6 reasons I just stated, I object to the introduction of this as
- 7 evidence, Your Honor.
- 8 MR. MARGOLIS: If Your Honor --
- 9 MR. MCGOVERN: If I may heard?
- JUDGE ROSAS: Let him talk first.
- 11 MR. MCGOVERN: As I got in briefly at the beginning,
- 12 both the hearing on Monday, I'll get into a little more detail.
- 13 This seems to be Time Warner's fourth bite at the apple to
- 14 argue that there's a contract.
- 15 The first bite was during the hearing with Judge Fish.
- 16 Judge Fish ruled and the Board affirmed that there was no
- 17 meeting of the minds, no contract. That's at page 18 of the
- 18 Board's decision recorded at 363 NLRB 30.
- Bite number two before the Board they made a motion to
- 20 reopen the record dated June 8, 2015 filed along with their
- 21 exceptions to Judge Fish's decision. On page 2 it said "The
- 22 post-hearing evidence," which they sought to introduce,
- 23 "establishes that both parties are in agreement that a contract
- 24 was in effect. Thus the evidence is supportive of the
- 25 allegation in the complaint that the parties intended that the

- terms of the MOA, that's what was signed in March of 2013, 1
- 2 constituted a binding agreement between them. And then an
- attachment is a bunch of excerpts from Union's notice of intent 3
- to arbitrate, which were filed after the hearing with Judge 4
- Fish. That was the post-hearing evidence, because if you're 5
- going to make a motion to reopen the record, you certainly 6
- 7 can't try to put stuff in that you could have put in and you
- 8 didn't. You try to put stuff in that happened after the
- 9 hearing because hey, you put it in.
- Well, Footnote 1 of the Board's decision, the Board 10
- rejected that. The Charging Party moves to reopen the record 11
- to admit evidence that after the hearing Respondent filed 12
- notice of its intent to arbitrate and "admitted the existence 13
- of a collective bargaining agreement and arbitral and judicial 14
- filings." The Charging Party, Time Warner, contends that this 15
- 16 evidence demonstrates that the respondent unlawfully refused to
- 17 execute an agreed upon contract. The Board says "Contrary to
- 18 Charging Party Time Warner's contention, the Respondent Local
- 19 3's post-hearing conduct shows only that Respondent Local 3
- 20 mistakenly believed that the parties had reached agreement on
- 21 March 28, 2013." That's the date the MOA was signed. "It does
- not bear on the relevant question of whether the parties 22
- 23 reached a meeting of the minds regarding all material terms of
- 24 their successor agreement." And therefore, denied the motion
- Bite number three in Federal Court before Judge 25

- Weinstein when they moved to -- for summary judgment to confirm 1
- the final arbitration award, the arbitrator had ruled that the 2
- 3 Union violated the no-strike clause in the contract. He took
- the position, well, there was no contract pursuant to the 4
- Board's decision. 5
- The NLRB intervened in that case on the side of Local 3 6
- arguing to Judge Weinstein that its decision meant there's no 7
- 8 contract. Judge Weinstein went along with that. Judge
- 9 Weinstein ruled that giving full effect to the Board's decision
- meaning that there's no contract, he went on to find that there 10
- was some separate agreement to arbitrate the dispute. Judge 11
- 12 Weinstein deferred to the Board's decision as presented by the
- Board further intervened in Federal Court and Judge Weinstein 13
- accepted the Board's position that its decision meant there's 14
- no contract. And I can't object more strongly to any attempt 15
- 16 to re-litigate that case.
- 17 The whole concept that, well, we didn't agree on the
- 18 rider so everything else is in play is contrary to Board Law.
- Respondents never cited any cases in support of its wacky 19
- 20 position. You can't be half pregnant. Either you have a
- contract or you don't have a contract. 21
- 22 The Board held there is no contract and it would be
- 23 highly improper for Your Honor to entertain any sort of
- 24 evidence or make any sort of rulings on a case that's already
- been decided by the Board which, as the Board pointed out in 25

- its motion to intervene, Time Warner has never even appealed to 1
- 2 the Circuit Court. If they want to take up the Board's
- 3 decision, that's the proper venue for them to do it. Not to
- have that fourth bite at the apple right here and right now. 4
- Thank you. 5
- 6 JUDGE ROSAS: Okav.
- MR. MARGOLIS: Your Honor, first of all, Mr. McGovern 7
- 8 has so egregiously misstated the prior proceedings that I don't
- 9 know where to begin.
- First of all, the fact that certain evidence that bears 10
- on the issue before you, that is whether there was a no-strike 11
- obligation in effect, was also advanced, adduced in the prior 12
- 13 case involving an alleged Heinz violation really doesn't make
- any difference. There's nothing exceptional about the fact 14
- that there are documents in evidence that might be relevant in 15
- 16 that case that are also relevant to the issue before you.
- 17 Number two, no issue is before the Board in terms of
- 18 whether there was a collective bargaining agreement and there
- is overwhelming authority, including from the Second Circuit, 19
- 20 that says that in circumstances identical to these there is a
- 21 binding collective bargaining agreement in place.
- And all Judge Weinstein decided in confirming the 22
- 23 arbitration award was that the parties had signed on the first
- 24 day of arbitration an agreement submitting the issue to the
- arbitrator. 25

- 1 JUDGE ROSAS: I'm going to cut you off there. Okay. Let me just ask the General Counsel and/or Charging Party. 2 Ιf 3 I receive these documents and I'm inclined to receive them
- pursuant to Federal Rule of Evidence 1006, essentially in the 4
- nature of summaries, abstracts of voluminous documents in order 5
- to facilitate the record, you refer to -- and I would receive 6
- them as procedural background relevant to the Respondent's 7
- 8 defense. What I would be concerned about, as you all suggest,
- 9 is re-litigating, opening avenues, collateral issues. Is there
- any danger of that in this case as you see it? 10
- MR. ROSE: I don't -- well --11
- 12 JUDGE ROSAS: Additional testimony.
- 13 MR. ROSE: Oh, additional testimony. I mean, we would
- consider actually requesting a postponement for a special 14
- appeal to --15
- 16 JUDGE ROSAS: You can -- you can -- you can appeal my
- 17 decision depending on --
- MR. ROSE: Well, that's how strongly we believe it. I 18
- mean any fresh testimony we completely would object. 19
- 20 JUDGE ROSAS: It's all within -- it's all within the
- 21 General Counsel's wheelhouse and universe of law that they
- created in terms of a lot of irrelevant testimony and evidence 22
- 23 that comes in on many cases in order to provide relevant
- procedural background on cases that fall within -- fall within 24
- the timely filing doctrine and you have history between parties 25

- going back three, four years. I'm not concerned about that. 1
- You can do whatever you need to do once we close the record. 2
- 3 I'm asking you where is the concern here over re-
- litigation above and beyond my receipt of this document? 4
- 5 MR. ROSE: Well, to the extent that -- well, I'm --
- JUDGE ROSAS: Let me put it this way. Let me interrupt 6
- 7 you again.
- 8 MR. ROSE: Right. If I'm --
- 9 JUDGE ROSAS: Let me interrupt you. Is there any
- document in here -- in order for me to receive exhibits under 10
- 1006 I need to be ensured that the parties have had an 11
- opportunity to review or had possession of the underlying 12
- documents. In this case, the documents that are in here. 13
- MR. ROSE: Yes, Your Honor. 14
- JUDGE ROSAS: Any doubt, any question? 15
- 16 MR. ROSE: No, there's no doubt on that.
- 17 JUDGE ROSAS: Okay. All right. Okay. All right.
- 18 Anything else you want to say separate and apart from what
- you've already said for the record? 19
- 20 MR. ROSE: Well, yeah, I mean to the extent, you know --
- 21 yes, we -- the documents we have and yes, they are summaries of
- 22 voluminous documents, of course, but substantively -- I guess I
- 23 have nothing to add beyond the fact that as evidence,
- 24 substantive evidence, this is irrelevant and an effort to re-
- litigate. 25

1 JUDGE ROSAS: Well, that's a general statement whether something is being re-litigated or not. Aside from the 2 3 incorporation of these documents into the record, I've received testimony by the General Counsel. Respondent is going to put 4 on -- they've put on witnesses already. You have how many 5 witnesses left? 6 MR. MARGOLIS: We have two. 7 8 JUDGE ROSAS: Okay. One of them, I assume, is your 9 subpoenaed witness? 10 MR. MARGOLIS: Correct. JUDGE ROSAS: Okay. And one is another one probably a 11 12 witness whose been already called by the General Counsel? 13 MR. MARGOLIS: Correct. JUDGE ROSAS: So the universe of evidence in this case 14 is -- has been pretty well confined for the most part to the 15 16 facts and circumstances of this controversy on April 2nd and 17 then some investigation afterwards and then the lawyers are 18 throwing in, you know, other documents that relate, you know, summary judgment but only a portion of it. Then the other side 19 20 is putting in a lot more relative to what's gone on in the 21 past. I'm not concerned about that. Respondent is going to be raising a legal issue that I've got to determine in my decision 22 23 as part of all the other components and if there's procedural 24 history that's relevant or not relevant, I will refer -- if

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it's procedural history, I'm likely to refer to it in my

25

- 1 decision. Whether or not it ultimately bears on the issues as
- 2 the Respondent would like remains to be seen based on the case
- 3 law that you're going to provide to me.
- 4 There's absolutely not one iota of danger here that
- 5 should I be wrong that there's any prejudice to the ultimate
- 6 determination in this case based on the record that I -- based
- 7 on the testimony that I've received, based on all of the
- 8 exhibits that have been provided, I think we can certainly
- 9 arrive at a decision with respect to whether there's been a
- 10 violation of the Act in relation to these four individuals, so
- 11 I'm not concerned about where we stand right now upon receipt
- 12 of this document, which has been designated as what?
- 13 MR. MARGOLIS: Respondent's 20 for identification.
- 14 JUDGE ROSAS: Okay. What I will allow before I make a
- 15 final ruling on Respondent's 20 is -- is there any part of
- 16 Mr. Smith -- Mr. Smith is here. Is there any part of this
- 17 affidavit and the statements that are made in it by Mr. Smith
- 18 that might otherwise be seen as something in addition to the
- 19 authentication of the documents that are incorporated here that
- 20 you all may want to ask him some questions about?
- MR. ROSE: May I take a moment, Your Honor?
- JUDGE ROSAS: Sure. Off the record.
- 23 (Whereupon, a brief recess was taken)
- JUDGE ROSAS: Back on the record. General Counsel.
- MR. ROSE: Your Honor, what this document is, there are

- 1 certain paragraphs that just say I attached as a document. I
- 2 have knowledge of a document attached. It's a document. But
- 3 there are other paragraphs which is just basically argument
- 4 that one would put in --
- 5 JUDGE ROSAS: Tell me where.
- 6 MR. ROSE: For example, on page 2, paragraph 7. It's a
- 7 representation of facts which may or may not be true, but it's
- 8 a paragraph that doesn't say attached hereto is a document.
- 9 And if you would look, Your Honor -- so I would at the very
- 10 least ask paragraph 7 be stricken.
- 11 JUDGE ROSAS: Okay. Hold on one second.
- MR. ROSE: Okay.
- JUDGE ROSAS: What do you say about that?
- 14 MR. MARGOLIS: I would propose a stipulation that I
- 15 assume would be acceptable that to the extent paragraph 7
- 16 contains statement of facts that the parties can stipulate to
- 17 those facts.
- MR. ROSE: No, because they're not relevant. I'm not
- 19 going to stipulate to the facts, Your Honor.
- JUDGE ROSAS: Hold on one second. More of the same?
- MR. ROSE: Yeah, the same objections, Your Honor. And
- 22 if Your Honor could look at paragraph 10 for example. "On
- 23 August 21, 2014 Derek Jordan was deposed in a proceeding." And
- 24 the last sentence of this paragraph: "A copy of certain
- 25 relevant excerpts from the transcript of his deposition is

- attached as Exhibit F." Really? You know, the proper way to 1
- do this, of course, is if Mr. Jordan doesn't remember anything, 2
- 3 he could refresh his recollection. You put something in as
- past, you know, as a -- you know, to impeach, whatever. 4
- You know, to attach hearsay and call it evidence, this 5
- is just -- this is precisely why this is really inappropriate. 6
- I mean, this is --7
- 8 JUDGE ROSAS: Okay. Hold on one second. My comment
- 9 about that is that I suspect the Charging Party is going to
- have a copy of the entirety of his deposition, but this is an 10
- example where we fall into an enlargement or belaboring of the 11
- record in order to accomplish that, so there be an objection to 12
- that last sentence of 10 -- 7 is stricken, the last sentence of 13
- 10 is stricken. 14
- MR. MCGOVERN: Meaning Exhibit F is stricken? 15
- 16 JUDGE ROSAS: The last sentence of 10 is stricken and so
- 17 you're talking about the attachment as Exhibit F?
- 18 MR. MCGOVERN: Well, the last sentence of 10 is a copy
- of certain excerpts are attached. 19
- 20 JUDGE ROSAS: That will be stricken as well.
- MR. MCGOVERN: So then the exhibit is stricken, correct? 21
- JUDGE ROSAS: That will be stricken as well. 22
- 23 MR. MARGOLIS: Your Honor --
- 24 JUDGE ROSAS: I assume the best evidence is the end
- result of that. 25

- 1 MR. MARGOLIS: We're happy to put in the entire -- it's 2 not very lengthy -- the entire transcript. I think that 3 resolves any issue. JUDGE ROSAS: Well, we'll deal with that separate. 4 5 MR. ROSE: See, this is the road, Your Honor, that this goes down. You know, when you start putting in a declaration 6 7 that's basically argument with cherry picked evidence, and of 8 course, every part is allowed to cherry pick evidence --9 JUDGE ROSAS: You gave me an example of cherry picking in the context of a deposition and that's been stricken. 10 where else do you have a problem here? 11 12 MR. ROSE: Okay. One moment, Your Honor. 13 JUDGE ROSAS: Okay. MR. MARGOLIS: Your Honor. 14 JUDGE ROSAS: Go ahead. 15 16 MR. MARGOLIS: May I be heard both on those specific 17 paragraphs and more general issue, which is this: It's very nice for a bunch of lawyers on the 36th floor of a Federal 18 office building to pontificate about contract, no contract, 19 20 riders, no riders, that's fine for lawyers to do that. But we 21 need to focus on what the issue is before you.
- 22 Your Honor, the issue before you is whether certain 23 employees who engaged in a "job action" in front of the employer's premises on April 2, 2014 were disciplined in 24 violation of the National Labor Relations Act. We are putting 25

- aside all highfalutin legalities that we're hearing here. At 1
- 2 the very least at bottom the employer is entitled to put on
- 3 what is overwhelming evidence that at the time in question the
- employer and the Union both understood and they represented to 4
- each other and they acted in accordance with the undeniable 5
- principal that there was a collective bargaining agreement and 6
- more specifically a no-strike obligation in place. 7
- 8 On April 2, 2014 or more specifically, when the
- 9 discipline occurred in May of 2014, there was not one shred or
- sliver or atom of a doubt on that point. And when this 10
- Employer disciplined four employees based in part on the 11
- 12 understanding, if you want to call it that, that there was a
- no-strike obligation in effect, aside from the fact that there 13
- was a blockade of its premises, but the notion that an Employer 14
- can be hailed before this agency and found guilty of an unfair 15
- 16 labor practiced based on some post-hoc lawyers concoction that
- 17 a collective bargaining agreement that the parties lived under
- 18 faithfully for years suddenly disappears and renders discipline
- that was taken in reliance on that overwhelming history and 19
- 20 that document unlawful is quite frankly absurd.
- 21 So at the very least, all of these documents and
- everything in the declaration should come into the record 22
- 23 because it bears on the Employer's motive when it imposed the
- 24 discipline in question.
- JUDGE ROSAS: Okay. Counsel, documents are one thing. 25

- Affidavit testimony in lieu of live testimony just doesn't fly 1
- unless there's a stipulation. There's nothing else I can tell 2
- 3 you. I think I -- I think we've pretty much exhausted the
- positions of the parties on the record, so I'm going to ask you 4
- to just move on with respect to the request that I've made of 5
- you, which is that General Counsel and/or Charging Party point 6
- out any other portions that they believe fall outside the 7
- 8 receipt -- the basis for receiving this document which was as a
- 9 summary or as an abstract or compilation of documents relevant
- to the respondent's defense. 10
- MR. ROSE: I just need to get one --11
- 12 JUDGE ROSAS: Sure. Off the record.
- (Whereupon, a brief recess was taken) 13
- JUDGE ROSAS: Go ahead. 14
- MR. ROSE: Well, Your Honor, paragraph 8. 15
- 16 MR. MARGOLIS: I'm sorry?
- 17 MR. ROSE: Paragraph 8. This paragraph talks about and
- attaches as Exhibit B copies of examples of the notice of 18
- intent to arbitrate which Local 3 served and these cases are 19
- 20 attached as Exhibit D.
- 21 Now, I know Your Honor, you know, is kind of -- is
- somewhat working in a vacuum when we're talking to you about 22
- 23 documents like motion to reopen the record and you haven't seen
- 24 them. But I'm looking at the motion to reopen the record in
- the prior Board case and low and behold there is as a -- in 25

- their attachment A they propose to the Board to put in evidence 1
- a notice of intention to arbitrate dated 12/5/2014. That's 2
- 3 back then their motion and they attach it here again.
- So when I say they're trying to re-litigate an issue 4
- which was foreclosed by Footnote 1, this is precisely what I 5
- They're putting in evidence from their motion to reopen 6
- the record in here to show that contract existed. The Board 7
- 8 said that evidence doesn't show a contract existed in
- 9 Footnote 1.
- Now, they put other notices of intent to arbitrate here 10
- from before, from after, for the same purpose. For the same 11
- purpose that was foreclosed by Footnote 1. That's what's going 12
- 13 on.
- 14 So I would move to strike that entire paragraph in the
- 15 exhibit.
- 16 JUDGE ROSAS: You're saying it's inaccurate in any part?
- 17 MR. ROSE: Well, no, I mean I'm not saying the
- 18 attachments are inaccurate, no.
- JUDGE ROSAS: Okay. Overruled. 19
- 20 MR. ROSE: Okay. We dealt with 10 and let's see. Okay.
- 21 Transcript excerpts are being attached in paragraph 14.
- 22 JUDGE ROSAS: You're referring to paragraph 14?
- 23 MR. ROSE: Fourteen, yes, sir. Exhibit J.
- 24 JUDGE ROSAS: Same objection as with respect to I think
- it was 10? 25

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2 MR. MARGOLIS: Your Honor, the solution to that as with

MR. ROSE: Yes, Your Honor. It's hearsay.

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- 3 the other paragraph is unfortunately at the cost of more trees
- 4 put in the entire transcript because we think it's unseemly at
- 5 best to suggest that a Union representative can go and testify
- 6 under oath to a particular fact and then the Agency, the
- 7 General Counsel, would seek to withhold from your view that
- 8 sworn testimony. It's beyond unseemly. It's just
- 9 inappropriate. Either we're here to get the facts or we're
- 10 not.

1

- MR. ROSE: Your Honor --
- 12 JUDGE ROSAS: Those were excerpts from an arbitration
- 13 hearing which resulted in an arbitration decision, correct?
- MR. MARGOLIS: That's correct, Your Honor.
- 15 JUDGE ROSAS: And you have that decision in the record
- 16 as relevant to your procedural background?
- 17 MR. MARGOLIS: Yes.
- JUDGE ROSAS: Okay. So this is stricken. It's not the
- 19 best evidence.
- 20 MR. MARGOLIS: Isn't it the best evidence of what
- 21 Mr. Jordan testified to, Your Honor?
- 22 JUDGE ROSAS: To the extent that there's a procedural
- 23 background that I'm going to be asked to consider in this
- 24 decision, the decision of the arbitrator here is the best
- 25 evidence, so that last sentence of 14 is stricken.

- 1 MR. ROSE: So Your Honor, otherwise, I rely and I rely for the other portions, I rely on my objection that to the 2 3 extent that this seeks to prove that there existed a contract is completely irrelevant. It's a re-litigation and is 4 foreclosed by the Board's decision in 363 NLRB 30. 5 MR. MCGOVERN: And if I may, Your Honor, briefly. I 6 don't think that this is a situation where, you know, some 7 8 falutin esoteric issue being talked about by lawyers is really 9 what's in play here. What's in play here is the Board's decision not our thoughts but what the Board said in 363 NLRB 10 30 where they rejected any attempt to put in post-hearing 11 12 conduct and I think that same should hold true for any pre-13 hearing conduct or during hearing conduct, because as the Board said, the Respondent's, Local 3's, post-hearing conduct shows 14 only that the Respondent mistakenly believed that the parties 15 16 had reached an agreement on March 28, 2013. So in answer to 17 Mr. Margolis, well, they mistakenly thought so too. 18 Every -- that's the whole point of there being no meeting of the minds. We thought there was. They thought 19 20 there was. So there's no relevance to anything that "Oh," the 21 Union was acting as though there was a contract. Well, that's precisely the evidence that the Board rejected in the motion to 22
- JUDGE ROSAS: Anything else? 25

here because it's an attempt to re-litigate.

23

24

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reopen the record and that's why it shouldn't even be accepted

- 2 initials next to those stricken portions. Respondent's 20 over
- 3 objection.
- 4 (Respondent's R-20 received)
- 5 JUDGE ROSAS: Next. Respondent, right.
- 6 MR. MARGOLIS: Yes, so I think where we are, Your Honor,
- 7 is we went down the path of addressing what documents would
- 8 come into the record that Mr. Rose initially raised, so I think
- 9 we concluded this issue for now.
- JUDGE ROSAS: Okay. Are you ready with your next
- 11 witness or next evidence?
- MR. MARGOLIS: Yes.
- 13 JUDGE ROSAS: Okay. Let's go.
- 14 MR. MARGOLIS: Respondent calls Derek Jordan.
- 15 JUDGE ROSAS: Sir, please raise your right hand.
- 16 (Whereupon,
- 17 DEREK JORDAN
- 18 having been called as a witness by and on behalf of the
- 19 Respondent and having been first duly sworn, was examined and
- 20 testified on his oath, as follows:)
- JUDGE ROSAS: Please have a seat. State and spell your
- 22 name and provide us with an address.
- 23 THE WITNESS: Okay. Good morning. My name is Derek
- 24 Jordan, D-E-R-E-K, J-O-R-D-A-N. And Your Honor, is there a
- 25 specific address?

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- 1 JUDGE ROSAS: You can give a business address.
- 2 THE WITNESS: Okay.
- 3 JUDGE ROSAS: Anywhere where you can receive a subpoena.

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- THE WITNESS: Okay. It's going to be 158-11 Harry Van 4
- Ardsale, Jr. Avenue, 4th Floor, Room 402, and it's Flushing, 5
- New York and the zip code is 11365. 6
- JUDGE ROSAS: I'm going to ask you to bring your voice 7
- 8 up.
- THE WITNESS: Okay. 9
- 10 DIRECT EXAMINATION
- BY MR. MARGOLIS: 11
- 12 Good morning, Mr. Jordan.
- 13 Α. Good morning.
- Were you provided with a copy of a subpoena requesting 14
- that you bring certain documents to this hearing? 15
- 16 No, I was not. Other than what you gave me this morning,
- 17 no, I was not.
- 18 Okay. So prior to this morning, you've never seen a copy
- of the subpoena? 19
- 20 Α. No, I have not.
- 21 So for example, Mr. McGovern did not give you a copy of Q.
- 22 the subpoena?
- 23 Α. No, he did not.
- 24 MR. MARGOLIS: Your Honor, in order not to delay the
- proceedings, we intend to proceed with the examination. But in 25

- light of the discussion on the record or the discussion in this 1
- 2 hearing, it's extremely troubling that counsel for the Charging
- 3 Party did not see fit, in light of that discussion, to provide
- a copy of the subpoena to his client. The subpoena that 4
- there's already an affidavit of service on. 5
- JUDGE ROSAS: Okay. Stop. 6
- 7 MR. MCGOVERN: If I may be heard.
- 8 JUDGE ROSAS: If you have a brief response to that.
- 9 MR. MCGOVERN: A brief response is I didn't meet with
- Mr. Jordan until today although I did take a picture of the 10
- rider and texted it to Mr. Jordan. He didn't get the subpoena 11
- 12 itself.
- 13 THE WITNESS: That's was -- yeah.
- JUDGE ROSAS: All right. The bottom line -- bottom 14
- line, this issue as far as perhaps your interest in seeking to 15
- address or assess credibility based on whether a subpoena that 16
- 17 was served on an adult or person of suitable age and discretion
- 18 and followed up by U.S. Mail, that may have happened. That is
- 19 what you provided to me.
- 20 However, the fact is that I gave a direct order for
- 21 Mr. Jordan to be here today and he is here today, so I'm going
- to foreclose any further questioning in that regard unless 22
- 23 there's a different approach that you want to take or there's
- 24 some other purpose for that line of inquiry?
- MR. MARGOLIS: Well, Your Honor, the purpose of the line 25

- 1 of inquiry relates to the search that he made for responsive
- 2 documents.
- JUDGE ROSAS: Okay. That's fine. That's fine.
- 4 BY MR. MARGOLIS:
- 5 Q. So do I now understand correctly, Mr. Jordan, that all you
- 6 got was a copy of a rider to a subpoena that was texted to you?
- 7 A. That is correct.
- 8 Q. Okay. And you understood that that called for the
- 9 production of certain documents, correct?
- 10 A. That's correct.
- 11 Q. Okay. And did you undertake a search for those documents?
- 12 A. Yes, I did.
- 13 Q. Okay. And do you have the responsive documents with you
- 14 today?
- 15 A. Everything was submitted ordinarily to my lawyer. The
- 16 same documents that were submitted throughout the entire
- 17 procedures we had before. There's nothing different.
- MR. MARGOLIS: Mr. McGovern, could I request the
- 19 production of those documents that Mr. Jordan said that he gave
- 20 you.
- MR. MCGOVERN: Mr. Jordan did not give me any. He's
- 22 referring to --
- THE WITNESS: There's no new documents.
- 24 MR. MCGOVERN: -- back when you made a document demand
- 25 in the 301 lawsuit documents were provided.

- 1 THE WITNESS: There's no new documents.
- 2 MR. MCGOVERN: And I think John Byington of my firm was
- 3 handling that and documents were produced in the context of
- 4 that lawsuit. I wasn't involved in it.
- 5 MR. MARGOLIS: I'm not asking about a prior lawsuit.
- 6 I'm asking whether Mr. Jordan made a search for documents when
- 7 he received the rider that you texted to him.
- 8 THE WITNESS: Yes, I did and there's nothing new than
- 9 what was submitted before.
- 10 BY MR. MARGOLIS:
- 11 Q. So there's not a single document that you found --
- 12 A. No.
- 13 Q. -- that was within the scope of that rider?
- 14 A. No.
- 15 Q. Okay. And just so I understand correctly, other than that
- 16 texted rider that you got, you didn't receive a copy of the
- 17 subpoena relating to this hearing?
- 18 A. No, I did not.
- 19 Q. Until this morning?
- 20 A. That's correct. You handed it to me this morning. That's
- 21 correct.
- 22 Q. Right. You didn't get one, for example, provided to you
- 23 by the receptionist at Local 3?
- 24 A. I didn't get anything. No, I did not.
- 25 Q. And you didn't get a copy in U.S. Mail?

- 1 A. No, I did not.
- 2 MR. MARGOLIS: I'd like to have marked as Respondent's
- 3 Exhibit 21 for identification what is a letter addressed to
- 4 Derek Jordan dated March 31, 2016 with various attachments,
- 5 including an affidavit of service.
- 6 (Respondent's R-21 identified)
- 7 MR. ROSE: Your Honor, I have to object based on your
- 8 ruling previously that that ship has left the port. That train
- 9 has left the station, you know. He's here.
- 10 JUDGE ROSAS: Respondent's 21 for identification is
- 11 marked and has been provided to the witness. And this is
- 12 pertinent to your line of inquiry with respect to the existence
- or non-existence of documents?
- 14 MR. MARGOLIS: And receipt of the subpoena, the
- 15 credibility arising from the alleged non-receipt of the
- 16 subpoena.
- JUDGE ROSAS: I'm going to foreclose you on that. I'm
- 18 going to foreclose you on the question of whether or not to
- 19 attack his credibility as to whether he got it or not because
- 20 he was directed by the court yesterday to appear.
- MR. MARGOLIS: Okay.
- JUDGE ROSAS: And I was advised by counsel or assured by
- 23 counsel that he would be here. Okay.
- 24 MR. MARGOLIS: So may I just introduce the documents
- 25 without questioning the witness about them?

- JUDGE ROSAS: You can ask him about the document -- no,
- 2 you can offer it because it's pertinent to the issue of whether
- 3 the documents exist or don't exist and that would be relevant
- 4 to his credibility. Overruled.
- 5 MR. MARGOLIS: So I've marked as Respondent's Exhibit 21
- 6 for identification, as I said, a letter dated March 31st to
- 7 Derek Jordan with various attachments, including a subpoena
- 8 from the National Labor Relations Board. Based on his prior
- 9 testimony, I believe Mr. Jordan will say he's never seen this
- 10 before.
- 11 JUDGE ROSAS: He's an adverse witness, so go ahead.
- 12 BY MR. MARGOLIS:
- 13 Q. I'm showing you what's been marked for identification as
- 14 Respondent's Exhibit 21, Mr. Jordan. Please take a look at
- 15 that.
- 16 A. Yes, I already did.
- 17 Q. Yes and have you seen that before?
- 18 A. You already answered the question. You said that I would
- 19 say that I never seen it before. And I'm saying honestly I've
- 20 never seen this document before.
- 21 Q. Let's turn to -- actually, let me ask you this: Is there
- 22 a receptionist at Local 3?
- 23 A. There's a few receptionists at Local 3.
- 24 Q. And do you remember who any of them are?
- MR. MCGOVERN: Objection, Your Honor. Are we talking

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- 1 about the documents or --
- 2 JUDGE ROSAS: I'm going to sustain that objection. I'm
- 3 going to sustain that objection. Move ahead to the documents.
- 4 BY MR. MARGOLIS:
- 5 Q. Can you provide a physical description of any of the
- 6 receptionists at Local 3?
- 7 MR. MCGOVERN: Objection.
- 8 MR. ROSE: Objection.
- 9 JUDGE ROSAS: Sustained.
- 10 MR. MARGOLIS: Okay. Your Honor, I'm just going to move
- 11 the admission of Respondent's Exhibit 21 just for the record of
- 12 what the subpoenaed proceedings were.
- 13 JUDGE ROSAS: Objections for the reasons previously
- 14 stated?
- MR. MCGOVERN: Well, objection. I haven't brushed up on
- 16 my procedural word matter, but I always thought that subpoenas
- 17 aren't part of the record.
- JUDGE ROSAS: Here it will be. Overruled. Respondent's
- 19 21 is received in evidence.
- 20 (Respondent's R-21 received)
- 21 BY MR. MARGOLIS:
- 22 Q. Mr. Jordan, I want to bring your attention back to the
- 23 date of April 1, 2014.
- 24 A. Okay.
- 25 Q. Did it come to your attention that certain individuals in

- 1 the bargaining unit Time Warner Cable were suspended on that
- 2 date?
- 3 A. From what I remember, yes.
- 4 Q. And in fact, you heard about those suspensions from calls
- 5 from members of the bargaining unit; isn't that true?
- 6 A. That is correct.
- 7 Q. And isn't it true that you heard from members of the
- 8 bargaining unit that they were so upset they might not go to
- 9 work the next day; isn't that true?
- 10 A. From what I remember, yes and I believe I had gotten some
- 11 phone calls at that time also, yes.
- 12 Q. And none of the individuals who called you with that to
- 13 tell you that gave you their names, correct?
- 14 A. Other than the shop steward at the time, Phil Papale, I
- 15 believe, and this is two years ago, Ken, I did get some phone
- 16 calls that were anonymous at the time from what I believe on --
- 17 Q. And so you -- I'm sorry. You got anonymous phone calls
- 18 from people saying they might not go to work?
- 19 A. Yes, that is correct.
- 20 Q. And based on those anonymous phone calls you were
- 21 concerned about people not going to work, weren't you?
- 22 A. I was concerned about that and the fact that my shop
- 23 steward was suspended along with the four foremen at the time.
- 24 Q. I didn't ask you whether you were concerned about other
- 25 things, Mr. Jordan. I asked you if you were concerned that

- 1 people might not go to work.
- 2 A. Ken -- Mr. Margolis, that's my answer.
- 3 Q. So it would be more helpful if you just answer the
- 4 questions that I ask. Okay.
- 5 A. And I did.
- JUDGE ROSAS: All right. No interaction with the
- 7 witness. Just move to strike what's not responsive.
- 8 MR. MARGOLIS: So I would move to strike everything
- 9 after yes.
- JUDGE ROSAS: Stricken.
- 11 BY MR. MARGOLIS:
- 12 Q. And in fact, you went to 59 Paidge Avenue the next day,
- 13 didn't you?
- 14 A. That is correct, yes.
- 15 Q. And the reason that you went to 59 Paidge Avenue was
- 16 because you were afraid that bargaining unit members might not
- 17 go to work that morning; isn't that true?
- 18 A. Partially true, yes.
- 19 Q. Well, do you remember, Mr. Jordan, a time when you were
- 20 called to give a deposition in the Federal Court litigation
- 21 between Time Warner Cable and Local 3 relating to the events of
- 22 April 2nd?
- 23 A. Yes, I remember. I don't remember all the details but
- 24 yes, I remember.
- 25 Q. And you came to my office at 950 Third Avenue; isn't that

- 1 correct?
- 2 A. That's correct.
- 3 Q. And you were represented by an attorney for purposes of
- 4 that deposition, correct?
- 5 A. Yes.
- 6 Q. And there was a stenographer there taking down the
- 7 testimony; isn't that true?
- 8 A. Yes.
- 9 Q. And at the beginning of the deposition you were informed
- 10 that your testimony was under oath, weren't you?
- 11 A. Yes.
- 12 Q. And you took the oath, didn't you?
- 13 A. Yes, I did.
- 14 Q. And you were told that your testimony had the same force
- 15 and effect as if it was given in a court; isn't that true?
- 16 A. Yes.
- 17 Q. And you also testified there was no reason such as drugs
- 18 or medications or illness that would prevent you from
- 19 testifying fully and accurately; isn't that true?
- 20 A. That is correct.
- 21 Q. Okay. I want to ask you if you remember being asked this
- 22 question and giving this answer during that deposition:
- 23 "Q So let's turn for a few minutes to April 2nd. Were you
- 24 present at Paidge Avenue on the morning of April 2nd?
- 25 "A Yes, I was.

- 1 "Q What were the circumstances that led to your being there?
- 2 "A The circumstances that led me to being there was pretty
- 3 much to keep -- to get the workers to go back to work or to go
- 4 to work."
- 5 You remember giving that testimony, Mr. Jordan?
- 6 A. I guess I remembered at the time now that you read it to
- 7 me. If that's what I said, then that's what I said.
- 8 Q. Okay. And so that was the reason you went to Paidge
- 9 Avenue; isn't that correct?
- 10 A. I guess that's correct.
- 11 Q. Okay. And you didn't on April 1st schedule a meeting the
- 12 next day at Paidge Avenue, did you?
- 13 A. When you say "schedule," with who?
- 14 Q. With bargaining unit members.
- 15 A. No, I did not.
- 16 Q. And in fact, you never even called for any sort of meeting
- 17 until after you got to Paidge Avenue; isn't that correct?
- 18 A. When you say "called for a meeting," again, called for a
- 19 meeting with who?
- 20 Q. With bargaining unit members.
- 21 A. No.
- 22 Q. So I'm not sure of your answer. Am I correct that you did
- 23 not call for a meeting with bargaining unit members?
- 24 A. I spoke to the shop steward the night before.
- 25 Q. And did you tell the shop steward that we're going to have

- 1 a meeting?
- 2 A. I don't remember.
- 3 Q. Okay. Well, let me see if I can refresh your
- 4 recollection. Okay. Tell me if you remember being asked this
- 5 question and giving this answer during your deposition.
- 6 A. Okay.
- 7 Q. "Q So how did you call for a meeting?
- 8 "A The meeting was called when I got down there."
- 9 Remember being asked that question and giving that answer?
- 10 A. Ken, it was two years ago. If that's what I said and it's
- 11 on the record, then I guess that's what I said.
- 12 Q. And you knew you were under oath at the time, correct?
- 13 A. Yes.
- 14 Q. Now, you're aware, are you not, that there are technicians
- 15 at 59 Paidge Avenue whose shifts begin at 7 in the morning,
- 16 aren't you?
- 17 A. At the time, I believe I was aware and I arrived at 6:30.
- 18 Q. Did I ask you what time you arrived, Mr. Jordan?
- 19 A. You may proceed, Ken.
- JUDGE ROSAS: Let's refer to each other by our surnames.
- 21 BY MR. MARGOLIS:
- 22 Q. So I didn't ask you anything about what time you arrived,
- 23 did I?
- 24 A. No, but I pretty much know where you're going with this
- 25 because we had this discussion before.

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- 1 MR. MARGOLIS: Well, Your Honor, I would request that
- the witness be instructed simply to answer the questions that 2
- 3 are asked.
- THE WITNESS: Your Honor, you have my promise that I 4
- will from now on answer his questions. 5
- JUDGE ROSAS: Let me just give you this instruction as I 6
- frequently do on cross examination with witnesses, especially 7
- 8 happens all cases. Counsel is entitled to ask you the question
- 9 properly posed if it seeks a yes or no answer, then that's what
- you need to give him unless you can't answer it or indicate I 10
- can't answer that. Okav. 11
- 12 THE WITNESS: Okay.
- JUDGE ROSAS: Or I don't know. If counsel leads you to 13
- the point where you can give a brief narrative, then that's 14
- appropriate. You may not like the way the answer [sic] is 15
- 16 posed. All these attorneys have their different roles on each
- 17 side of the case.
- 18 THE WITNESS: Okay.
- JUDGE ROSAS: That would be the other side would have 19
- 20 the opportunity to come back and perhaps ask, because they know
- 21 what they're doing, obviously, and they will ask it if they
- feel they need to in the manner that you might like to have it 22
- 23 asked, so we basically move along in the case if you answer it
- 24 the way he wants to have it answered. Okay.
- THE WITNESS: Understood. 25

- 1 JUDGE ROSAS: And you don't go beyond that. You just
- 2 simply give him his answers. As I advised clients years ago,
- 3 it never shortens any proceedings or any depositions to go
- 4 beyond the question and give a lawyer more information than
- 5 he's asking. Okay.
- 6 THE WITNESS: Okay. Understood.
- 7 JUDGE ROSAS: So as counsel indicated, you have been so
- 8 instructed. All right.
- 9 THE WITNESS: Okay.
- 10 JUDGE ROSAS: Repeat the question.
- MR. MARGOLIS: Yes.
- 12 BY MR. MARGOLIS:
- 13 Q. The question, Mr. Jordan, is: You were aware in April of
- 14 2014 that there were technicians at 59 Paidge Avenue whose
- 15 shifts began at 7:00 a.m.?
- 16 A. Yes, I was.
- 17 Q. And you were aware that there were technicians whose
- 18 shifts began at 7:30 a.m., weren't you?
- 19 A. Yes, I was.
- 20 Q. And in fact, it was -- you knew that there were a large
- 21 number of technicians whose shifts began at 7 and 7:30, weren't
- 22 you?
- 23 A. I wasn't aware of the number, no.
- 24 Q. Okay. But you knew it was a large number, didn't you?
- 25 A. I don't know. I don't know that it was a large number.

- 1 Q. Okay. Well, let me ask you if you remember being asked
- 2 this question and giving this answer at your deposition:
- 3 "Q Do you have a rough estimate of how many people start work
- 4 at 7 o'clock at Paidge Avenue?
- 5 "A No, I don't. I know it's a lot of people, but I don't
- 6 have any -- I don't have an idea of how many."
- You remember giving that testimony?
- 8 A. I don't but --
- 9 MR. MCGOVERN: Your Honor, since it appears that we're
- 10 going to be going through the deposition transcript, if I could
- 11 ask if counsel will identify the page that he's reading from.
- 12 JUDGE ROSAS: You have that?
- 13 MR. MARGOLIS: Yes. Well, Your Honor, if the witness
- 14 adopts the testimony, I don't think there's any call for that.
- 15 JUDGE ROSAS: He's entitled to see what you're reading
- 16 from.
- MR. MARGOLIS: So the last question and answer were at
- 18 page 47 of the deposition.
- 19 BY MR. MARGOLIS:
- 20 Q. So you knew back then that it was a lot of people whose
- 21 shifts started at 7 o'clock in the morning, didn't you?
- 22 A. I guess based on my testimony, yes and can --
- 23 Q. Well, was your testimony true or was it not, Mr. Jordan?
- 24 A. No, my testimony was true.
- MR. MARGOLIS: Let's take a look at the video.

- 1 Your Honor, I'm going to show the witness an excerpt
- 2 from General Counsel's Exhibit 29 which is the security video
- 3 and I'm showing the portion that starts 6:23:10.
- 4 [Begin video playback]
- 5 BY MR. MARGOLIS:
- 6 Q. We're now at 6:23:31.
- 7 Okay. I'm going to stop at 6:24:06. So Mr. Jordan,
- 8 having reviewed that excerpt form a video, that is your car
- 9 that drives down Paidge Avenue at the time indicated on the
- 10 video; isn't it?
- 11 A. Yes, it is.
- 12 Q. And isn't it true that when you came to the closer end of
- 13 Paidge Avenue you first pulled your car up against the curb
- 14 that's on the right-hand side and then you backed it up so that
- 15 it was in the middle of the street; isn't that true?
- 16 A. Based on the video footage, yes.
- 17 Q. And you don't have any reason to doubt that, that that's
- 18 what happened, do you?
- 19 A. I think the video speaks for itself.
- 20 Q. And you don't have any reason to doubt the video, do you?
- 21 A. No, I do not.
- 22 Q. Okay. And when you parked your car in the middle of the
- 23 street, you parked it perpendicular to what the direction of
- 24 traffic on Paidge Avenue normally is; didn't you?
- 25 A. Well, if you're coming down the block, yes.

- 1 Q. So was that a yes, Mr. Jordan?
- 2 A. That's a yes.
- 3 Q. And the reason that you parked your car where you did is
- 4 because there were no spots, no regular parking spots adjacent
- 5 to where you put your car; isn't that true?
- 6 A. Well, I guess based on the video there might have been,
- 7 but at the time, I wasn't cognizant of what was really going on
- 8 around the area so I parked my car there.
- 9 Q. So let me remind you about some testimony you gave in your
- 10 deposition and see if it helps you. Tell me if you remember
- 11 being asked this question and giving this answer: Question --
- MR. ROSE: Page number?
- MR. MARGOLIS: Page 67.
- 14 BY MR. MARGOLIS:
- 15 "Q Is there some reason that you parked in the middle of the
- 16 street instead of in a parking spot?
- 17 "A There were no parking spots adjacent to my side where I
- 18 pulled up."
- 19 Do you remember being asked that question and giving the
- 20 answer?
- 21 A. Offhand I do not, but if it's in my testimony, then it
- 22 must've been what I answered at the time, yes.
- 23 Q. Okay. Let's take a look at another video. So from the
- 24 last video we saw that your car was parked at about 6:24:06,
- 25 correct?

- 1 A. Yes.
- 2 (Pause)
- 3 MR. MARGOLIS: Your Honor, can I suggest we just off the
- 4 record for a couple of minutes so I can get it to play
- 5 properly.
- JUDGE ROSAS: Off the record.
- 7 (Whereupon, a brief recess was taken)
- 8 JUDGE ROSAS: Back on the record.
- 9 BY MR. MARGOLIS:
- 10 Q. Okay. Mr. Jordan, I would like you to take another look
- 11 at an excerpt from the security video. This time it's going to
- 12 be an excerpt that begins at 6:27:15, so that's about three
- 13 minutes after you had completed parking in front of Paidge
- 14 Avenue; isn't that true?
- 15 A. Just based on the time on the video footage, yes.
- 16 Q. Okay. So let's look at this excerpt starting at around
- **17** 6:27:17.
- 18 Okay. So we just watched a video that went from about
- 19 6:27:17 to 6:28:08 and you would agree, would you not, that
- 20 during that video clip what we saw was another car came driving
- 21 down Paidge Avenue, passed where you were parked and then
- 22 pulled into a parking spot, a diagonal parking spot, along the
- 23 curb; isn't that true?
- 24 A. Yes.
- 25 Q. And that parking spot was, would you say, eight or ten

- 1 feet away from your car?
- 2 A. Based on what the video shows, yes.
- 3 Q. So when you testified in your deposition that you parked
- 4 in the middle of the street because there were no parking spots
- 5 adjacent, that was not true, was it, Mr. Jordan?
- 6 A. Based on the video it appears not to be.
- 7 Q. And you don't have any reason to doubt whether the video
- 8 is accurate, do you?
- 9 A. No, I do not.
- 10 Q. And aside from that spot that was right next to your
- 11 car -- well, first let me ask you this. You're aware, are you
- 12 not, that along the left side of Paidge Avenue if you're
- 13 facing -- if you're standing at the employee parking lot and
- 14 looking up Paidge Avenue, there are a series of diagonal
- 15 parking spots along the left side of the street; isn't that
- 16 true?
- 17 A. The side where I was facing going down the block you mean?
- 18 Yes.
- 19 Q. What I'm talking about is -- well, let's describe it this
- 20 way. Sometimes you can drive up Provost Avenue and make a
- 21 right on Paidge Avenue, correct?
- 22 A. Yes.
- 23 Q. And on the right-hand side of Paidge Avenue, if you do
- 24 that, there are a series of diagonal parking spaces, right?
- 25 A. Yes.

- 1 Q. Okay. And at the time you parked your car in the middle
- 2 of the street, you were aware, were you not, that there were
- 3 available spots in those diagonal parking places further up
- 4 Paidge Avenue, weren't you?
- 5 A. No, I don't remember.
- 6 Q. So let me ask if you remember this from page 68 of your
- 7 deposition: "And there was -- there were no spots anywhere on
- 8 the street?" Question. That's the question.
- 9 "Q And there were no spots anywhere on the street?
- 10 "A Probably further back, but my intention was to pull up to
- 11 just have our meeting and then leave."
- 12 So at the time of your deposition you said there probably
- 13 were spots further up the street, didn't you?
- 14 A. Yeah, probably, yes, at the time.
- 15 Q. Okay. And you chose not to park in those spots that were
- 16 further up the street; isn't that true?
- 17 A. Probably yes.
- 18 Q. And you chose not to park in that spot that was maybe
- 19 eight feet away from where you parked in the middle of the
- 20 street; isn't that true?
- 21 A. Well, I wasn't cognizant at the time of the parking spot.
- 22 Q. And so even though that parking spot was maybe eight feet
- 23 away from your car, you weren't cognizant of it --
- 24 A. That's correct.
- 25 Q. -- is that your testimony?

- 1 A. Yes, it is.
- 2 Q. And that's why you didn't park there?
- 3 A. That's correct.
- 4 Q. Okay. Now, you saw that the right-hand curb, that is the
- 5 curb on the side of 59 Paidge Avenue on the side of the
- 6 building, that was almost completely empty when you arrived,
- 7 wasn't it?
- 8 A. The opposite side of the street, yes.
- 9 O. The side of the street that's on the side where the
- 10 building is.
- 11 A. Yes.
- 12 Q. And so you saw that that curb was almost completely empty
- 13 when you arrived, right?
- 14 A. Yes.
- 15 Q. And you chose not to park along that entirely empty curb,
- 16 didn't you?
- 17 A. I didn't now that parking was available on that side.
- 18 Q. Well, you saw that it was completely empty, didn't you?
- 19 A. Yes.
- 20 Q. And you chose not to park there anyway; isn't that true?
- 21 A. Yes, I did.
- 22 Q. And you chose to park in the middle of the street instead?
- 23 A. Yes.
- 24 Q. And you chose to park perpendicular to the direction that
- 25 traffic flows; isn't that true?

- 1 A. Yes.
- 2 Q. And by the way, Mr. Jordan, the reason you did that was to
- 3 start a blockade of the premises, isn't it?
- 4 A. No, it's not.
- 5 Q. And the reason you parked that way was to stop the flow of
- 6 traffic of vehicles down Paidge Avenue; isn't that true?
- 7 A. No, that's not true.
- 8 Q. Okay. Let's look at another excerpt. Okay. I'm showing
- 9 you an excerpt from the video starting at 6:24:55 and at the
- 10 time the video starts, the time this excerpt starts, there's no
- 11 other car in the middle of the street except for yours; isn't
- 12 that true?
- 13 A. That is correct.
- 14 Q. Now, at 6:25:45 another vehicle pulled out from one of the
- 15 diagonal parking spots on the -- along the side of the street
- 16 opposite the building and pulled out into the middle of the
- 17 street, correct?
- 18 A. Yes.
- 19 Q. And it stopped in the middle of the street perpendicular
- 20 to the flow of traffic, correct?
- 21 A. Yes.
- 22 Q. Kind of similar to the way you parked, right?
- 23 A. Yes.
- 24 Q. And you know that that car that did that at about 6:25:45
- 25 was the car of Byron Yu, correct?

- 1 A. I was told in Federal Court that it was. That's correct.
- 2 Q. And you know who Byron Yu is, don't you?
- 3 A. I do now.
- 4 Q. And you know he's a technician, correct?
- 5 A. Yes.
- 6 Q. And the reason that Mr. Yu pulled his car out into the
- 7 middle of the street was because you waved your arm and
- 8 signaled to him to do that; isn't that true?
- 9 A. No, I did not.
- 10 Q. And you saw right before the car pulled out that you are
- 11 seen waving your arm to the left, did you see that?
- 12 A. I saw something but that's not what was going on in
- 13 that -- at that time.
- 14 Q. So when you were waving your arm from left to right two
- 15 times immediately before Mr. Yu got in his car and pulled his
- 16 car out to the middle of the road, that had nothing to do with
- 17 you signaling --
- 18 A. No, it did not.
- 19 Q. -- him to move his car to the middle of the street?
- 20 A. No, it did not.
- 21 Q. And in fact, to this day you have no idea why he pulled
- 22 his car out into the middle of the street, do you?
- 23 A. No, I do not.
- 24 Q. Isn't it true, Mr. Jordan, that the reason that
- 25 Mr. Jordan -- I'm sorry, that Mr. Yu pulled his car out into

- 1 the middle of the street was because you signaled him to do
- 2 that?
- 3 A. No, it is not.
- 4 Q. And isn't it true that the reason you signaled Mr. Yu to
- 5 do that was to further your blockage of the traffic on Paidge
- 6 Avenue?
- 7 A. No, that's incorrect.
- 8 Q. Okay. I'm showing an excerpt from the video that starts
- 9 at -- I'm sorry, that's the wrong one.
- 10 Okay. Let's look at a portion of the video that starts at
- 11 about 6:30:30. At this point, when this excerpt starts there
- 12 are two cars in the middle of Paidge Avenue; your car and what
- 13 you've come to know as Mr. Yu's car; is that correct?
- 14 A. That's correct.
- 15 Q. Now, you saw that at 6:30:55 another car pulled out from
- 16 the left side of the street and pulled partway out into the
- 17 flow of traffic and stopped; did you see that?
- 18 A. Based on the video, yes, I did.
- 19 Q. Okay. And you have no reason to doubt what's on the
- 20 video, do you?
- 21 A. No, I do not.
- 22 Q. And do you know whose car that is, Mr. Jordan?
- 23 A. No, I do not.
- 24 Q. And you never found out whose car it was?
- 25 A. No, I did not.

- 1 Q. And you never told that person to move their car out of
- 2 the flow of traffic, did you?
- 3 A. I didn't tell them to pull it in and I didn't tell them to
- 4 pull it out. No, I didn't.
- 5 Q. And you never told Mr. Yu to pull his car out of the flow
- 6 of traffic, did you?
- 7 A. No, I did not.
- 8 Q. Let's look at one more excerpt.
- 9 I'm showing you an excerpt from a video that starts at
- 10 6:31:35 and just after it starts you see a white pickup truck
- 11 come driving down Paidge Avenue, correct?
- 12 A. Yes.
- 13 Q. And that white pickup truck stops next to you, correct?
- 14 A. I don't know.
- 15 Q. You're one of the three people who walk over to that white
- 16 pickup truck, aren't you?
- 17 A. Okay. I guess. I don't remember that.
- 18 Q. And it looks like some of those people had a conversation
- 19 with the driver of the white pickup truck, correct?
- 20 A. I don't know.
- 21 Q. That's what it looks like on the video, doesn't it?
- 22 A. I'm not sure what the video is showing. I don't know. It
- 23 just showed people walking over to it but there's no --
- 24 Q. And immediately after those three people walk over to that
- 25 white pickup truck, the white pickup truck backs up along the

- 1 curb and parks in front of one of the driveways into 59 Paidge
- 2 Avenue; isn't that correct?
- 3 A. I don't know if it was backing up into a driveway. That I
- 4 do not know.
- 5 Q. Let's look at this excerpt again, Mr. Jordan. So first of
- 6 all, let's watch the people come over to the white pickup
- 7 truck.
- 8 And you don't know if you're one of those three people; is
- 9 that your testimony?
- 10 A. I don't remember.
- 11 Q. Okay. Do you know who was driving that white pickup
- 12 truck, Mr. Jordan?
- 13 A. No, I do not.
- 14 Q. So do you now agree that the white pickup truck backs up
- 15 along the curb and parks in front of a driveway into 59 Paidge
- 16 Avenue?
- 17 A. I don't know if that's a driveway or not. I know -- I see
- 18 the truck backing up, but I'm not aware that that's a driveway.
- 19 Q. Okay. And the reason you told the driver of that white
- 20 pickup truck to back his truck up and park in front of a
- 21 driveway was to prevent vehicles from entering or exiting the
- 22 facility by that driveway; isn't that true?
- 23 A. No, I didn't tell anyone to back up anywhere.
- 24 Q. Now, over the course of that morning there were a number
- 25 of vehicles that ended up parked in the street; isn't that

- 1 true?
- 2 A. Based on the video footage that I've seen, yes.
- 3 Q. But you have no memory of that happening; is that your
- 4 testimony?
- 5 A. I didn't say that. I said based on the video.
- 6 Q. Okay. So let's ignore the video for the moment, okay, and
- 7 just talk about your memory. Isn't it true that a number of
- 8 cars ended up parked in the middle of Paidge Avenue?
- 9 A. Yes.
- 10 Q. Okay. And you never learned the identity of the drivers
- 11 of any of those cars; isn't that true?
- 12 A. Other than Byron Yu, no.
- 13 Q. And you didn't know the identity of the drivers of any of
- 14 those cars even though hundreds of technicians by name; isn't
- 15 that true?
- 16 A. Yes.
- 17 Q. Now, is it your contention that you never blocked the
- 18 street that day because cars could have gotten around your car?
- 19 A. That is correct.
- 20 Q. So you never blocked the street between 6:23 when you
- 21 arrived and 8 a.m. that morning; is that true?
- 22 A. No, I did not.
- 23 Q. Okay. And in fact, your testimony is that cars were able
- 24 to get around your car that entire time?
- 25 A. That is correct.

- 1 Q. And as far as you're concerned, you didn't tell anyone to
- 2 block anything; isn't that true that true?
- 3 A. I didn't tell anyone to block anything.
- 4 Q. And you didn't signal anyone to block anything?
- 5 A. No, I did not.
- 6 Q. And you had nothing to do with the street getting blocked
- 7 off, did you?
- 8 A. No, I did not.
- 9 Q. And you never anticipated that moving your car into the
- 10 middle of the street perpendicular to the flow of traffic might
- 11 encourage others to do the same thing?
- 12 A. No, I did not.
- 13 Q. But by the same token, you certainly never told anyone who
- 14 had parked in the middle of the street to move their car out of
- 15 the middle of the street, did you?
- 16 A. No, I did not.
- 17 Q. Okay. I'm going to show you an excerpt from the video
- 18 starting at 6:59:30.
- 19 Okay. When you looked at that excerpt starting at
- 20 6:59:30, would you agree, Mr. Jordan, that at that point, would
- 21 it be fair to say that traffic was completely blocked on Paidge
- 22 Avenue all the way to the intersection of Provost Street?
- 23 A. Yes, it appears to be that way.
- 24 Q. And your testimony is you had nothing to do with that
- 25 happening; is that correct?

- 1 A. Well, I wouldn't exactly say that because we were out in
- 2 the street.
- 3 Q. And did you think that by parking your car in the middle
- 4 of the street perpendicular to traffic that would encourage the
- 5 employees to go to work?
- 6 A. No.
- 7 Q. And did you think that parking your car perpendicular to
- 8 traffic on Paidge Avenue would assist the employees in getting
- 9 into the facility?
- 10 A. Would assist them? No.
- 11 Q. In fact, you were fully aware that by parking your car in
- 12 the middle of the street and causing the traffic to back up all
- 13 the way to Provost Street and beyond that you were preventing
- 14 people from driving into the garage and into the employee
- 15 parking lot, weren't you?
- 16 A. No, if you look at the position where my car is versus the
- 17 others, I wouldn't say my car is blocking anything.
- 18 Q. Now, it's your contention that the demonstration that
- 19 occurred on Paidge Avenue on April 2, 2014 didn't prevent
- 20 anyone from going to work, did it?
- 21 A. No, it did not.
- 22 Q. Okay. But as you sit here today, you can't tell us how an
- 23 employee could have driven out of the 59 Paidge Street garage
- 24 to make a service call during this demonstration, can you?
- 25 A. Yes, I can.

- 1 Q. Well, let me remind you about what you said on page 87 of
- 2 your deposition:
- 3 "Q Well, what if someone was in the garage and had to go and
- 4 make a service call, how would they have done it?
- 5 "A I don't know."
- 6 That was your testimony back then, wasn't it?
- 7 A. At the time, yes. You asked me today.
- 8 Q. Right.
- 9 A. Today I can tell you.
- 10 Q. And you would agree that even today you have no idea how
- 11 someone could have driven out the garage exit on Paidge
- 12 Avenue --
- 13 A. Yes, I do.
- 14 Q. -- and made a service call; isn't that true?
- 15 A. Yes, I do.
- 16 Q. So your view of the situation has changed since you gave
- 17 that deposition; is that your testimony?
- 18 A. Well, I -- yes. I'm not going to go into details of why
- 19 but yes, it has.
- 20 Q. Now, you told us earlier, Mr. Jordan, that you were there
- 21 for the purpose of encouraging people to go to work, correct?
- 22 A. Yes.
- 23 Q. Because the last thing you wanted is for anyone not to get
- 24 to work on time; isn't that true?
- 25 A. Yes.

- 1 Q. Okay. But even though you were there for the purpose of
- 2 getting people to go to work, you didn't keep track of the time
- 3 to make sure that your gathering didn't overlap the start of
- 4 the shift --
- 5 A. That is correct.
- 6 Q. -- did you?
- 7 A. That is correct, yes.
- 8 Q. Now, you're aware, are you not, that the fire department
- 9 has a facility up the block from the Time Warner Cable
- 10 facility, aren't you?
- 11 A. Yes, I am now.
- 12 Q. And you became aware at some point that day that the
- 13 traffic had gotten so backed up that it was blocking that fire
- 14 department facility, didn't you?
- 15 A. To my recollection now we weren't blocking the entrance to
- 16 the firehouse.
- 17 Q. Okay. Let me remind you what you testified to in your
- 18 deposition, Mr. Jordan.
- 19 A. I know what I testified to.
- 20 Q. On page 88, tell me if you remember giving this testimony,
- 21 which is at page 88:
- 22 "Q Are you aware that some traffic during the safety meeting
- 23 backed up and blocked the facility?"
- 24 We're talking about the fire department facility.
- 25 "A Now I'm aware when I walk down there, yes.

- 1 "Q So you were aware of it?
- 2 "A After a while, yes."
- 3 A. But it wasn't -- it didn't block it.
- 4 Q. So your testimony that you gave in your deposition was not
- 5 accurate?
- 6 A. I made a mistake.
- 7 Q. And when you parked your car perpendicular to the flow of
- 8 traffic and engaged in these activities, you didn't have any
- 9 plan in mind for clearing the street in the event there was
- 10 some kind of emergency, did you?
- 11 A. No, I did not.
- 12 Q. And did you consider holding the meeting on the sidewalk
- instead of the street?
- 14 A. No, I did not.
- 15 Q. And tell me if you remember being asked -- well, first of
- 16 all, do you remember being asked in your deposition about
- 17 holding the meeting on the sidewalk instead of the street?
- 18 A. I don't remember honestly, no.
- 19 Q. So tell me if you remember giving this testimony at page
- 20 89 of your deposition:
- 21 "Q Is there some reason you couldn't have held your safety
- 22 meeting on the sidewalk or in the employee parking lot instead
- 23 of on Paidge Avenue?
- 24 "A Well, I didn't have access to the premises. That is
- 25 personal property.

- 1 "O What about on the sidewalk?
- 2 "A I don't know. The street is neutral ground. I don't know
- 3 about the sidewalk. I don't know about the sidewalk being
- 4 neutral, a neutral meeting place.
- 5 "Q So you never considered having a group gather on the
- 6 sidewalk instead of on the street?
- 7 "A No, I did not."
- 8 A. I recall that, yes.
- 9 Q. Okay. And that testimony was accurate, wasn't it?
- 10 A. Yes.
- 11 Q. And the reason that you held your meeting on the street
- 12 instead of on the sidewalk was because you wanted to block
- 13 access to the premises; isn't that true, Mr. Jordan?
- 14 A. No, that's incorrect.
- 15 Q. Okay. I'm going to show you another excerpt.
- MR. MARGOLIS: Could we go off the record for a moment,
- 17 Your Honor.
- 18 JUDGE ROSAS: Off the record.
- 19 (Whereupon, a brief recess was taken)
- 20 JUDGE ROSAS: Back on the record.
- 21 BY MR. MARGOLIS:
- 22 Q. Mr. Jordan, you testified earlier that at some point when
- 23 you were at Paidge Avenue, you called for a meeting, correct?
- 24 A. Yes.
- 25 Q. I would like to look at a snippet of video starting at

- 1 about 7:33:28.
- 2 First of all, do you remember what time it was that you
- 3 did that?
- 4 A. No, I do not.
- 5 Q. Okay. At 7:34:50 the camera pans up and shows a group of
- 6 people surrounding some cars, correct?
- 7 A. Yes.
- 8 Q. And that's what you have referred to as your meeting,
- 9 correct?
- 10 A. That's correct.
- 11 MR. ROSE: Your Honor, I object to the characterization
- 12 by Mr. Margolis of surrounding cars. The crowd obviously is
- 13 not surrounding cars.
- 14 JUDGE ROSAS: Subject to interpretation but I agree with
- 15 your sense that there's no indication that they've taken cars
- 16 hostage.
- MR. MARGOLIS: I was intending to suggest that.
- JUDGE ROSAS: Right. I didn't think that either, but I
- 19 understand your concern, Counsel.
- 20 BY MR. MARGOLIS:
- 21 Q. So would you agree that your meeting began at about 7:30?
- 22 A. Based on the times on the video, yes.
- 23 Q. And that was your safety meeting, correct?
- 24 A. That is correct.
- 25 Q. So you got to Paidge Avenue at about 6:23 in the morning,

- 1 correct?
- 2 A. Based on the video time, yes.
- 3 Q. So from 6:23 in the morning until about 7:30 when your
- 4 meeting started, you were just blocking the street and not
- 5 conducting a meeting, correct?
- 6 A. No, we weren't blocking the street.
- 7 Q. Now, this so-called safety meeting that started at about
- 8 7:30 ended at about 8 o'clock, correct?
- 9 A. I'm not sure what time it ended.
- 10 Q. Do you have any idea what time it ended?
- 11 A. Based on I quess in court, it was around 8 o'clock I
- 12 believe.
- 13 Q. Well, you've seen the video, haven't you?
- 14 A. I haven't seen it recently. I haven't seen that video in
- 15 about two years. This is 2016.
- 16 Q. So from 6:23 in the morning until about 8 o'clock when the
- 17 video shows this meeting breaking up, you never told anyone "Go
- 18 to work now and we'll address these concerns later," did you?
- 19 A. No, I did not.
- 20 Q. And as you sit here today, you can't name a single Time
- 21 Warner Cable technician who was performing his or her duties
- 22 during the time that you were at Paidge Avenue that morning,
- 23 can you?
- 24 A. No, I cannot.
- 25 Q. And wouldn't you agree that any technician who worked at

- 1 59 Paidge Avenue and was supposed to punch in at 6:30 or 7:00
- 2 or 7:30 would have been delayed in being able to perform their
- 3 duties until your meeting ended; isn't that true?
- 4 A. Possibly.
- 5 Q. Well, tell me if you remember this testimony from page 130
- 6 of your deposition:
- 7 "Q Let's say for the people who were supposed to have punched
- 8 in at 6:30 or 7 o'clock, is it fair to say that during that
- 9 time until the meeting disbanded they were not performing their
- 10 duties?
- 11 "A You can say they were delayed."
- 12 That's what your testimony was, wasn't it?
- 13 A. Well, if it's on the paper, yes.
- 14 Q. And in fact, during your -- the meeting portion of that
- 15 day, that is, starting at about 7:30 until 8 o'clock, there's
- 16 no way that any technician could have driven down Paidge Avenue
- 17 and gotten into the employee parking lot through the entrance
- 18 to the employee parking lot, correct?
- 19 A. That is correct.
- 20 Q. Okay. And during that period of time, there's no way any
- 21 manager or supervisor could have driven down Paidge Avenue and
- 22 driven into the employee parking lot, correct?
- 23 A. Not on Paidge Avenue, but there was other means, yes.
- 24 Q. And isn't it true that there's no way during at least the
- 25 portion of your meeting that no one could have made any

- 1 deliveries to the facility through the main pedestrian
- 2 entrance; isn't that true?
- 3 A. The main pedestrian entrance being where?
- 4 Q. Well, aren't you familiar where the --
- 5 A. You mean where the employees come in and out? That
- 6 entrance that's all the way down Paidge Avenue? Because
- 7 there's several entrances.
- 8 Q. Yes, I'm talking about the one all the way down --
- 9 A. The one all the way down. That's correct.
- 10 Q. -- close to the employee parking lot.
- 11 A. That's correct.
- 12 Q. Okay. So no one could have made any deliveries to that
- 13 entrance while you were conducting your meeting?
- 14 A. Not unless they walked down the block, no.
- 15 Q. Okay. They certainly couldn't have driven a truck or car
- 16 down there, correct?
- 17 A. No.
- 18 Q. And are you aware that customers sometimes use that
- 19 entrance we just talked about; the one next to the employee
- 20 parking lot?
- 21 A. No, I don't believe so.
- 22 Q. But you certainly know that no customer could have driven
- 23 down Paidge Avenue and gone in that entrance during the time of
- 24 your meeting; isn't that true?
- 25 A. I wasn't aware customers used that entrance.

- 1 Q. Okay. Well, you certainly know that no customer could
- 2 have driven down during that meeting and gone in the main
- 3 entrance, could they?
- 4 A. Yes.
- 5 [End of video playback]
- 6 MR. MARGOLIS: Could I have just a moment, Your Honor.
- JUDGE ROSAS: Yes.
- 8 MR. MARGOLIS: Could we just step out for a couple of
- 9 minutes.
- JUDGE ROSAS: Off the record.
- (Whereupon, a brief recess was taken)
- 12 JUDGE ROSAS: On the record.
- 13 MR. MARGOLIS: No further questions, Your Honor.
- 14 JUDGE ROSAS: Okay. Any cross examination?
- 15 MR. ROSE: Not very much but can we have five minutes,
- 16 Your Honor?
- 17 JUDGE ROSAS: Sure. Off the record.
- 19 JUDGE ROSAS: Back on. Cross examination.
- 20 CROSS EXAMINATION
- 21 BY MR. ROSE:
- 22 Q. Good afternoon, Mr. Jordan.
- 23 A. Good afternoon.
- 24 Q. During the April 2nd period of time that you were
- 25 testifying to was anything being distributed to people?

- 1 A. Yes, there was.
- 2 O. What?
- 3 A. Weingarten rights and work safe flyers.
- 4 Q. Could you please look at General Counsel's --
- 5 MR. ROSE: May I ask the court reporter, please, to give
- 6 the witness General Counsel's Exhibit 30.
- 7 THE WITNESS: Yes.
- 8 BY MR. ROSE:
- 9 Q. Do you recognize that document?
- 10 A. Yes, I do.
- 11 O. What is it, please?
- 12 A. This is Weingarten Rights which explains basically the
- 13 rights to members regarding disciplinary actions that they're
- 14 entitled to have, you know, a shop steward present and Union
- 15 representation.
- 16 Q. Is that the document that you referenced a few moments ago
- 17 as being passed out on April 2nd?
- 18 A. Yes, this is one of them, yes.
- MR. ROSE: Your Honor, this GC-30 hasn't been yet
- 20 offered into evidence because prior witnesses couldn't identify
- 21 it. However, I am now offering it into evidence.
- JUDGE ROSAS: Any objection?
- MR. MCGOVERN: No objection.
- MR. MARGOLIS: Could I have just a moment, Your Honor.
- 25 JUDGE ROSAS: Sure.

- 1 MR. MARGOLIS: What was the exhibit number?
- 2 MR. ROSE: Thirty.
- 3 (Pause)
- 4 MR. MARGOLIS: No objection.
- 5 JUDGE ROSAS: General Counsel's 30 is received.
- 6 (General Counsel's GC-30 received)
- 7 MR. ROSE: I have no further questions, Your Honor.
- JUDGE ROSAS: Charging Party.
- 9 MR. MCGOVERN: Just a few, Your Honor.
- 10 CROSS EXAMINATION
- 11 BY MR. MCGOVERN:
- 12 Q. Mr. Jordan, you testified on direct examination when
- 13 Mr. Margolis was asking you questions that you had other
- 14 concerns besides employees not going to work which brought you
- 15 to Paidge Avenue on April 2nd. Do you recall that?
- 16 A. Yes.
- 17 Q. Could you state what other concerns you had?
- 18 A. Yes, the fact that they had suspended the shop steward and
- 19 there was no representation there and the fact that they had
- 20 suspended the four foremen which the technicians reported to,
- 21 so that led up to the safety concerns of the employees of the
- 22 company.
- 23 Q. And just so the proverbial record is complete, the name of
- 24 the shop steward is?
- 25 A. The shop steward was Phil Papale.

- 1 Q. And the four foremen that got suspended?
- 2 A. Okay. The four foremen were Leslie Wood, Kenny Lovitjean
- 3 (phonetic), Ralf Andersen and Frank Tsavaris.
- 4 Q. Now, you also testified on direct examination that the
- 5 fire department facility up the block -- up the street wasn't
- 6 blocked?
- 7 A. Yes.
- 8 Q. And how do you know that?
- 9 A. Well, at the time when we went down the block, we were
- 10 notified that the police were there and like I said, there was
- 11 so much confusion that day, but when there's two -- there were
- 12 two exits and we passed both of the exits and that's where the
- 13 police were sitting at the time passed the last exit, so the --
- 14 the -- actually, the fire department exit was not blocked at
- 15 the time.
- 16 Q. And you were physically down the street?
- 17 A. Down the street, yes.
- 18 Q. And did you talk to the police officers?
- 19 A. Well, I didn't personally talk with them. The other
- 20 business rep I was with did.
- 21 Q. Were you standing there?
- 22 A. Yes, I was.
- 23 Q. Did you hear the conversation?
- 24 A. I heard the conversation, yes.
- MR. MARGOLIS: Objection.

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- JUDGE ROSAS: Well, he heard the conversation. That's 1
- all we have. Overruled. 2
- BY MR. MCGOVERN:
- And you said the policemen were sitting; were they sitting 4
- in a car? 5
- Yes, they were sitting in a police car. 6 Α.
- And did they exit the vehicle? 7
- 8 Α. No, they did not.
- 9 Ο. And did you recall who said what to who?
- MR. MARGOLIS: I'm going to object at this point. 10
- JUDGE ROSAS: Hold on. Did you recall? 11
- 12 THE WITNESS: Yes.
- 13 JUDGE ROSAS: Next question.
- BY MR. MCGOVERN: 14
- And do you recall who spoke first? 15
- 16 Α. Yes, I do.
- 17 Q. And who was that?
- 18 That was the business rep I was with.
- 19 And what did he -- what did you hear him say?
- 20 MR. MARGOLIS: Objection.
- 21 JUDGE ROSAS: Sustained.
- THE WITNESS: He explained --22
- 23 JUDGE ROSAS: There's no question.
- BY MR. MCGOVERN: 24
- How long did that conversation last? 25

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- Α. It wasn't even two minutes. 1
- 2 Ο. And what was the name of the business representative?
- 3 Α. It was Sean Fitzpatrick.
- And what happened after the two minute conversation with 4 Q.
- the police? 5
- We went back and continued handing out flyers. 6 Α.
- Did the police direct you to leave? 7
- 8 Α. No, they did not.
- 9 MR. MARGOLIS: Objection.
- JUDGE ROSAS: Did the police direct him to leave. I'm 10
- going to permit that. Overruled. 11
- 12 THE WITNESS: No, they did not, Your Honor. No, they
- 13 did not.
- 14 MR. MCGOVERN: No further questions.
- JUDGE ROSAS: Any follow-up? 15
- 16 MR. MARGOLIS: If I could have just a moment, Your
- 17 Honor.
- 18 (Pause)
- 19 MR. MARGOLIS: No further questions, Your Honor.
- 20 JUDGE ROSAS: Thank you, sir. You're excused.
- 21 MR. ROSE: Your Honor, may I ask a question?
- JUDGE ROSAS: In follow-up to that? 22
- 23 MR. ROSE: Yes.
- 24 JUDGE ROSAS: Okay.
- RECROSS EXAMINATION 25

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- 1 BY MR. ROSE:
- 2 Q. Mr. Margolis asked you if the police directed you to
- 3 leave.
- 4 A. Yes.
- 5 Q. Did they direct you to -- the policemen or police officer
- 6 direct you to leave Paidge Avenue completely?
- 7 A. No, they did not.
- 8 MR. MARGOLIS: Objection.
- 9 JUDGE ROSAS: Sustained.
- MR. ROSE: No further questions, Your Honor.
- 11 JUDGE ROSAS: Okay. You're excused. Thank you.
- 12 (Witness is excused)
- 13 JUDGE ROSAS: Are you ready with your next witness?
- 14 MR. MARGOLIS: Yes. Could we take a lunch break, Your
- 15 Honor.
- JUDGE ROSAS: How long is your next witness?
- MR. MARGOLIS: I'm not going to say extremely lengthy
- 18 but not -- you know, the direct is probably at least a half-
- 19 hour.
- JUDGE ROSAS: Okay. We'll resume at 1:30.
- 21 (Whereupon, a lunch recess was taken)
- 22 (Whereupon, at 12:47 p.m. a luncheon recess was taken to
- 23 reconvene at 1:30 p.m.)

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- 2 (1:46 P.M.)
- 3 JUDGE ROSAS: Back on the record. Respondent.
- MR. MARGOLIS: Respondent calls Connie Ciliberti. 4
- 5 JUDGE ROSAS: Ma'am, I'm going to remind you, you are
- still under oath. 6
- 7 (Whereupon,
- 8 CONNIE CILIBERTI,
- 9 having been re-called as a witness by and on behalf of the
- Respondent and having been first duly sworn, was examined and 10
- testified on her oath, as follows:) 11
- 12 DIRECT EXAMINATION
- BY MR. MARGOLIS: 13
- Ms. Ciliberti, I know you previously testified, so can you 14
- just give us a quick summary of who you work for, how long 15
- 16 you've been there and what your position is.
- 17 Time Warner Cable, 37 years, Vice President of Human
- 18 Resources for the New York City Northeast Regions.
- Did the company conduct an investigation of the events of 19
- 20 April 2, 2014?
- 21 Α. Yes, we did.
- Did you participate in that investigation? 22 Q.
- 23 Α. Yes, I did.
- 24 And what were the steps in that investigation?
- So at the conclusions of the blockade, we reached out to 25 Α.

- 1 our security team to have them pull all relevant video of the
- 2 event. We also then reached out to our payroll group to
- 3 acquire the payroll records to determine who was scheduled to
- 4 work that day. We then convened several times a group of
- 5 leaders, who work out of the Paidge Avenue location, to
- 6 identify the participants in the video and then we cross
- 7 referenced those who we were able to identify in the video to
- 8 determine -- with the payroll records to determine if they were
- 9 scheduled to work or not.
- 10 Q. Does the company use a time keeping system?
- 11 A. Yes.
- 12 O. What's it called?
- 13 A. Kronos.
- 14 Q. Can you turn over the --
- 15 MR. MARGOLIS: can you take a look at Respondent's
- 16 Exhibit 22 for identification.
- 17 (Respondent's R-22 identified)
- 18 BY MR. MARGOLIS:
- 19 Q. And first just tell us generally what these documents are?
- 20 A. This is the actual punch in and out Kronos record for the
- 21 employees who names -- whose name appears at the top of each
- 22 page for the pay week of March 28th through April 3, 2014 and
- 23 then at the bottom of the page it also indicates their assigned
- 24 work schedule.
- 25 Q. And is the punch times and the schedule, do those both

- 1 appear in Kronos?
- 2 A. Yes.
- 3 Q. And what -- so do I understand at the bottom where it says
- 4 "Schedule," that shows the regular days they're scheduled to
- 5 work?
- 6 A. Yes.
- 7 Q. And at the top it shows the punch times?
- 8 A. Correct.
- 9 Q. And what universe of employees does this constitute Kronos
- 10 records for?
- 11 A. This would be the group of employees who were scheduled to
- 12 work that week out of the Paidge Avenue location.
- 13 Q. Okay. And is it the people scheduled to work on a
- 14 particular shift or shifts?
- 15 A. It will be the morning shifts.
- MR. MARGOLIS: Your Honor, the next exhibit,
- 17 Respondent's Exhibit 23, is a summary prepared by our office of
- 18 the start time and punch-in time of all of the people who --
- JUDGE ROSAS: It's a summary of the data in Respondent's
- 20 22?
- 21 (Respondent's R-23 identified)
- MR. MARGOLIS: It is.
- 23 JUDGE ROSAS: All right. Let's deal with 22 first.
- MR. MARGOLIS: Okay.
- JUDGE ROSAS: You're offering it?

- 1 MR. MARGOLIS: So I'll move the admission of Exhibit 22.
- 2 MR. ROSE: No objection, Your Honor.
- 3 JUDGE ROSAS: How many pages is it? Do you have a
- 4 total?
- 5 MR. MARGOLIS: It's probably close to a hundred front
- 6 and back.
- JUDGE ROSAS: Oh, it's front and back.
- 8 MR. MARGOLIS: I was going to just offer the summary,
- 9 but you know, if the summary is acceptable, then we can just
- 10 offer the summary and dispense with --
- JUDGE ROSAS: All right. Well, let's --
- 12 MR. MARGOLIS: I'll represent we did it from our office.
- 13 JUDGE ROSAS: You're representing that 23 is a summary,
- 14 so you're offering that as well, so we'll deal with the two
- 15 issues at the same time. Let's go off the record.
- 16 (Whereupon, a recess was taken)
- JUDGE ROSAS: Back on the record.
- Okay. So there's no objection to Respondent's 22 so
- 19 we're going to receive that.
- 20 (Respondent's R-22 received)
- JUDGE ROSAS: With respect to 23 on the representation
- 22 that it's a tabulation or a summary of the data that's in
- 23 Respondent's 22, obviously, Respondent -- Charging Party and
- 24 General Counsel have not had an opportunity to review it. What
- 25 I'm going to do is I'm going to receive it conditionally. If

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- it does not turn out to be correct, then you can make a motion 1
- to strike in the brief and I will entertain that. Okay. 2
- 3 Pretty easy to figure out. I'm sure that if it's not correct,
- you could talk to counsel and you guys could do whatever you 4
- feel is appropriate. But let's receive it just in the event 5
- that it might make everybody's job easier. 6
- (Respondent's R-23 received) 7
- 8 MR. MARGOLIS: And just for the record, it is summary --
- 9 23 is a summary of 22.
- JUDGE ROSAS: Right. 10
- MR. MARGOLIS: It doesn't summarize all of the 11
- 12 information in 22. It summarizes the latenesses.
- JUDGE ROSAS: Well, it's being received conditionally, 13
- like I said, on the basis that it is a summary that will 14
- enable -- it's being offered as a summary that would enable the 15
- 16 fact finder to more readily and easily determine the
- 17 information -- the pertinent information that's contained in
- the time card records. All right. And it's subject to a 18
- motion to strike should it be inaccurate or misleading or have 19
- 20 some other problem attendant to it that would not be
- 21 appropriate for fact finding.
- 22 MR. ROSE: Your Honor.
- 23 JUDGE ROSAS: Yes.
- 24 MR. ROSE: Could I just ask for clarification from
- Mr. Margolis, he put it together. So this Exhibit 23 isn't 25

- 1 going to list -- it only lists people that came in list?
- 2 MR. MARGOLIS: Correct.
- 3 MR. ROSE: It doesn't list the people that came in early
- 4 or on time?
- 5 MR. MARGOLIS: That's correct.
- 6 JUDGE ROSAS: Let me also for the record just establish
- 7 are these in alphabetical order, the time cards?
- 8 MR. MARGOLIS: Yes, I believe so.
- 9 JUDGE ROSAS: So if one were to refer to them in the
- 10 brief and go looking for them, one would find them in
- 11 alphabetical order.
- MR. ROSE: Your Honor, for cross examination, is the
- 13 witness familiar enough with the summary so that I could ask
- 14 some questions.
- 15 JUDGE ROSAS: I will permit you to ask her that because
- 16 it's before you.
- MR. ROSE: Okay. All right.
- 18 MR. MARGOLIS: I mean the fact is, as I said, it was
- 19 prepared in our office just going through those.
- 20 JUDGE ROSAS: Well, I'll give him a little leeway if he
- 21 wants to ask about 23.
- MR. ROSE: Thank you, Your Honor.
- JUDGE ROSAS: Go ahead.
- MR. ROSE: Oh, not in terms of voir dire just in terms
- 25 of when I cross examine.

- JUDGE ROSAS: Okay. That's fine. So I'm receiving 23
- 2 conditionally.
- 3 BY MR. MARGOLIS:
- 4 Q. Ms. Ciliberti, after the conclusions of the investigation,
- 5 did you participate in deliberation over what should be done?
- 6 A. Yes.
- 7 Q. And as a result of the investigation, were any employees
- 8 disciplined?
- 9 A. Yes.
- 10 Q. What forms of discipline were given?
- 11 A. Some employees were suspended for two weeks and others
- 12 received final written warnings.
- 13 Q. Do you remember approximately how many got final written
- 14 warnings?
- 15 A. Over 30.
- 16 Q. And how many people were suspended?
- 17 A. Seven.
- 18 Q. And does that seven include Mr. Andersen, Mr. Ali,
- 19 Mr. Tsavaris and Ms. Cabrera?
- 20 A. Yes.
- 21 Q. Does Local 3 or did Local 3 in April of 2014 have shop
- 22 stewards at the Paidge Avenue facility?
- 23 A. Yes.
- 24 Q. How many?
- 25 A. Two.

- 1 Q. And were either of those two stewards among the seven who
- 2 you testified were suspended as a result of the events on
- 3 April 2nd?
- 4 A. No.
- 5 Q. Can you explain why some employees received suspensions
- 6 and some received final written warnings?
- 7 A. Those that received final written warnings were scheduled
- 8 to work that day and did report to work. Those that received
- 9 suspensions were -- had no valid business reason to be at our
- 10 offices that day. They were not scheduled to work.
- 11 Q. And why did you draw that distinction? That is, the
- 12 distinction between people who were scheduled to work and
- 13 people who were not scheduled to work?
- 14 A. Well, there was a reason for somebody to be present if
- 15 they were scheduled to work. There were four people who had no
- 16 valid business reason to be at that location at that hour of
- 17 the morning.
- 18 Q. Can you -- well, first let me ask you: Who conducted the
- 19 interviews of the employees?
- 20 A. Members of the HR Team.
- 21 Q. And do you remember what members of the HR Team?
- 22 A. It was myself, Mary Maldonado, Damian Montanez, Lawana
- 23 (phonetic) Baker and Arison Norman.
- 24 Q. And did all of those people report to you?
- 25 A. Yes.

- 1 Q. And were the people who conducted the interviews
- 2 instructed to take notes of the interviews?
- 3 A. Yes.
- 4 Q. And to your knowledge, did they?
- 5 A. Yes.
- 6 Q. Did you expect those notes to be accurate?
- 7 A. Yes.
- 8 Q. And was it part of their regular responsibilities to take
- 9 those notes?
- 10 A. Yes.
- 11 Q. Who generally was present at the interviews?
- 12 A. The member of the HR Team was present, a shop steward was
- 13 present and the business leader was present.
- 14 Q. Can you look at --
- 15 MR. MARGOLIS: Just for everyone's benefit, I think, the
- 16 next four exhibits are General Counsel exhibits which we don't
- 17 need to refer to at the moment. So we're going to turn to
- 18 Exhibit 24 for identification.
- 19 (Respondent's R-24 identified)
- 20 BY MR. MARGOLIS:
- 21 Q. Putting aside -- you have before you Exhibit 24 for
- 22 identification?
- 23 A. Yes.
- 24 Q. Putting aside the specifics of who it relates to and the
- 25 handwritten information, I want to ask you a couple of

- 1 questions about the form. Did you -- is this basically the
- 2 form, that is, the printed or typed form that was used for all
- 3 of the interviews?
- 4 A. Yes.
- 5 Q. And did you participate in developing the questions that
- 6 are on this form?
- 7 A. I did.
- 8 Q. Okay. Now, just to go back for a second, you said that
- 9 part of the investigation involved interviewing people who were
- 10 identified on the video. Why did you conduct those interviews?
- 11 A. It was important to us to understand why our employees
- 12 participated in a blockade that prevented us from servicing our
- 13 subscribers. We also were trying to determine if this was a
- 14 grass roots effort or if they were being influenced by Local 3,
- 15 so we were trying to determine who was at fault here.
- 16 Q. Okay. And is it, as a general practice, does the company
- 17 interview employees about potential discipline?
- 18 A. Yes, we regularly do.
- 19 Q. Now, if you take a look at Exhibit 24 and, again, for the
- 20 moment just focusing on the typed portion of it, let's go down
- 21 to about halfway down the page where there are several
- 22 questions in a row: How did you get to work that day? Was it
- 23 a company vehicle? Where did you park? What time did you
- 24 arrive?
- 25 Do you see that?

- 1 A. Yes.
- 2 Q. What was the purpose of having that question on the form
- 3 in relation to what you just mentioned as the purpose of doing
- 4 the interviews?
- 5 A. We were trying to understand why our employees just
- 6 haphazardly parked the way that they did. Why there was this
- 7 gathering outside of our location. What ownership they had in
- 8 that activity.
- 9 Q. And what about the question -- you see there's a
- 10 statement. It appears that Derek Jordan was present as well?
- 11 A. Yes.
- 12 Q. And immediately under that there's a question: "Who told
- 13 you about this gathering?" And what was the purpose of
- 14 including that question on the interview form?
- 15 A. Again, it was to understand was this something related to
- 16 employee communication or was it somebody within the Local that
- 17 was communicating out the need for our people to create this
- 18 blockade.
- 19 Q. And look at the next three questions about when did you
- 20 receive notification? How did/was this event communicated to
- 21 you? Again, what were you told about the reason for the
- 22 protest?
- 23 What was the purpose of including those questions with
- 24 reference to the goals you mentioned for conducting the
- 25 interviews?

- In terms of notification we were trying to discern whether 1 Α.
- 2 this was a pre-planned event or if this was something that just
- 3 occurred that morning. How was the event communicated? Was
- this a mass emailing that took place or was it just simply 4
- through word of mouth and what were you told about the reason 5
- for the protest? We have a number of mechanisms in place that 6
- our employees can reach out and discuss with us issues that are 7
- 8 bothering them and so, frankly, we were surprised that they
- 9 used a blockade to share information rather than coming
- directly to us through one of the other programs that we have 10
- 11 in place.
- Now turn to the next page and there at the top is the 12
- 13 question: "Have you reviewed the CBA and are you familiar with
- the section that prohibits cessation or stoppage of work?" 14
- What was the purpose of including those questions in the 15
- 16 questionnaire?
- 17 So in this case we were trying to understand if it was a
- 18 willful disregard of the section that prohibits stoppage of
- work or if they were just ignorant of that section in the 19
- 20 contract.
- 21 And why is it relevant whether it was willful or not? Q.
- Well, if it was willful, it was purposeful that they were 22 Α.
- 23 trying to interfere with our business and prevent us from
- 24 rolling out our trucks and keeping our commitments to our
- subscribers. 25

- 1 Q. Okay. Now, you mentioned that you were one of the people
- 2 who conducted these interviews. Do you recall the names of any
- 3 of the people who you interviewed yourself?
- 4 A. I interviewed Joseph England, Leonard Glessing, Tom
- 5 Yandoli.
- 6 Q. I'm sorry?
- 7 A. Tom -- Thomas Yandoli. I don't recall any others.
- 8 Q. Okay. Did you interview Irvin Aviles?
- 9 A. Yes, I did.
- 10 Q. And if you look at Respondent's Exhibit 24, is that your
- 11 notes of your interview of Irvin Aviles?
- 12 A. Yes.
- 13 Q. And when you met with Mr. Aviles, did he provide you any
- 14 information about what he observed on Paidge Avenue?
- 15 Actually, without looking at the document do you remember?
- 16 A. No, I do not.
- 17 Q. Okay. Can you take a look at the document and let's see
- 18 if that helps you remember.
- 19 A. (Reviewing) So he did say that there were five to six cars
- 20 blocking Paidge Avenue in the middle of the block. That there
- 21 was police presence there. That there was a crowd of people
- 22 gathered. He did see Phil. Phil, in this case, it's Phil
- 23 Papale, the former steward, and Derek Jordan present.
- MR. MARGOLIS: Okay. I would like to move the admission
- 25 of Respondent's Exhibit 24 for identification.

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- 1 MR. ROSE: No objection, yes or no.
- 2 MR. MCGOVERN: No objection.
- 3 JUDGE ROSAS: Respondent's 24 is received.
- (Respondent's R-24 received) 4
- BY MR. MARGOLIS: 5
- Ms. Ciliberti, did Mr. Aviles provide any information to 6
- you other than the information he provided in the interview 7
- itself?
- He had sent me an email after the interview. 9 Α.
- MR. MARGOLIS: Can you turn over the next document, 10
- Respondent's Exhibit 25 for identification. 11
- 12 (Respondent's R-25 identified)
- BY MR. MARGOLIS: 13
- 14 And can you just tell us what Exhibit 25 is? Q.
- 15 Α. Me?
- 16 Ο. Yes.
- 17 Oh, I'm sorry. Okay. It's an email from Irvin Aviles to
- 18 me apparently thanking me for the opportunity to share his
- story with respect to the blockade. 19
- And did Mr. Aviles in his email -- well, strike that. 20
- MR. MARGOLIS: I'll move the admission of Respondent's 21
- 22 25.
- 23 MR. ROSE: Objection; hearsay, Your Honor.
- 24 MR. MCGOVERN: Same objection.
- JUDGE ROSAS: You're offering it as? 25

- 1 MR. MARGOLIS: Your Honor, this document was part of the
- 2 investigation and it was part of what was relied upon by the
- 3 Employer in making its decisions and so on that basis it's
- 4 admissible. We obviously have ample other evidence of the
- 5 condition of Paidge Avenue. Most notably the security video
- 6 and photographs, but this document was part of what was relied
- 7 upon.
- 8 JUDGE ROSAS: Does the General Counsel desire voir dire
- 9 before I make a ruling?
- MR. ROSE: No, Your Honor.
- 11 JUDGE ROSAS: Okay. I have a couple of questions.
- Did you ask Mr. Aviles to send you this email?
- 13 THE WITNESS: No, sir.
- 14 JUDGE ROSAS: Okay. What were the circumstances leading
- 15 to him following up with this email?
- 16 THE WITNESS: He chose to send it to me. He works on a
- 17 Saturday and just decided to send me an email trying to explain
- 18 his actions and participation in the blockade.
- 19 JUDGE ROSAS: Okay. So you did not ask him to send this
- 20 email in the course of the investigation?
- THE WITNESS: I did not.
- JUDGE ROSAS: This was voluntarily sent to him -- sent
- 23 to you by him?
- 24 THE WITNESS: Correct.
- 25 JUDGE ROSAS: All right. This is a close one.

- 1 Documents that are generated and received in the ordinary
- 2 course of business when it's the customary business of an
- 3 entity to generate the documents are considered business
- 4 records as for purposes of being an exception to the hearsay
- 5 rule. However, there's an element that I'll leave you all to
- 6 consider under the Federal Rules for briefing purposes that
- 7 applies a new criteria of lay which relates to the
- 8 circumstances relating to trustworthiness.
- 9 This is a matter that would be addressed as far as
- 10 weight is concerned, reliability of it in the context of a
- 11 business record generated pursuant to the investigation. It
- 12 is, as the witness testifies, an email containing certain
- 13 assertions and the weight to be given to this document is to be
- 14 determined, but as I indicated to the General Counsel and
- 15 Charging Party, it's subject to voir dire and cross examination
- 16 by you and possibly rebuttal or context should you seek to
- 17 offer it, but it was received as part of the investigation.
- 18 So the general rule is to err on the side of receiving
- 19 it as opposed to somehow making -- looking into a crystal ball
- 20 and being able to somehow elicit that it was absolutely not
- 21 possibly related to the investigation and in that context
- 22 generated as a business record exception to the hearsay rule,
- 23 so I'm going to overrule the objection with that explanation.
- 24 Respondent's 25 is received.
- 25 (Respondent's R-25 received)

- 1 BY MR. MARGOLIS:
- 2 Q. Ms. Ciliberti, you indicated that one of the people you
- 3 interviewed in the course of the investigation was a
- 4 Mr. Glessing?
- 5 A. Yes.
- 6 Q. Is that Leonard Glessing?
- 7 A. Yes.
- 8 Q. Without looking at any documents, do you remember whether
- 9 Mr. Glessing told you anything in the interview about what he
- 10 observed about the condition of Paidge Avenue?
- 11 A. No.
- 12 MR. MARGOLIS: Can you take a look at the next document,
- which is Respondent's Exhibit 26 for identification.
- 14 (Respondent's R-26 identified)
- 15 BY MR. MARGOLIS:
- 16 Q. And first just tell us what that is.
- 17 A. These are my interview notes from the conversation with
- 18 Leonard Glessing.
- 19 Q. And if you could just read it over to yourself.
- 20 A. (Reviewing) Okay.
- 21 Q. And having read that over without reading the document
- 22 again, does that refresh your recollection about whether
- 23 Mr. Glessing told you anything about the conditions he found on
- 24 Paidge Avenue?
- 25 A. Yes.

- Q. And what did he tell you? 1
- 2 Α. He had to park by the highway because the area around
- 3 Paidge Avenue he described would be a mess. There was police
- presence and there was no place to park. 4
- Can you now take a look again at Respondent's Exhibit 26 5
- and just for the benefit of the record, the reader of the 6
- record, can you just point to where it is that there's a 7
- 8 reference to him talking to you about that?
- 9 It says where did you park and I wrote about that "Parked
- by highway." And then below that it reads "Could not get into 10
- parking lot. Police cars and people all over. A mess." 11
- 12 MR. MARGOLIS: I move the admission of Respondent's 26.
- 13 MR. ROSE: Your Honor, I hope it's not for the truth of
- the matter asserted. Otherwise, I would object. Is it for the 14
- truth of the matter asserted? 15
- 16 JUDGE ROSAS: The truth of which matter?
- 17 MR. ROSE: That there were cars and, you know, police
- 18 presence or whatever she said as describing. This can't be
- offered for the truth of what actually happened on April 2nd. 19
- 20 That's what I'm saying.
- 21 JUDGE ROSAS: Okay.
- MR. MARGOLIS: May I be heard, Your Honor? 22
- 23 JUDGE ROSAS: Go ahead.
- MR. MARGOLIS: Of course, it's offered for the truth. 24
- This is a classic business record, was gathered in the course 25

- 1 of an investigation for the routine ordinary course of business
- 2 of the Human Resources Department and on that basis it's
- 3 admissible for the truth. It's a business record. And beyond
- 4 that, beyond that, it's information that the company relied
- 5 upon in reaching its conclusions about the investigation.
- JUDGE ROSAS: All right.
- 7 MR. MARGOLIS: I'm sorry, I have one more point. And
- 8 that is, as we've seen earlier in the hearing, Your Honor, it's
- 9 certainly relevant or it's worth noting whether there is
- 10 corroborative evidence and given that in this case we have
- 11 rather indisputable evidence in the form of security videos.
- 12 That's all the more reason to admit this document.
- 13 JUDGE ROSAS: All right.
- MR. ROSE: I withdraw my objection.
- 15 JUDGE ROSAS: Okay. Let me just point out the section I
- 16 was referring to is Federal Rule of Evidence 803(6)(e) and it's
- 17 a new provision which shifts the burden from -- to both sides
- 18 for determination by the judge regarding reliability and
- 19 trustworthiness to the opponent of the offered document to show
- 20 that the source of information or the method or circumstances
- 21 of preparation indicate a lack of trustworthiness. Okay.
- So again, that makes the Finder of Fact especially in a
- 23 bench trial err on the side of receiving this document.
- 24 Whether or not the document is given weight and for what
- 25 purpose is a whole other story, but again, it will be received

- 1 and the circumstances can obviously be rebutted by the
- 2 opponent. Okay. So I'm going to receive Respondent's 26.
- 3 (Respondent's R-26 received)
- 4 MR. ROSE: Your Honor, maybe I spoke too soon. I'll
- 5 replace my objection on the record if you permit me. Thank
- 6 you.
- JUDGE ROSAS: All right.
- 8 BY MR. MARGOLIS:
- 9 Q. Ms. Ciliberti, you indicated that one of the people who
- 10 you interviewed was a Mr. England. Is that Joe England?
- 11 A. Yes.
- 12 Q. And without looking at any documents, do you remember what
- 13 if anything Mr. England told you about the conditions on Paidge
- 14 Avenue on April 2nd?
- 15 A. No.
- MR. MARGOLIS: Can you take a look at the next exhibit
- 17 which is Respondent's Exhibit 27 for identification.
- 18 (Respondent's R-27 identified)
- 19 BY MR. MARGOLIS:
- 20 Q. And can you just read that over to yourself, please.
- 21 A. (Reviewing)
- 22 Q. Have you read the document?
- 23 A. Yes.
- 24 Q. And having read that, does that help you remember what if
- 25 anything Mr. England told you about the conditions on Paidge

- 1 Avenue on April 2nd?
- 2 A. Yes.
- 3 Q. And what did he tell you?
- 4 A. So he said there was more than the usual number of
- 5 employees gathered outside of the building and cars were parked
- 6 at an angle down the street.
- 7 Q. By the way, did you tell us what Exhibit 27 is?
- 8 A. Twenty-seven are my notes from the conversation with Joe
- 9 England.
- 10 MR. MARGOLIS: Okay. I'll move the admission of
- 11 Respondent's 27.
- 12 MR. ROSE: Same objection as previously, Your Honor.
- MR. MCGOVERN: Same objection.
- 14 JUDGE ROSAS: Overruled as previously stated.
- 15 (Respondent's R-27 received)
- 16 BY MR. MARGOLIS:
- 17 Q. And Ms. Ciliberti, again, just for the benefit of the
- 18 person reviewing the record, can you point out in the document
- 19 where it is that you made a note of Mr. England's comments in
- 20 that regard?
- 21 A. It's on the bottom of the second page.
- 22 Q. You mentioned that you interviewed yourself a Mr. Yandoli,
- 23 is that Tom Yandoli?
- 24 A. Yes.
- 25 Q. And again, without looking at any documents, do you

- 1 remember what if anything Mr. Yandoli told you about the
- 2 conditions on Paidge Avenue on April 2nd?
- 3 A. I do not.
- 4 MR. MARGOLIS: Can you look at the next document, which
- 5 is Respondent's Exhibit 28 for identification.
- 6 (Respondent's R-28 identified)
- 7 BY MR. MARGOLIS:
- 8 Q. And just read it to yourself.
- 9 A. (Reviewing) Having reviewed Respondent's Exhibit 28 for
- 10 identification, does that help you remember what if anything
- 11 Mr. Yandoli told you about the conditions on Paidge Avenue on
- 12 April 2nd?
- 13 A. Yes.
- 14 Q. And what generally did he tell you?
- 15 A. He had -- he had said that he couldn't get down the street
- 16 and that the traffic was backed up.
- 17 Q. And what is Respondent's Exhibit 28 for identification?
- 18 A. My notes with regard to the conversation with Tom Yandoli.
- 19 Q. Okay. And can you point out --
- MR. MARGOLIS: Well, I'll move the admission of
- 21 Respondent's 28.
- MR. ROSE: Same objection, Your Honor.
- MR. MCGOVERN: Same objection.
- 24 JUDGE ROSAS: Respondent's 28 is received over
- 25 objection.

- 1 (Respondent's R-28 received)
- 2 BY MR. MARGOLIS:
- 3 Q. And can you point out where it is in your notes
- 4 Respondent's Exhibit 28 where you made a note of those comments
- 5 by Mr. Yandoli?
- 6 A. "Could not get down the street" is written across from
- 7 "Who told you about the gathering?" And "Traffic backed up" is
- 8 across from "What were you told about the reason for the
- 9 protest?"
- 10 Q. Now, I believe you mentioned that Damian Montanez
- 11 conducted some of the interviews?
- 12 A. Yes.
- 13 MR. MARGOLIS: Can you take a look at the next document,
- 14 which is Respondent's Exhibit 29 for identification.
- 15 (Respondent's R-29 identified)
- 16 BY MR. MARGOLIS:
- 17 Q. By the way, at the end of the investigation, did the -- or
- 18 in the course of the investigation did the various people who
- 19 conducted the interviews forward their notes to you?
- 20 A. Yes, we would gather at the end of the day and I would
- 21 collect all the paperwork and we would just talk about the
- 22 findings.
- 23 Q. And do you recognize Respondent's Exhibit 29?
- 24 A. I recognize it to be the series of questions that we
- 25 asked. The standard form.

- 1 Q. Okay. And what about the handwritten portion of it?
- 2 A. This is Damian's handwriting.
- 3 Q. Okay. And so are these the notes of Mr. Montanez's
- 4 interview of a particular employee?
- 5 A. Yes.
- 6 Q. What employee is that?
- 7 A. Norman Caban.
- 8 Q. And in the notes of the Norman Caban interview, did
- 9 Mr. Montanez record anything about the conditions observed on
- 10 Paidge Avenue on April 2nd?
- JUDGE ROSAS: All right. Let's move away from that.
- 12 She doesn't -- she didn't generate this document. The document
- 13 speaks for itself unless there's something we can't understand.
- MR. MARGOLIS: Okay. Well, I'll move the admission.
- 15 JUDGE ROSAS: The Human Resources Department at Time
- 16 Warner clearly is bestowed with the best in penmanship, so
- 17 unless there's something not clear here.
- MR. MARGOLIS: Okay. We'll move the admission of
- 19 Respondent's 29.
- 20 MR. ROSE: Objection; double hearsay.
- MR. MCGOVERN: Same objection.
- JUDGE ROSAS: All right. The requisite chain of custody
- 23 in the generation of this document being made a business
- 24 record, as I previously ruled in other instances, isn't broken
- 25 by virtue of this witness' lack of authorship of the interview

- notes since it's in the regular course of her business as she 1
- 2 testified and you can pursue this on voir dire and on cross
- 3 examination with respect to her ability to get these documents
- generated and forwarded to her in the regular course of 4
- business, so I'm going to overrule. Respondent's 29 is 5
- received in evidence. 6
- (Respondent's R-29 received) 7
- 8 MR. MARGOLIS: Can you take a look at the next document
- 9 which is Respondent's Exhibit 30, please.
- 10 (Respondent's R-30 identified)
- BY MR. MARGOLIS: 11
- 12 Do you recognize what this document is?
- These are the notes of the conversation between Damian 13
- Montanez and Franklin Pichardo. 14
- MR. MARGOLIS: I'll move the admission of Respondent's 15
- 16 30.
- 17 MR. ROSE: Same objection as the previous exhibit, Your
- 18 Honor.
- 19 MR. MCGOVERN: Same.
- JUDGE ROSAS: Same ruling. Respondent's 30 is received 20
- 21 in evidence.
- (Respondent's R-30 received) 22
- 23 MR. MARGOLIS: Can you take a look at Respondent's 31
- for identification, please.
- (Respondent's R-31 identified) 25

- 1 BY MR. MARGOLIS:
- 2 Q. And can you tell us if you recognize this document?
- 3 A. Yes.
- 4 Q. What is it?
- 5 A. These are Damian's notes of his conversation with Joseph
- 6 Scarvati.
- 7 O. And that's Damian Montanez?
- 8 A. Yes
- 9 MR. MARGOLIS: I'll move the admission of Respondent's
- 10 31.
- 11 MR. ROSE: Same objection as previous exhibit.
- MR. MCGOVERN: Same.
- 13 JUDGE ROSAS: Same ruling. Respondent's Exhibit 31 is
- 14 received.
- 15 (Respondent's R-31 received)
- 16 BY MR. MARGOLIS:
- 17 Q. Ms. Ciliberti, who handled the interviews of the four
- 18 employees; Cabrera, Ali, Tsavaris and Andersen?
- 19 A. I believe it was Mary Maldonado and Damian Montanez.
- 20 Q. And did they report to you on what they had learned?
- 21 A. Yes.
- 22 Q. And was that through the process of daily meetings that
- 23 you mentioned?
- 24 A. Yes, at the end of each day we would get together and just
- 25 review what had been discussed or shared by the employees.

- 1 Q. Now, you testified that these individuals, these four
- 2 individuals, were included among those who were suspended
- 3 because they were not scheduled to work and they didn't have a
- 4 credible business reason for being present on Paidge Avenue?
- 5 A. That's correct.
- 6 Q. And do you recall what was reported to you regarding Frank
- 7 Tsavaris that led to that conclusion?
- 8 A. Yes. In Frank Tsavaris' case he claimed that he just
- 9 happened to be in the neighborhood at 7 a.m. and when asked to
- 10 provide a reason, he said it was personal. Frank Tsavaris
- 11 lives up in the Bronx.
- 12 Q. And what was reported to you, if you remember, regarding
- 13 Mr. Ali that bears on or that bore on your conclusion that he
- 14 didn't have a credible business reason for being there?
- 15 A. So it was his regularly scheduled day off and he claimed
- 16 he was on his way into the city and was just dropping somebody
- 17 off. However, you could see Ali in the middle of the mob, the
- 18 blockade, so he wasn't just passing through.
- 19 Q. And when you say you could see him in the middle of the
- 20 mob, where was it that you could see him?
- 21 A. On the video.
- 22 Q. And what was reported to you regarding Ralf Andersen that
- 23 bore on having a credible business reason to be on the
- 24 premises?
- 25 A. So Ralf was actually on suspension and so there was no

- 1 valid reason why he needed to be present. He claimed that he
- 2 was there to meet with his steward to file a grievance.
- 3 However, he could have filed a grievance when he returned to
- 4 work on his next schedule work day. Again, it was very early
- 5 in the morning and Ralf lives very -- very far, on the east end
- 6 of Long Island. He lives out in Selden, so it's quite the
- 7 drive.
- 8 Q. Do you recall how long his suspension was, that is, I
- 9 mean, the suspension that he was already on?
- 10 A. I believe it was two days.
- 11 Q. And what was reported to you regarding Ms. Cabrera having
- 12 or not having a credible legitimate reason for being on the
- 13 premises?
- 14 A. She was also on a scheduled day off and she claimed that
- 15 she was dropping somebody off at Paidge Avenue, but you can
- 16 also see her on the video in the middle of the blockade.
- MR. MARGOLIS: Could we go off the record for a moment,
- 18 Your Honor.
- JUDGE ROSAS: Okay.
- 20 (Whereupon, a brief recess was taken)
- 21 JUDGE ROSAS: Back on.
- 22 BY MR. MARGOLIS:
- 23 Q. I want to ask you some questions about the three other
- 24 people who were suspended. Do you remember who the three other
- 25 people were?

- 1 Α. Byron Yu and the other two names escape me right now,
- 2 sorry.
- Ο. Okay. We'll take them one at a time.
- 4 Α. Okay.
- Was Byron Yu scheduled to work on April 2, 2014? 5 Q.
- 6 Α. He was.
- And he never once received a suspension? 7 Ο.
- 8 Α. Yes, he did.
- 9 Ο. And why was that?
- He moved his car from a legally parked spot into the 10
- middle of Paidge Avenue, which blocked out ability to roll out 11
- our trucks and the employees congregated around that vehicle. 12
- 13 Q. Okay. Can you take a look at General Counsel's Exhibit 8.
- 14 Α. (Reviewing)
- Do you recognize that document? 15 Q.
- 16 Α. Yes.
- 17 Having reviewed that document does that refresh your
- 18 recollection as to whether Mr. McGovern was one of the people
- who were suspended? 19
- 20 You mean 8 is for Diana Cabrera.
- 21 MR. MARGOLIS: Mine are mismarked.
- MR. ROSE: No, GC-8 --22
- 23 MR. MARGOLIS: Should we just go off the record, Your
- 24 Honor.
- JUDGE ROSAS: Sure. 25

- 1 (Whereupon, a brief recess was taken)
- 2 BY MR. MARGOLIS:
- 3 Q. I'm showing you my copy, Ms. Ciliberti, of General Counsel
- 4 Exhibit 8 in evidence and can you review that.
- 5 A. (Reviewing) Okay.
- 6 Q. And having read that, do you recall why Mr. McGovern was
- 7 suspended?
- 8 A. He had called out sick on the day of the blockage but he
- 9 was actually seen on video participating.
- 10 Q. And do you remember whether David Lopez was one of the
- 11 employees who was suspended?
- 12 A. Yes, he was.
- 13 Q. And do you remember why he was suspended?
- 14 A. David Lopez was not scheduled to work at that hour of the
- 15 morning nor is David Lopez ever scheduled to work at the
- 16 southern Manhattan location. He's an employee located at our
- 17 northern Manhattan location.
- 18 Q. And was he observed on the video?
- 19 A. Yes, he was observed on the video participating in the
- 20 blockade.
- 21 Q. And I don't know if I asked you this. Was Mr. McGovern
- 22 observed in the video participating as well?
- 23 A. Yes, he was.
- 24 Q. And you made reference to the northern Manhattan location?
- 25 A. Yes.

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- Q. Can you just tell us where that is? 1
- West 219 Street and Broadway. 2 Α.
- 3 Ο. In which borough?
- Upper Manhattan. 4 Α.
- 5 MR. MARGOLIS: No further questions.
- JUDGE ROSAS: Cross. 6
- MR. ROSE: Yes. May we have five or ten minutes, Your 7
- 8 Honor?
- 9 JUDGE ROSAS: Okay.
- (Whereupon, a brief recess was taken) 10
- JUDGE ROSAS: Cross. 11
- 12 CROSS EXAMINATION
- BY MR. ROSE: 13
- Ms. Ciliberti, David Lopez, isn't it true, at the time in 14
- April, May 2014 he was a shop steward? 15
- 16 I believe he was a shop steward then, yes, for the
- 17 northern Manhattan location.
- 18 MR. ROSE: No further questions, Your Honor.
- 19 MR. MCGOVERN: No questions.
- 20 JUDGE ROSAS: Okay. Any follow-up on your part?
- 21 MR. MARGOLIS: No, Your Honor.
- JUDGE ROSAS: Okay. Thank you. You're excused. 22
- 23 THE WITNESS: Thank you.
- 24 (Witness is excused)
- JUDGE ROSAS: Do you have any other witnesses? 25

- 1 MR. MARGOLIS: We have no more witnesses, Your Honor. A
- 2 few other documents I wanted to submit.
- JUDGE ROSAS: Okay. Off the record.
- 4 (Whereupon, a brief recess was taken)
- 5 JUDGE ROSAS: Let's go back on the record. All right.
- 6 Respondent.
- 7 MR. MARGOLIS: Yes, Your Honor. We have no further
- 8 witnesses. We just wanted to offer into evidence the following
- 9 documents.
- 10 Respondent's Exhibit 32 is the letter from the Regional
- 11 Director dated January 5, 2015, which initially dismissed the
- 12 instant charge, and since they go together, Respondent's 33 is
- 13 the Regional Director's letter dated May 21, 2015 revoking that
- 14 dismissal.
- 15 (Respondent's R-32 and R-33 identified)
- MR. ROSE: No objection, Your Honor.
- JUDGE ROSAS: It's Respondent's 32 through 35?
- 18 MR. ROSE: No.
- MR. MARGOLIS: No, 32 and 33 only.
- JUDGE ROSAS: We're only dealing with those only. All
- 21 right. Respondent's --
- MR. ROSE: No objection to 32 and 33.
- JUDGE ROSAS: Respondent's 32 and 33 are received.
- 24 (Respondent's R-32 and R-33 received)
- MR. MARGOLIS: Respondent's 34 is a letter from counsel

- for Local 3 dated May 21, 2015 relating to an arbitration that 1
- 2 was previously initiated concerning this discipline and
- 3 Respondent's 35 is counsel for Respondent's response to that
- which is part of the procedural background in this case. 4
- 5 (Respondent's R-34 and R-35 identified)
- MR. ROSE: General Counsel objects to both these 6
- exhibits 34 and 35 based on the extended objection earlier 7
- 8 todav.
- JUDGE ROSAS: Same thing for Charging Party? 9
- 10 MR. MCGOVERN: Correct, Your Honor. And just as a point
- of clarification, I believe counsel for Respondent just said 11
- 12 for these disciplines?
- 13 MR. MARGOLIS: I said that, yes.
- 14 MR. MCGOVERN: And I just wanted to be clear, even
- though I don't feel it's relevant, but this arbitration dealt 15
- 16 with more than just the four discriminatees in this case. It
- 17 dealt with every one who had gotten warnings and suspensions in
- addition to the four. 18
- MR. MARGOLIS: That's correct. 19
- 20 JUDGE ROSAS: Let's check it out. I'll receive
- 21 Respondent's 35 and 34 over objection.
- 22 (Respondent's R-34 and R-35 received)
- 23 JUDGE ROSAS: Anything else?
- 24 MR. MARGOLIS: Nothing further, Your Honor.
- JUDGE ROSAS: Okay. Respondent rests. Is there a 25

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- rebuttal? 1
- 2 MR. ROSE: There is, Your Honor. And the rebuttal
- 3 consists of putting in documents.
- JUDGE ROSAS: Okay. 4
- 5 MR. ROSE: We've been copying. We still have some
- 6 copying to do.
- 7 JUDGE ROSAS: Okav. Off the record.
- 8 (Whereupon, an extended recess was taken)
- 9 JUDGE ROSAS: Let's go on the record. All right.
- General Counsel. 10
- MR. ROSE: All right. Would you like me to describe the 11
- 12 documents --
- JUDGE ROSAS: As far as your rebuttal you are offering 13
- some documents; is that what it is? 14
- 15 MR. ROSE: Indeed, Your Honor, yes.
- 16 JUDGE ROSAS: Go ahead.
- 17 MR. ROSE: Okay. I offer as GC-35 a motion -- sorry,
- 18 Respondent's motion to reopen the record in 363 NLRB 30.
- (General Counsel's GC-35 identified) 19
- 20 MR. ROSE: As GC-39 Local 3's response in opposition to
- 21 that motion to reopen.
- 22 (General Counsel's GC-39 identified)
- 23 MR. ROSE: As GC-40 it's the memorandum in support of
- the NLRB's motion to intervene in the 301 action before Judge
- Weinstein. 25

1	(General Counsel's GC-40 identified)
2	MR. ROSE: Judge Weinstein's decision confirming the
3	arbitration award is GC-41.
4	(General Counsel's GC-41 identified)
5	MR. ROSE: And for the summary judgment yes, GC-42
6	would be Charging Party's opposition to the motion for summary
7	judgment.
8	(General Counsel's GC-42 identified)
9	MR. ROSE: GC-43 is General Counsel's opposition to the
10	motion for summary judgment.
11	(General Counsel's GC-43 identified)
12	MR. ROSE: And GC-44 is General Counsel's motion to
13	strike or alternatively to respond to Respondent's reply in
14	support of motion for summary judgment and Respondent's
15	supplement to motion for summary judgment.
16	(General Counsel's GC-44 identified)
17	JUDGE ROSAS: Any objection?
18	MR. MARGOLIS: Yes, Your Honor. I'll take them
19	seriatim. There's objections to some of them. Thirty-five
20	General Counsel's 35 and 39 we would object to the admission
21	into the record of those documents. Both of those filings, as
22	Mr. Rose stated, relate to or were made in the case
23	29-CB-125701. Nothing in that case, nothing in that decision
24	and before us nothing in the motion practice that was filed has
25	any bearing on any issue before you. The sole issue in that

- 1 case was whether the document tendered by Time Warner Cable in
- 2 fact accurately reflected the parties' agreement thereby
- 3 obligating Local 3 sign it.
- 4 The sole holding in the case was that that document did
- 5 not accurately reflect the agreement of the parties because
- 6 there was no meeting of the minds on the issue of whether the
- 7 riders were to continue in effect.
- 8 A dispute about and a Board decision on whether that
- 9 document tendered by Time Warner Cable was an accurate
- 10 reflection of the parties' agreement or not does not involve in
- 11 any way any issue before you. And in particular, it does not
- 12 relate to whether the parties were party to a binding and
- 13 effective no-strike obligation at the time in questions
- 14 because, again, all the Board was deciding was that there was
- 15 no Heinz violations because of a dispute about the riders.
- So, on that basis, we would object to GC-35 and 39.
- JUDGE ROSAS: My question to you is: Does that
- 18 proceeding of the facts litigated in that proceeding in
- 19 whatever form they were related all to the events of April 2,
- 20 2014 between 6:30 a.m. and 9 a.m.?
- MR. MARGOLIS: I'm not aware of any connection, Your
- 22 Honor.
- 23 JUDGE ROSAS: So they relate to an incident on some
- 24 other day?
- MR. MARGOLIS: That case, you mean, when you say "they

- 1 relate"?
- 2 JUDGE ROSAS: General Counsel's 35 and 39, they don't
- 3 relate --
- 4 MR. MARGOLIS: They don't relate to --
- 5 JUDGE ROSAS: -- in any way whatsoever to the events?
- 6 They don't subsume or refer to the events of April 2, 2014
- 7 between 6:30 a.m. and 9 a.m.?
- 8 MR. MARGOLIS: I believe there was no mention of that
- 9 issue.
- 10 JUDGE ROSAS: They refer to a totally different event
- 11 that transpired that's the underlying dispute?
- 12 MR. MARGOLIS: I believe that's correct, Your Honor.
- JUDGE ROSAS: I haven't seen them yet. What do they
- 14 refer to? Christmas Day 2011?
- 15 MR. ROSE: Okay. I'll explain to you the relevance,
- 16 Your Honor.
- As we know, you've admitted into evidence Respondent's
- 18 Exhibit 20, the big binder of documents. They opened up -- we
- 19 objected to that. They opened that up. They opened that issue
- 20 up.
- Now, the motion to reopen the record is relevant because
- 22 to see what the Board shot down in Footnote 1 in terms of
- 23 relevant evidence. As I said earlier, some of the evidence
- 24 that they put in Respondent's 20 is the exact same evidence
- 25 that they used to try to reopen the record and this proves

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- 1 that.
- 2 JUDGE ROSAS: Okay. So let me just take a step back.
- 3 MR. ROSE: Okav.
- JUDGE ROSAS: Because I'm focused obviously on the crux 4
- of this case which is what transpired on April 2, 2014 in the 5
- morning and as General Counsel is referring to the submissions 6
- by the Respondent, they relate to a procedural background 7
- 8 relating to the legal relations connection between the Union
- 9 and the company prior to that date, which would have some
- bearing or not on the rights and obligations of the parties 10
- towards their employees' unit employee members as of April 2, 11
- 12 2014.
- That's the basis upon which you offered it, right? 13
- Without -- if you can say yes or no, that's fine. If you 14
- can't, then tell me that. 15
- 16 Am I incorrect in my general reference to what you
- 17 tendered and I received?
- MR. MARGOLIS: Okay. What we tendered was for the 18
- purpose of establishing that the conduct on April 2nd was 19
- 20 unprotected not only because it was a mass picket --
- 21 JUDGE ROSAS: I understand all that.
- MR. MARGOLIS: But because it was in violation of an 22
- 23 effective -- a binding and effective no-strike obligation in
- 24 force at the time recognized by both parties.
- JUDGE ROSAS: All right. And the General Counsel, 25

you're now saying that these documents relate to the procedural 1 2 background that's been put in place by the Respondent, correct? 3 MR. ROSE: Indeed, yes, that's what we're rebutting. JUDGE ROSAS: With respect to the previous, whether it's 4 the District Court litigation, the arbitration proceedings, 5 Judge Fish's decision, the Board review of that decision; these 6 documents relate to those proceedings, correct? 7 8 MR. ROSE: The Board's --9 JUDGE ROSAS: Or are they from some other proceeding? MR. ROSE: No, no, it all relates to that universe that 10 they want to put -- that Respondent's 20 and their argument 11 12 that there was a no-strike clause in effect and that the Board's decision in 363 NLRB 30 is not relevant to this case. 13 It is why we're here as I explained earlier. 14 JUDGE ROSAS: So you obviously gathered by now that I'm 15 not -- with respect to your offer and overruling the objection 16 17 of the General Counsel and the Charging Party that I'm not at 18 this juncture in the capacity to parse relevance and nonrelevance and issue essentially rulings on limiting motions 19 20 based on the representation that they can't possibly have any 21 connection to this case or at least be worthy of some mention

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MR. MARGOLIS: Well, certainly, Your Honor, we could

especially as it relates to the relationship between the Union

and the company, so I see no basis to sustain the objection.

in a procedural posture leading up to this controversy,

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- 1 appreciate that you haven't yet had the opportunity to fully
- 2 absorb the rather voluminous documents there. However, our
- 3 position is exactly that these -- that that case, the CB case,
- 4 has absolutely nothing to do with any issue that you're called
- 5 upon in this case.
- 6 JUDGE ROSAS: It all seems to be connected at least
- 7 plausibly at this juncture. And again, should I be incorrect
- 8 in my receipt of them at this point, there is certainly not
- 9 going to be any prejudice to any party should that turn out to
- 10 be the case.
- General Counsel's 35 and 39 are received.
- 12 (General Counsel's GC-35 and GC-39 received)
- 13 JUDGE ROSAS: Next.
- 14 MR. MARGOLIS: Okay. General Counsel's 40 is part, a
- 15 small piece, a very small piece, of the briefing in the
- 16 proceedings before Judge Weinstein that led to confirmation of
- 17 the arbitration award. Of course, the arbitration award on the
- 18 work stoppage on April 2, 2014.
- 19 As I know you're aware, that briefing and those
- 20 proceedings resulted in a decision by Judge Weinstein, which is
- 21 marked for identification as General Counsel's 41 and we have
- 22 no objection to General Counsel's 41 being admitted.
- 23 However, to pull out one piece of the briefing in that
- 24 case seems inappropriate to us and the fact that the Board
- 25 filed a brief in that case, again, does not relate in any way

- 1 to the issue before you. And what it does is it invites
- 2 putting into the record in this case what was extremely
- 3 voluminous briefing in the proceedings before Judge Weinstein.
- 4 So, you know, to admit General Counsel 40 seems to open the
- 5 door to a rather unproductive exercise of introducing the
- 6 parties' various briefs and reply briefs and sur-reply briefs
- 7 when at this point the document has no relevance to anything
- 8 because Judge Weinstein issued a decision. In which, by the
- 9 way, he found among other things that egress and ingress were
- 10 blocked on April 2, 2014.
- 11 JUDGE ROSAS: Why do we need your motion to intervene?
- MR. ROSE: Your Honor, we'll be willing --
- 13 JUDGE ROSAS: The NLRB, the Board's motion to intervene,
- 14 not the General Counsel.
- 15 MR. ROSE: Your Honor, to move things along if we could
- 16 table that, General Counsel's response, because there's no
- 17 objection to 41. Then if I could at the end --
- JUDGE ROSAS: Go ahead. You want to lump them all
- 19 together.
- MR. ROSE: Yeah, at the -- once we go through all of
- 21 them, I will ask for a few moments to reconsider putting in
- 22 GC-40. So if we could admit GC-41 which is Judge Weinstein's
- 23 decision.
- JUDGE ROSAS: General Counsel's 41 is received.
- 25 (General Counsel's GC-41 received)

- 1 MR. ROSE: Okay. And then we could move on to the
- 2 objections to GC-42, 43 and 44.
- 3 MR. MARGOLIS: Okay. So GC 42 is the Charging Party's
- 4 opposition to Respondent's motion for summary judgment in this
- 5 case. As much as we would like to argue that only Respondent's
- 6 moving papers should be part of the record and not the
- 7 opposition, we're not going to take that position, so we have
- 8 no objection to General Counsel's 42.
- 9 On the same basis we have no objection to General
- 10 Counsel's 43, which is the General Counsel's opposition to Time
- 11 Warner Cable's motion for summary judgment.
- 12 JUDGE ROSAS: And that leaves 44.
- MR. ROSE: So perhaps --
- 14 JUDGE ROSAS: Hold on. Hold on.
- 15 MR. MARGOLIS: Yeah, I mean General Counsel 44 doesn't
- 16 seem to be a substantive document. It's raising a procedural
- 17 objection to Respondent's filing of a reply in support of its
- 18 summary judgment motion. Again, here, the Board decided the
- 19 motion and so we don't -- we don't see what this motion to
- 20 strike adds in any way to the record.
- JUDGE ROSAS: How does that help?
- MR. ROSE: Well, it's -- we're putting in, you know,
- 23 we've decided to put in the motions -- the motion papers for
- 24 summary judgment and there were more than three, so we're
- 25 putting in our other paper. It completes that summary judgment

- 1 record.
- 2 JUDGE ROSAS: All right. So you have an objection to
- 3 General Counsel's 44. You don't oppose 42 and 43.
- 4 MR. MARGOLIS: Correct.
- 5 JUDGE ROSAS: General Counsel's 42 and 43 are received.
- 6 (General Counsel's GC-42 and GC-43 received)
- 7 JUDGE ROSAS: Forty-one was received. I'm going to
- 8 overrule the objection to General Counsel's 40 and 44. Those
- 9 are received as well.
- 10 (General Counsel's GC-40 and GC-44 received)
- 11 MR. ROSE: I'll give a stack to Your Honor and the court
- 12 reporter.
- 13 JUDGE ROSAS: Thank you.
- 14 All right. Is there anything else?
- MR. ROSE: No, General Counsel rests.
- JUDGE ROSAS: And do you have anything further?
- MR. MARGOLIS: Nothing further, Your Honor.
- JUDGE ROSAS: Okay. Charging Party?
- MR. MCGOVERN: We're good.
- 20 JUDGE ROSAS: Okay. That concludes the testimony and
- 21 the receipt of evidence in this case.
- I will give the parties until May 20, 2016 for the
- 23 receipt and submission of briefs and any proposed findings of
- 24 fact, conclusions of law. I direct the parties to the Board's
- 25 rules and regulations for the submission of such briefs.

- 1 Should there be any questions, let me know.
- 2 I especially advise Counsel for Respondent and Charging
- 3 Party to when you file, do not file with the Region but there
- 4 is an electronic filing room that's specific, a separate tab
- 5 for filing it within the Division of Judges in Washington, so I
- 6 don't have a second occasion to issue a decision indicating
- 7 that there was no brief received from one of the parties
- 8 because I won't -- it's just not a habit for me to reach out.
- 9 So I'll just assume you're not interested if I don't get
- 10 anything, although I know that won't be a problem in this case.
- 11 So I will give you all until May 20th of 2016. Should
- 12 there be any need for any need for an extension, such request
- 13 needs to go to Deputy Chief Arthur Amchan in Washington, D.C.
- 14 with the specific reason for the request and whether or not all
- 15 the other parties have agreed to the extension.
- 16 There being nothing further, off the record.
- 17 (Whereupon, at 4:15 p.m. the above entitled matter was
- 18 concluded.)

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This is to certify that the attached proceedings done before the NATIONAL LABOR RELATIONS BOARD REGION 2

In the Matter of:

TIME WARNER CABLE NEW YORK CITY, LLC,

Respondent,

and

LOCAL UNION NO. 3 INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS,

Charging Party.

Case No.: 02-CA-126860

Date: April 13, 2016

Place: New York, New York

Were held as therein appears, and that this is the original transcript thereof for the files of the Board

<u>Michelle Morales</u> Official Reporter